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# **Capitol Consulting Group Illinois, LLC**

**PROPOSAL TO PROVIDE LEGISLATIVE SERVICES**

**IN RESPONSE TO**

**RFP-CRS/11-101**



**CAPITOL CONSULTING GROUP  
ILLINOIS, LLC**

**RFP-CRS/11-101**

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## **PLAN TO ADDRESS THE SERVICES REQUIRED**

Based upon the RFP, it is our belief that the legislative needs of Court Reporting Services (CRS) can be met through the utilization of our expertise in governmental relations.

- It would be our intention to represent CRS with respect to legislative issues before the General Assembly.
- Capitol Consulting Group Illinois, LLC (CCG) would assist in the drafting of legislative language CRS may wish to initiate, as well as in obtaining sponsorship of such legislation.
- All legislation as introduced and amended will be monitored on behalf of CRS to determine if such legislation would impact on CRS and/or its participants, annuitants, beneficiaries and employers.
- Copies of CRS-related legislation will be provided to the designated person at CRS.
- A watch list of CRS-related legislation will be established, updated and monitored on a daily basis.
- All actions on CRS-related legislation will be tracked electronically and information on its progress will be reported on a weekly basis to the designated person, or more often upon request.
- CCG representatives would be available to meet with CRS upon request in order to strategize plans for the successful implementation of the CRS agenda.
- When necessary, CCG would arrange for CRS personnel to testify before legislative committees and to meet with members of the General Assembly in order to discuss their legislative needs.

In addition to the afore-mentioned responsibilities regarding legislation introduced in the General Assembly, CCG would also be involved in the planning and development of CRS' legislative agenda, as well as the development of strategies to address funding and other state budget issues impacting on CRS. These responsibilities would be met through the regular legislative session, as well as the veto session.



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## **CAPITOL CONSULTING GROUP ILLINOIS, LLC**

Capitol Consulting Group Illinois, LLC (CCG) is a full-service legislative consulting and advocacy firm with offices in Lombard and Springfield, Illinois.

We are dedicated to providing each of our clients with comprehensive and distinctive representation, be it innovative grass roots activities or large-scale public policy campaigns.

While our focal point remains government relations, we also offer to our clients our vast experience in media relations, coalition building, and business development.

We are committed to an ardent approach on behalf of our clients that will follow both the letter and spirit of the ethical and legal guidelines for our profession.

### **Services**

- Representing clients in both a proactive and defensive manner on legislation and administrative rulemaking before all pertinent governmental entities.
- Planning strategies, developing legislative positions, defining and advancing client objectives in the legislative and administrative arenas.
- Monitoring legislative and regulatory movements on a daily basis and generating custom "watch lists" of relevant bills and proposals.
- Providing assistance and background material to promote client proposals.
- Negotiating amendments or alternative approaches to legislation subject to client direction.
- Developing and maintaining relationships with legislators, government officials and other key public policy makers.
- Arranging seminars and briefings for legislators and their key staff in order to educate them on the importance of client issues. From these forums, we are able to build strong, bipartisan coalitions of support to assist us in advancing the client's goals.



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## **EXPERIENCE/QUALIFICATIONS**

Capitol Consulting Group Illinois, LLC is registered under the Illinois Lobbyist Registration Act, as are our Exclusive Lobbyists.

The principals of Capitol Consulting Group Illinois, LLC – Richard J. Guidice, Jeffrey A. Glass, and Jay A. Keller – have more than 60 collective years of extensive experience.

Mr. Richard J. Guidice is a former Illinois state senator with expertise and experience with procedures and methods of the Illinois Senate and House and the Executive Branch of state government. Mr. Jeffrey A. Glass is a former General Assembly staff member and Special Deputy to the Chief of Staff, Office of the Speaker of the House, with over 20 years of experience in comprehensive legislative liaison services. Mr. Jay A. Keller has over 20 years of experience in governmental relations and has extensive public finance experience.

We also offer the experience of another former legislator, our subcontractor, Mr. Howard Kenner who is MBE certified.

Resumes are included in Section 5 of this Proposal.



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## **CAPITOL CONSULTING GROUP ILLINOIS, LLC – CLIENT LIST**

Awerlamp & McClain, P.C.  
701 Broadway  
Quincy, IL 62301-2793  
Tel.: 217-224-8606  
Fax: 217-224-5123

Builders Association  
9550 W. Higgins Rd., #380  
Rosemont, IL 60018  
Mike Schultze  
Tel: 847-318-8585  
Fax: 847-318-8586

Chicago Board of Education  
125 S. Clark Street  
Chicago, Illinois 60603  
Kappy Lang  
Tel.: 773-553-1470  
Fax: 773-553-1471

Chicagoland Speedway  
500 Speedway Boulevard  
Joliet, Illinois 60433  
Matt Alexander  
Tel.: 815-722-5500  
Fax: 815-724-0520

DuPage County Recorder's Office  
421 N. County Farm Road  
Wheaton, IL 60187  
Fred Bucholz  
Tel.: 630-407-5411  
Fax: 630-407-5300

Entertainment Software Association  
575 7<sup>th</sup> Street, NW, #300  
Washington, DC 20004  
Sally Jefferson  
Tel.: 917-552-3250  
Fax: 917-552-3258

Fire Arms Mfg. Association  
420 W. Main Street  
Geneseo, IL 61254  
Thomas Reese  
Tel.: 309-944-5631  
Fax:

Illinois Association of Road and  
Transportation Builders  
500 Park Blvd.  
Itasca, Illinois 60143-1287  
Mr. Dave DeYoung  
Tel.: 630-773-1220  
Fax: 630-773-1231

Illinois Cosmetology  
Association/Chicago  
401 N. Michigan Avenue  
Chicago, Illinois 60611  
Mr. Paul Dykstra  
Tel.: 312-527-6663  
Fax: 312-245-1080

Illinois Small Loan Association  
25 Woodland Trail  
Rochester, IL 62563  
Steve Brubaker  
Tel: 217-306-1791  
217-498-6872

Midwest Generation  
One Financial Plaza  
440 South LaSalle St., Suite 3500  
Chicago, Illinois 60605  
Mr. Doug McFarlan  
Tel.: 312-583-6024  
Fax: 312-583-4917

Motion Picture Association of America  
1600 Eye Street, N.W.  
Washington, D.C. 20006  
Mr. Vans Stevenson  
Tel.: 202-293-1966  
Fax: 202-293-1299

MultiState Associates  
McGraw-Hill  
515 King Street, Suite 300  
Alexandria, VA 22314  
Andy Trincia  
Tel.: 916-786-2460  
Fax: 916-786-2470

NATO of Illinois  
603 Rogers Street  
Downers Grove, Illinois 60515  
Ms. MaryAnn Frank  
Tel.: 630-968-1600, Ext. 102  
Fax: 630-968-1626

Phoenix Bonding  
161 North Clark Street, Suite 3040  
Chicago, IL 60601  
Mr. Andrew Marks  
Tel.: 312-419-0151  
Fax: 312-419-0524

Teng Engineering  
205 N. Michigan Avenue  
Chicago, IL 60601  
Byron Danley  
Tel.: 312-616-7390  
Fax:

Thresholds  
4101 N. Ravenswood  
Chicago, IL 60613  
Tel.: 773-572-5220  
Fax: 773-537-3405

United States Organizations for  
Bankruptcy Alternatives  
2864 Antoine Drive  
Houston, TX 77092  
Jenna Keehnen  
John Ansbach  
Tel.: 877-768-7622  
Fax: 713-456-2837

VALIC Financial Advisors, Inc.  
Vice President – Government Affairs  
2929 Allen Parkway, Suite L4-02  
Houston, Texas 77019  
Mr. Ted G. Kennedy, Esq.  
Tel.: 713-831-4987  
Fax: 713-831-4427

Verizon Wireless  
1515 Woodfield Rd., Suite 1400  
Schaumburg, Illinois 60173  
Mr. Michael McDermott  
Tel.: 847-706-2648  
Fax: 847-706-7601



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## **PERSONNEL**

Attached are the respective resumes of each of the Principals of Capitol Consulting Group Illinois, LLC, as well as the resume of our Subcontractor:

Richard J. Guidice, Principal      Attachment #1

Jeffrey A. Glass, Principal      Attachment #2

Jay A. Keller, Principal      Attachment #3

Howard Kenner, Subcontractor      Attachment #4

RICHARD J. GUIDICE

PROFILE

- Over 25 years experience as a legislative liaison, offering counsel and service for businesses, associations and industries as their interests pertain to the Illinois General Assembly.
- Former Illinois state senator with expertise and experience with procedures and methods of the Illinois Senate and House (leadership and members) and the executive branch of state government.
- Full-service offices in Lombard and Springfield, Illinois, which are on-line with a legislative information system, giving instantaneous access to all legislative activity.
- Licensed attorney since 1965

EXPERIENCE HIGHLIGHTS

1979 to Present      **Governmental and Private Legislative Liaison**

**Capitol Consulting Group Illinois, LLC**  
Lombard and Springfield, Illinois

Assists in the development of the individual client's legislative agenda; develops bills through the drafting and creation of new legislation and/or the amendment of current law.

Lobbies on behalf of matters of concern to the client before the Illinois General Assembly; sees to the introduction of bills, secures sponsors and advances the legislative program of the individual client by directing appropriate legislation through the legislative process.

Advises the client of any and all matters pertinent to its interests before the General Assembly, monitors any legislation introduced or pending which would be adverse to the client's interest.

Communicates with elected officials of the State of Illinois, attends General Assembly legislative sessions and pertinent committee meetings.

Evaluates, develops and advises the client as to the strategy to achieve desired results, i.e., through the use of expert testimony, client involvement, selection of bill sponsors, scheduling of appointments with key legislators and appropriate staff, etc.

Coordinates all lobbying efforts of any other representatives of an individual client's legislative interests.

Legislative Liaison for the Chicago Board of Education - responsibilities include representing the Chicago Board of Education's legislative agenda before the Illinois General Assembly, lobbying on behalf of the Board's legislative interests, introducing legislation, securing sponsors, monitoring all pertinent legislation, and testifying before committee hearings.

1976 to 1979                    **Illinois State Senator**  
Illinois General Assembly, Springfield, Illinois

Represented the constituents of the 19th district in the state legislature. Served as vice-chair of the Insurance and Licensed Activities Committee, and a member of the Agriculture, Conservation, and Energy; Appropriations II; Education, Elementary and Secondary; and Judiciary I committees. Served as a senate Member of the Law Revision, Regional Transportation Advisory, Spanish Speaking Peoples Commission, Toll Road Advisory, and School Problems Commission.

1973 to 1976                    **Director, Legislative Reference Bureau**  
City of Chicago

Represented the aldermen in drafting ordinances and resolutions, digesting the city council record, and attending the various committee meetings.

1969 to 1973                    **Executive Director, Chicago Street Traffic Commission**  
City of Chicago

Directed the commission to promote various legislative issues on both local and state levels. The commission included members of government and the private sector.

1967 to 1969                    **Attorney, Chicago Dwelling Association**  
Chicago, Illinois

Represented the association in various receivership programs assigned to same by either abandonment by the landlord and/or various health hazards.

1965 to 1967                    **Attorney, Private Law Practice**  
Chicago, Illinois

Provided legal services and counsel pertaining to various aspects of the law.

## EDUCATION

DePaul University, Chicago, Illinois, J.D., 1965

DePaul University, B.A., English, 1964

Additional graduate work, John Marshall Law School

Various seminars, continuing legal education

*References, honors and organization affiliations available upon request.*

JEFFREY A. GLASS

PROFILE

- Offering comprehensive legislative liaison services and counsel for businesses, associations and industries dealing with governmental entities.
- Former Illinois General Assembly staff member with extensive experience and expertise with the procedures, methods and personalities of the leadership and members of the United States Congress, state legislatures and local governments.
- Grass roots development and coordinating for businesses and major trade associations.

EXPERIENCE HIGHLIGHTS

1987 to Present      **Capitol Consulting Group Illinois, LLC**  
Lombard and Springfield, Illinois

Lobbies on behalf of matters of concern to the client at the national, state and local levels; advances or deters legislation as necessary to realize desired outcome.

Advises the client of any and all matters relevant to its interests before various governmental bodies.

Monitors all legislation pertinent to the individual client; meets with clients to provide status of legislation and develop strategy.

Attends legislative sessions and pertinent committee meetings.

1984 to 1989      **Special Deputy to the Chief of Staff**  
Office of the Speaker, Illinois General Assembly  
Cook County, Illinois

1977 to 1992      **Governmental Legislative Liaison & Assistant Director**  
Cook County Merit Board  
Cook County, Illinois

Responsibilities included drafting extensive correspondence to all elected officials of the state of Illinois; attended General Assembly committee and legislative sessions, including giving oral and written testimony; edited and amended legislation concerning governmental agency represented, advised board members of the status of legislation, and spoke to various public and civic organizations.



## EDUCATION

Western Illinois University, Macomb, Illinois, B.S., 1974

Guest Lecturer, Political Science Department, various educational institutions.

*References, honors and organization affiliations available upon request.*

## JAY A. KELLER

## PROFILE

- Over 16 years as president of intergovernmental relations and lobbying firm, with experience relating to both houses of the U.S. Congress, the executive branch, and state and local levels.
- Extensive public finance experience.

## EXPERIENCE HIGHLIGHTS

1989 to Present

**President**

J. Alexander Hunt, Inc.  
Yorkville, Illinois

**2005 Merged with Capitol Consulting Group**

Lombard, and Springfield, Illinois

Serves as representative for various clients in matters of intergovernmental relations and lobbying. Includes appearances before governmental bodies, drafting and distributing position papers and presenting testimony to governmental committees.

Reports on governmental affairs activity to the client and provides copies of bills, amendments, conference committee reports, roll call votes and other pertinent documents.

Informs the client of changes in federal and state lobbying laws with potential impact upon the client's lobbying activities and serves as a general resource for the client.

1993 to 1996

**Vice-President, Public Finance**

The Chicago Corporation  
Chicago, Illinois

Responsibilities included developing investment banking relationships with local governments, senior managed nearly \$200 million in capital regionally and nationally.

Served as chief lobbyist for legislative affairs.

Jan. 1993 - Nov. 1993

**Vice President, Public Finance**

Rodman & Renshaw, Inc.  
Chicago, Illinois

Participated in more than 10 financings, which represented nearly \$1 billion in capital raised for municipal clients. Served as chief lobbyist for legislative affairs.

1986 to 1993

**DuPage County Chief Deputy**  
DuPage County Clerk's Office  
Wheaton, Illinois

Responsibilities included serving as the chief assistant to the county clerk, managing the administration of a 23 member staff, overseeing office operations, formulating policies and procedures within the clerk's operation.

Acted as chief liaison to constituents, members of the press, fellow administrators and the political leaders of the House and Senate.

1982-1986

**Kendall County Chief Deputy Clerk/Recorder**  
Kendall County Clerk's Office  
Yorkville, Illinois

Responsibilities included serving as chief assistant to the county clerk/recorder, establishing guidelines and procedures to process property taxes and new subdivisions; managing overall operations of the office.

Oversaw all federal, state and local elections; processed registrations, ballots and vote tabulations. Served as the chief liaison for legislative affairs.

EDUCATION

Aurora University, Aurora, Illinois, M.B.A., Finance, 1992  
Aurora University, B.A., Management, 1989

*References, honors and organization affiliations available upon request.*

**HOWARD KENNER  
GOVERNMENT CONSULTING**

727 East 60<sup>th</sup> Street, Suite 1603  
Chicago, Illinois 60637

773.590.2392  
hkenner@comcast.net

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Howard Kenner Government Consulting devotes itself to the representation of governmental bodies, businesses, and not-for-profit entities. The astute, analytical and sharp problem solving capabilities of Howard Kenner Government Consulting is devoted to providing professional lobbying, strategic planning and development while using experience in recognizing and solving government issues.

**HOWARD A. KENNER, CPA**

**Howard Kenner Government Consulting**  
**Owner**  
2003 - present

Chicago, IL

Serves as government affairs specialist primarily before the Illinois General Assembly. Offering legislative consulting, lobbying, and strategic planning for various public and private sector entities.

**Goodall, Kenner & Associates**  
**Partner, Director of Government Audits**  
1996 - 2006

Chicago, IL

Managed and performed audits of city, county, and not-for-profit agencies. Prepared audited financial statements for private and public organizations. Ensured compliance with Federal guidelines and deadlines.

**Illinois General Assembly**  
**State Representative, 24<sup>th</sup> Legislative District**  
1995 - 2003

Springfield, IL

Served as Chairman for the State Government Administration Committee. Vice-Chaired Revenue and the Health Care Availability Committees. Served on Appropriations General Services, Insurance, Human Services and Education Committees.

**EDUCATION:**

**Harvard University** - Cambridge, MA  
Masters of Public Administration  
Concentration: Economic Development and Negotiations

**University of Illinois** - Urbana, IL  
Bachelor of Science  
Major: Accountancy  
Minor: Finance and Political Science

**PROFESSIONAL AFFILIATIONS:**

Illinois CPA Society  
National Association of Black Accountants  
MENSA

## **STANDARD CERTIFICATIONS**

**CONFLICT OF INTEREST.** Vendor covenants that it has no public or private interest and shall not acquire, directly or indirectly, any such interest that would conflict in any manner with the performance of its services under this contract. Further, Vendor agrees to comply with the provisions of the Illinois Procurement Code prohibiting conflicts of interest (30 ILCS 500/Article 50). All the terms, conditions, and provisions of Article 50 apply to this contract and are made a part of this contract the same as though they were incorporated and included herein. Vendor further represents that the disclosures required by Section 50-35 of the Illinois Procurement Code (30 ILCS 500/50-35), if applicable, have been made and are true and correct.

**BRIBERY CONVICTION CERTIFICATION.** Vendor certifies that it is not barred from being awarded a contract or subcontract with the Office of the Comptroller or with the State of Illinois under Section 50-5 of the Illinois Procurement Code (30 ILCS 500/50-5). Section 50-5 of the Illinois Procurement Code prohibits contracting with a person or business that has been convicted under the laws of Illinois or of any other State of bribing or attempting to bribe a State officer or employee or that has made an admission of guilt to that conduct but has not been prosecuted.

**FELONY CONVICTION CERTIFICATION.** Vendor certifies that it is not barred from being awarded a contract or subcontract with the Office of the Comptroller or with the State of Illinois under Section 50-10 of the Illinois Procurement Code (30 ILCS 500/50-10). Section 50-10 of the Illinois Procurement Code prohibits a person or business entity convicted of a felony from doing business with the State of Illinois or any State agency from the date of conviction until five (5) years after the completion of the sentence for such felony, unless no person held responsible by a prosecutorial office for the facts upon which the conviction was based continues to have any involvement with the business. Vendor acknowledges that making a false statement with regard to this certification is a Class 3 felony.

**SARBANES-OXLEY ACT CERTIFICATION.** Vendor certifies that it is not barred from being awarded a contract with the Office of the Comptroller or with the State of Illinois under Section 50-10.5 of the Illinois Procurement Code (30 ILCS 500/50-10.5). Section 83 50-10.5 of the Illinois Procurement Code prohibits a business from bidding on or entering into a contract with the State if the business or any officer, director, or partner, or other managerial agent of the business has been convicted of a felony under the Sarbanes-Oxley Act of 2002 (15 U.S.C. § 7201 et seq.) or of a Class 3 or Class 2 felony under the Illinois Securities Law of 1953 (815 ILCS 5/1 et seq.) for a period of five (5) years prior to the date of the bid or contract. Vendor acknowledges that the Office of the Comptroller shall declare this contract void if this certification is false.

**DEBT DELIQUENCY CERTIFICATION.** Vendor certifies that neither it nor any of its affiliates is prohibited from entering into a contract with the Office of the Comptroller or the State of Illinois by Section 50-11 of the Illinois Procurement Code (30 ILCS 500/50-11). Section 50-11 of the Illinois Procurement Code bars any person who is delinquent in the payment of a debt to the State from contracting with a State agency, unless that person or its affiliates has entered into a deferred payment plan to pay off the debt. Vendor acknowledges that the Office of the Comptroller may declare this contract void if this certification is false.

**USE TAX CERTIFICATION.** Vendor certifies that neither it nor its affiliates is prohibited from entering into a contract with the Comptroller or the State of Illinois under Section 50-12 of the Illinois Procurement Code (30 ILCS 5000/50-12). Section 50-12 of the Illinois Procurement Code bars a person from entering into a contract with a State agency if the person or any of its affiliates has failed to collect and remit Illinois Use Tax on all sales of tangible personal property into the State of Illinois in accordance with the provisions of the Illinois Use Tax Act (35 ILCS 105/1 et seq.). Vendor acknowledges that the Office of the Comptroller may declare this contract void if this certification is false.

**ENVIRONMENTAL PROTECTION ACT CERTIFICATION.** Vendor certifies that it is not barred from being awarded a contract with the Office of the Comptroller or the State of Illinois under Section 50-14 of the Illinois Procurement Code (30 ILCS 500/50-14). Section 50-14 of the Illinois Procurement Code bars the State from awarding a contract to any person or business found by a court or by the Pollution Control Board to have committed a willful or knowing violation of Section 42 of the Environmental Protection Act (415 ILCS 5/42). A State agency is barred from awarding a contract to a person or business found to have been in violation for a period of five (5) years from the date of the order containing the finding of violation, unless there is no practicable alternative available to the State. Vendor acknowledges that the Office of the Comptroller may declare this contract void if this certification is false.

**LEAD POISONING PREVENTION ACT CERTIFICATION.** Vendor certifies that it is not barred from being awarded a contract or subcontract with the Office of the Comptroller or with the State of Illinois under Section 50-14.5 of the Illinois Procurement Code (30 ILCS 500/50-14.5). Section 50-14.5 of the Illinois Procurement Code prohibits owners of residential buildings who have committed a willful or knowing violation of the Lead Poisoning Prevention Act (410 ILCS 45) from doing business with the State of Illinois or any State agency until the violation is mitigated. Vendor acknowledges that the Office of the Comptroller may declare this contract void if this certification is false.

**REVOLVING DOOR PROHIBITION CERTIFICATION.** Vendor certifies that it is not barred from engaging in any procurement activity with the Office of the Comptroller under Section 50-30 of the Illinois Procurement Code (30 ILCS 500/50-30). Section 50-30 of the Procurement Code prohibits chief procurement officers, associate procurement officers, State purchasing officers and their designees whose principal duties were directly related to State procurement from engaging in any procurement activity for a period of two (2) years after terminating an affected position relating to an agency most recently employing them in an affected position for a period of at least six (6) months. The prohibition includes but is not limited to lobbying the procurement process; specifying; bidding; proposing bid, proposal or contract documents on their own behalf or on behalf of any firm, partnership, association, or corporation. This Section applies only to those persons who terminate an affected position on or after January 15, 1999. (30 ILCS 500/50-30.)

**FORCED LABOR CERTIFICATION.** Vendor certifies, in accordance with Section 10 of the State Prohibition of Goods from Forced Labor Act (30 ILCS 583 /10), that none of the equipment, materials or supplies furnished pursuant to the provisions of this contract constitute imported, foreign-made goods which were produced in whole or in part by forced labor, convict labor or indentured labor. Vendor acknowledges that providing a false certification under this Section of the contract may result in: (1) this contract being voided at the Comptroller's option; (2) the Vendor being assessed a penalty of \$1,000 or an amount equal to 20% of the value of the equipment, materials or supplies produced by forced labor, convict labor or indentured labor;; and/or (3) the Vendor being suspended from bidding on any State contract for up to 360 days.

**EDUCATIONAL LOAN CERTIFICATION.** Vendor certifies that it is not in default on an educational loan as provided in the Educational Loan Default Act (5 ILCS 385/1 et seq.).

**BID-RIGGING AND BID ROTATING CERTIFICATION.** Vendor certifies that it is not barred from bidding on contracts with the State of Illinois as a result of a conviction of bid-rigging under Section 33E or of a bid rotating under Section 33E-4 of the Criminal Code of 1961 (720 ILCS 5/33E-3, 5/33E-4).

**DUES TO CLUBS THAT DISCRIMINATE CERTIFICATION.** Vendor certifies that it is not prohibited from selling goods or services to the State of Illinois because it pays dues or fees on behalf of its employees or agents or subsidiaries or otherwise reimburses them for payment of their dues or fees to any club that unlawfully discriminates. (775 ILCS 25/0.01 et seq.)

**INTERNATIONAL ANTI-BOYCOTT CERTIFICATION.** Vendor certifies and agrees that neither it nor any substantially-owned affiliated company is participating or shall participate in an international boycott in violation of the Federal Export Administration Act of 1979 (50 App. U.S.C. § 2401 et seq.) or the regulations of the United States Department of Commerce promulgated under that Act. This certification applies to contracts in excess of \$10,000 (30 ILCS 582).



(a) Publishing a statement:

- Establishing a drug free awareness program to inform employees about:**

- (1) the dangers of drug abuse in the workplace;
- (2) the grantee's or contractor's policy of maintaining a drug free workplace;
- (3) any available drug counseling rehabilitation, and employee assistance programs; and the penalties that may be imposed upon an employee for drug violations.

(c) Providing a copy of the statement required by subparagraph (a) to each employee engaged in the performance of the contract or grant and to post the statement in a prominent place in the workplace.

(d) Notifying the contracting or granting agency within ten (10) days after receiving notice under part (B) of paragraph (3) of subsection (a) above from an employee or otherwise receiving actual notice of such conviction.

(e) Imposing a sanction on, or requiring the satisfactory participation in a drug abuse assistance or rehabilitation program by, any employee who is so convicted, as required by section 5 of the Drug Free Workplace Act.

(f) Assisting employees in selecting a course of action in the event drug counseling, treatment, and rehabilitation is required and indicating that a trained referral team is in place.

(g) Making a good faith effort to continue to maintain a drug free workplace through implementation of the Drug Free Workplace Act.

**EQUAL EMPLOYMENT OPPORTUNITY CLAUSE.** The Vendor agrees not to commit "unlawful discrimination" in employment as that term is defined in the Illinois Human Rights Act (775 ILCS 5/1-101 et seq.), and further agrees to take affirmative action to ensure that no unlawful discrimination is committed.

**PUBLIC WORKS EMPLOYMENT DISCRIMINATION ACT.** The Vendor will comply with the Public Works Employment Discrimination Act (775 ILCS 10/01 et seq.). The provisions of this Act are made part of this contract by reference as though set forth herein.

**SEXUAL HARASSMENT POLICY.** The Vendor shall have a written sexual harassment policy that shall include the definition of sexual harassment under State law and its illegality; a description of sexual harassment utilizing examples; the Vendor's internal complaint process including penalties; the legal recourse available through and contact information for the Department of Human Rights and the Human Rights Commission; and protection against retaliation for complaining of sexual harassment.

Vendor, its employees and subcontractors will comply with applicable provisions of the U.S. Civil Rights Act and Section 504 of the Federal Rehabilitation Act.

**IDHR CONTRACTOR REGISTRATION NUMBER.** The Illinois Department of Human Rights (IDHR) requires certain persons wishing to bid on State of Illinois contracts to file a completed Employer's Report Form PC-1 before bid opening. IDHR will then assign a registration number. Your IDHR Contractor Registration Number must be submitted with your bid or proposal. Form PC-1 may be obtained by calling IDHR at 312-814-2431, TDD 312-263-1579. Your Bid will not be considered without this number.

IDHR Contractor Registration Number: #128679-00

**STATE BOARD OF ELECTIONS CERTIFICATION.** Vendor certifies, in accordance with 30 ILCS 500/20-160, as applicable:

☒ Vendor is not required to register as a business entity with the State Board of Elections.  
OR

☐ Vendor has registered as a business entity with the State Board of Elections and acknowledges a continuing duty to update the registration as required by the Act. A copy of the certification of registration is attached.

Vendor acknowledges that the State may declare this contract void without any additional compensation due to Vendor if this foregoing certification is false or if Vendor or its affiliated person or entities engage in conduct that violates 30 ILCS 500/20-160.

THE UNDERSIGNED AFFIRMS, UNDER PENALTIES OF PERJURY, THAT HE OR SHE IS AUTHORIZED TO EXECUTE THESE CERTIFICATIONS ON BEHALF OF THE DESIGNATED ORGANIZATION.

Printed Name of Organization	<u>Capitol Consulting Group Illinois, LLC</u>
Signature of Authorized Representative <u>Jeffrey A. Glass, Partner</u>	<u>RFP-CRS/11-101</u> Requisition/Contract/Grant ID Number
Printed Name and Title	<u>May 14, 2010</u> Date
<u>Diane R. Trocki</u> Contact Person Name	<u>630-916-7400</u> Contact Person Telephone Number

## Request for Taxpayer Identification Number and Certification

Give form to the  
requester. Do not  
send to the IRS.

Print or type  
See Specific Instructions on page 2.

Name (as shown on your income tax return)

**Capitol Consulting Group Illinois, LLC**

Business name, if different from above

Check appropriate box: ☐ Individual/Sole proprietor ☐ Corporation ☒ Partnership

☐ Limited liability company. Enter the tax classification (D=disregarded entity, C=corporation, P=partnership) ▶ .....

☐ Other (see instructions) ▶

☐ Exempt  
payee

Address (number, street, and apt. or suite no.)

**350 W. 22nd Street, #106**

City, state, and ZIP code

**Lombard, IL 60148**

Requester's name and address (optional)

List account number(s) here (optional)

### Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on Line 1 to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

**Note.** If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Social security number

or

Employer identification number

**30**

**0135333**

### Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person (defined below).

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the Certification, but you must provide your correct TIN. See the instructions on page 4.

Sign  
Here

Signature of  
U.S. person ▶

*Dean P. Juch*

Date ▶

*May 14, 2010*

### General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

#### Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

**Note.** If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

**Definition of a U.S. person.** For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

**Special rules for partnerships.** Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

The person who gives Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States is in the following cases:

- The U.S. owner of a disregarded entity and not the entity,

- The U.S. grantor or other owner of a grantor trust and not the trust, and
- The U.S. trust (other than a grantor trust) and not the beneficiaries of the trust.

**Foreign person.** If you are a foreign person, do not use Form W-9. Instead, use the appropriate Form W-8 (see Publication 515, Withholding of Tax on Nonresident Aliens and Foreign Entities).

**Nonresident alien who becomes a resident alien.** Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a "saving clause." Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the payee has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five items:

1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
2. The treaty article addressing the income.
3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
4. The type and amount of income that qualifies for the exemption from tax.
5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

**Example.** Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if his or her stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first protocol) and is relying on this exception to claim an exemption from tax on his or her scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity not subject to backup withholding, give the requester the appropriate completed Form W-8.

**What is backup withholding?** Persons making certain payments to you must under certain conditions withhold and pay to the IRS 28% of such payments. This is called "backup withholding." Payments that may be subject to backup withholding include interest, tax-exempt interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

**Payments you receive will be subject to backup withholding if:**

1. You do not furnish your TIN to the requester,
2. You do not certify your TIN when required (see the Part II instructions on page 3 for details),
3. The IRS tells the requester that you furnished an incorrect TIN,

4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or

5. You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See the instructions below and the separate Instructions for the Requester of Form W-9.

Also see *Special rules for partnerships* on page 1.

## Penalties

**Failure to furnish TIN.** If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

**Civil penalty for false information with respect to withholding.** If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

**Criminal penalty for falsifying information.** Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

**Misuse of TINs.** If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

## Specific Instructions

### Name

If you are an individual, you must generally enter the name shown on your income tax return. However, if you have changed your last name, for instance, due to marriage without informing the Social Security Administration of the name change, enter your first name, the last name shown on your social security card, and your new last name.

If the account is in joint names, list first, and then circle, the name of the person or entity whose number you entered in Part I of the form.

**Sole proprietor.** Enter your individual name as shown on your income tax return on the "Name" line. You may enter your business, trade, or "doing business as (DBA)" name on the "Business name" line.

**Limited liability company (LLC).** Check the "Limited liability company" box only and enter the appropriate code for the tax classification ("D" for disregarded entity, "C" for corporation, "P" for partnership) in the space provided.

For a single-member LLC (including a foreign LLC with a domestic owner) that is disregarded as an entity separate from its owner under Regulations section 301.7701-3, enter the owner's name on the "Name" line. Enter the LLC's name on the "Business name" line.

For an LLC classified as a partnership or a corporation, enter the LLC's name on the "Name" line and any business, trade, or DBA name on the "Business name" line.

**Other entities.** Enter your business name as shown on required federal tax documents on the "Name" line. This name should match the name shown on the charter or other legal document creating the entity. You may enter any business, trade, or DBA name on the "Business name" line.

**Note.** You are requested to check the appropriate box for your status (individual/sole proprietor, corporation, etc.).

### Exempt Payee

If you are exempt from backup withholding, enter your name as described above and check the appropriate box for your status, then check the "Exempt payee" box in the line following the business name, sign and date the form.

Generally, individuals (including sole proprietors) are not exempt from backup withholding. Corporations are exempt from backup withholding for certain payments, such as interest and dividends.

**Note.** If you are exempt from backup withholding, you should still complete this form to avoid possible erroneous backup withholding.

The following payees are exempt from backup withholding:

1. An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2),
2. The United States or any of its agencies or instrumentalities,
3. A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities,
4. A foreign government or any of its political subdivisions, agencies, or instrumentalities, or
5. An international organization or any of its agencies or instrumentalities.

Other payees that may be exempt from backup withholding include:

6. A corporation,
7. A foreign central bank of issue,
8. A dealer in securities or commodities required to register in the United States, the District of Columbia, or a possession of the United States,
9. A futures commission merchant registered with the Commodity Futures Trading Commission,
10. A real estate investment trust,
11. An entity registered at all times during the tax year under the Investment Company Act of 1940,
12. A common trust fund operated by a bank under section 584(a),
13. A financial institution,
14. A middleman known in the investment community as a nominee or custodian, or
15. A trust exempt from tax under section 664 or described in section 4947.

The chart below shows types of payments that may be exempt from backup withholding. The chart applies to the exempt payees listed above, 1 through 15.

IF the payment is for . . .	THEN the payment is exempt for . . .
Interest and dividend payments	All exempt payees except for 9
Broker transactions	Exempt payees 1 through 13. Also, a person registered under the Investment Advisers Act of 1940 who regularly acts as a broker
Barter exchange transactions and patronage dividends	Exempt payees 1 through 5
Payments over \$600 required to be reported and direct sales over \$5,000 <sup>1</sup>	Generally, exempt payees 1 through 7

<sup>1</sup> See Form 1099-MISC, Miscellaneous Income, and its instructions.

<sup>2</sup> However, the following payments made to a corporation (including gross proceeds paid to an attorney under section 6045(f), even if the attorney is a corporation) and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees, and payments for services paid by a federal executive agency.

## Part I. Taxpayer Identification Number (TIN)

**Enter your TIN in the appropriate box.** If you are a resident alien and you do not have and are not eligible to get an SSN, your TIN is your IRS individual taxpayer identification number (ITIN). Enter it in the social security number box. If you do not have an ITIN, see *How to get a TIN* below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN. However, the IRS prefers that you use your SSN.

If you are a single-member LLC that is disregarded as an entity separate from its owner (see *Limited liability company (LLC)* on page 2), enter the owner's SSN (or EIN, if the owner has one). Do not enter the disregarded entity's EIN. If the LLC is classified as a corporation or partnership, enter the entity's EIN.

**Note.** See the chart on page 4 for further clarification of name and TIN combinations.

**How to get a TIN.** If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local Social Security Administration office or get this form online at [www.ssa.gov](http://www.ssa.gov). You may also get this form by calling 1-800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at [www.irs.gov/businesses](http://www.irs.gov/businesses) and clicking on Employer Identification Number (EIN) under Starting a Business. You can get Forms W-7 and SS-4 from the IRS by visiting [www.irs.gov](http://www.irs.gov) or by calling 1-800-TAX-FORM (1-800-829-3676).

If you are asked to complete Form W-9 but do not have a TIN, write "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, generally you will have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

**Note.** Entering "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon.

**Caution:** A disregarded domestic entity that has a foreign owner must use the appropriate Form W-8.

## Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if items 1, 4, and 5 below indicate otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). Exempt payees, see *Exempt Payee* on page 2.

**Signature requirements.** Complete the certification as indicated in 1 through 5 below.

**1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983.** You must give your correct TIN, but you do not have to sign the certification.

**2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983.** You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.

**3. Real estate transactions.** You must sign the certification. You may cross out item 2 of the certification.

**4. Other payments.** You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).

**5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions.** You must give your correct TIN, but you do not have to sign the certification.

### What Name and Number To Give the Requester

For this type of account:	Give name and SSN of:
1. Individual	The individual
2. Two or more individuals (joint account)	The actual owner of the account or, if combined funds, the first individual on the account <sup>1</sup>
3. Custodian account of a minor (Uniform Gift to Minors Act)	The minor <sup>2</sup>
4. a. The usual revocable savings trust (grantor is also trustee)	The grantor-trustee <sup>1</sup>
b. So-called trust account that is not a legal or valid trust under state law	The actual owner <sup>1</sup>
5. Sole proprietorship or disregarded entity owned by an individual	The owner <sup>3</sup>
For this type of account:	Give name and EIN of:
6. Disregarded entity not owned by an individual	The owner
7. A valid trust, estate, or pension trust	Legal entity <sup>4</sup>
8. Corporate or LLC electing corporate status on Form 8832	The corporation
9. Association, club, religious, charitable, educational, or other tax-exempt organization	The organization
10. Partnership or multi-member LLC	The partnership
11. A broker or registered nominee	The broker or nominee
12. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments	The public entity

<sup>1</sup> List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

<sup>2</sup> Circle the minor's name and furnish the minor's SSN.

<sup>3</sup> You must show your individual name and you may also enter your business or "DBA" name on the second name line. You may use either your SSN or EIN (if you have one), but the IRS encourages you to use your SSN.

<sup>4</sup> List first and circle the name of the trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.) Also see *Special rules for partnerships* on page 1.

**Note.** If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

### Secure Your Tax Records from Identity Theft

Identity theft occurs when someone uses your personal information such as your name, social security number (SSN), or other identifying information, without your permission, to commit fraud or other crimes. An identity thief may use your SSN to get a job or may file a tax return using your SSN to receive a refund.

To reduce your risk:

- Protect your SSN,
- Ensure your employer is protecting your SSN, and
- Be careful when choosing a tax preparer.

Call the IRS at 1-800-829-1040 if you think your identity has been used inappropriately for tax purposes.

Victims of identity theft who are experiencing economic harm or a system problem, or are seeking help in resolving tax problems that have not been resolved through normal channels, may be eligible for Taxpayer Advocate Service (TAS) assistance. You can reach TAS by calling the TAS toll-free case intake line at 1-877-777-4778 or TTY/TDD 1-800-829-4059.

### Protect yourself from suspicious emails or phishing schemes.

Phishing is the creation and use of email and websites designed to mimic legitimate business emails and websites. The most common act is sending an email to a user falsely claiming to be an established legitimate enterprise in an attempt to scam the user into surrendering private information that will be used for identity theft.

The IRS does not initiate contacts with taxpayers via emails. Also, the IRS does not request personal detailed information through email or ask taxpayers for the PIN numbers, passwords, or similar secret access information for their credit card, bank, or other financial accounts.

If you receive an unsolicited email claiming to be from the IRS, forward this message to [phishing@irs.gov](mailto:phishing@irs.gov). You may also report misuse of the IRS name, logo, or other IRS personal property to the Treasury Inspector General for Tax Administration at 1-800-366-4484. You can forward suspicious emails to the Federal Trade Commission at: [spam@uce.gov](mailto:spam@uce.gov) or contact them at [www.consumer.gov/idtheft](http://www.consumer.gov/idtheft) or 1-877-IDTHEFT(438-4338).

Visit the IRS website at [www.irs.gov](http://www.irs.gov) to learn more about identity theft and how to reduce your risk.

### Privacy Act Notice

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons who must file information returns with the IRS to report interest, dividends, and certain other income paid to you, mortgage interest you paid, the acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA, or Archer MSA or HSA. The IRS uses the numbers for identification purposes and to help verify the accuracy of your tax return. The IRS may also provide this information to the Department of Justice for civil and criminal litigation, and to cities, states, the District of Columbia, and U.S. possessions to carry out their tax laws. We may also disclose this information to other countries under a tax treaty, to federal and state agencies to enforce federal nontax criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism.

You must provide your TIN whether or not you are required to file a tax return. Payers must generally withhold 28% of taxable interest, dividend, and certain other payments to a payee who does not give a TIN to a payer. Certain penalties may also apply.

# DISCLOSURE FORM A FINANCIAL INTERESTS AND POTENTIAL CONFLICTS OF INTERESTS

30 ILCS 500/50-35 requires vendors desiring to enter into certain contracts with the State of Illinois to disclose the financial and potential conflict of interest information specified below as a condition of receiving an award or contract. This requirement is applicable to contracts with an annual value exceeding \$10,000. You must submit this information with your bid, proposal, or offer.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements. A privately held entity that is exempt from Federal 10k reporting but has more than 400 shareholders may submit the information that Federal 10k reporting companies are required to report under 17 CFR 229.401 and list the names of any person or entity holding any ownership share that is in excess of 5% in satisfaction of the disclosure requirements.

**Disclosure of Financial Information.** The individuals named below have an interest in the vendor (or its parent) in terms of ownership or distributive income share of more than 5%, or an interest which has a value of more than 60% of the Governor's salary.

Name: Jeffrey A. Glass - Richard J. Guidice - Jay A. Keller

Address: 350 W. 22nd Street, Lombard, IL 60148

Type of Ownership/Distributable Income Share: Stock \_\_\_\_\_ Sole Proprietorship \_\_\_\_\_ Partnership X

Other (explain) \_\_\_\_\_

% or \$ value of ownership/distributable income share. 33 1/3 Equal Shares

**Disclosure of Potential Conflicts of Interest.** For each of the individuals having the level of financial interest identified above, check yes or no to indicate which, if any, of the following potential conflicts of interest relationships apply. If yes, please describe, attaching additional pages as necessary.

- (a) State employment, currently or in the previous 3 years, including contractual employment of services. Yes or No
- (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. Yes or No
- (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. Yes or No
- (d) Elective status currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes or No
- (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. Yes or No
- (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes or No
- (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. Yes or No
- (h) Employment currently or in the previous two years, of spouse, father, mother, son, or daughter as a registered lobbyist of the State government. Yes or No
- (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk of the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes or No
- (j) Relationship to anyone; spouse, father, mother, son, or daughter who was a compensated employee in the last 2 years of any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. -- NO

Vendor certifies that the information disclosed herein is true and correct. The Undersigned affirms, under penalty of perjury, that he or she is authorized to execute this disclosure on behalf of the Vendor.

Printed Name of Vendor Capitol Consulting Group Illinois, LLC

Signature of Authorized Representative [Signature]

RFP -- CRS/11-101

Requisition/Contract Number

Jeffrey A. Glass, Partner

May 14, 2010

Printed Name and Title

Date

**DISCLOSURE FORM B  
FINANCIAL INTERESTS AND  
POTENTIAL CONFLICTS OF INTERESTS**

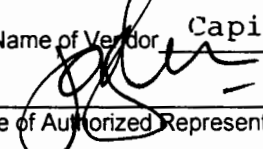
30 ILCS 500/50-35 requires vendors desiring to enter into certain contracts with the State of Illinois to disclose the information specified below as a condition of receiving an award or contract. This requirement is applicable to contracts with an annual value exceeding \$10,000. You must submit this information with your bid, proposal, or offer.

- (a) Vendor shall identify whether it has current contracts (including leases) with other units of State of Illinois government by checking "Yes" or "~~No~~".
- (b) Vendor shall identify whether it has pending contracts (including leases), bids, proposals, or other ongoing procurement relationships with any other units of State of Illinois government by checking "Yes" or "~~No~~".

If "yes" is checked, identify each such relationship by showing agency name and other descriptive information such as bid or project number (attach additional pages as necessary).

Vendor certifies that the information disclosed herein is true and correct. The Undersigned affirms, under penalty of perjury, that he or she is authorized to execute this disclosure on behalf of the Vendor.

Printed Name of Vendor Capitol Consulting Group Illinois, LLC

  
Signature of Authorized Representative

RFP -- CRS/11-101  
Requisition/Contract Number

Jeffrey A. Glass, Partner  
Printed Name and Title

May 14, 2010  
Date



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## **CAPITOL CONSULTING GROUP ILLINOIS, LLC – COST PROPOSAL**

RE: Court Reporting Services RFP – CRS/11-101 – Legislative Services

### **Capitol Consulting Group Illinois, LLC – Cost for Legislative Consulting Services**

**Flat rate of \$35,000 from execution of contract through June, 2011**

The number of hours needed to complete the project may vary. As indicated in the *Plan to Address Services Required*, staff will be available to CRS on an on-going basis throughout the regular legislative and veto sessions.

There will be no out of pocket expenses

**A. Technical Proposal for Legislative Assistance and Support Services**

1. The Hammer Legal Group has two (2) individuals who can devote a minimum of 1200 hours each to the activities identified in the RFP which include *drafting Legislation, acquiring sponsors, support for a bill in committees through the House and Senate and through Governor's enactment, and monitoring pending legislation*. Both individuals are available to handle all of the above activities for the entire year.

**2. Organizational Information**

The Hammer Legal Group LLC ("*HLG*") is a limited liability company formed to handle business and legal matters for various organizations. *HLG* is committed to providing quality services to our clients that integrate the firm's legal background and technology proficiencies in a variety of industries most notably, housing, construction, rehabilitation, federal units of government, and regulatory compliance.

The Hammer Legal Group was formed by Meridith Hammer, Esq., an attorney admitted to practice law in Indiana since 2001. Ms. Hammer serves as the *Chief Executive Officer* and will be a *lead attorney* handling all transactional matters for projects administered by *HLG*. Ms. Hammer has four (4) years of experiences as the *Primary Deal Negotiator* at CA Inc., a software development company. Also, Ms. Hammer has served as *Corporate Counsel* for three (3) years for Misners Homecare LLC, a construction company focused on restoring and renovating residential properties in the Indianapolis area. Additionally Ms. Hammer serves as *Corporate Counsel* for the Crosstown Community Development Corporation, a non-profit organization committed to rebuilding the Crosstown neighborhood. Further, Ms. Hammer has an extensive background as a software developer in the software industry.

Heather Gaddie Contee, Esq. is a *lead attorney* at *HLG*. Ms. Contee has been admitted to practice law since 2000. Ms. Contee serves as *Vice President of Operations* for *HLG* and will be a lead attorney on projects administered by *HLG*. Ms. Contee has six (6) years of experience as a *Regulatory Compliance Officer* and *Assistant Legal Counsel* in the health care insurance industry.

Bart Beals is a *lead attorney* at *HLG*. Mr. Beals has been admitted to practice law since 2000. Mr. Beals is admitted to practice law in Illinois, the District of Columbia, and the United States Supreme Court. Mr. Beals is a former Assistant General Counsel for the District of

Columbia Housing Authority where he worked on litigation, procurement issues, and Davis-Bacon related matters

Clayton Harris III is a *lead attorney* at HLG. Mr. Harris has been admitted to practice law in Illinois since 2000. Mr. Harris is an experienced litigator and has served two Governors of Illinois and the Mayor of Chicago.

John Hightower is the *Chief Technology Officer* for HLG. Mr. Hightower holds a Masters in Business Administration and has fifteen (15) years of experience in the software industry. Additionally Mr. Hightower has previous been a co-owner of an Indianapolis based technology firm. Mr. Hightower has extensive experience as a software developer in various industries including construction, Department of Defense, and pharmaceutical.

### 3. Qualifications of Individuals

Bart Beals is an attorney with The Hammer Legal Group and has been in practice for ten (10) years. Mr. Beals has worked on legislative matters as a registered lobbyist in Washington DC. Mr. Beals worked for Shaw Pittman where he drafted testimony and questions for Senate Hearings. He also worked with members of Congress to formulate legislation that addressed the needs of his clients. Mr. Beals has also worked with the NAACP Southside Branch in Chicago Illinois where he provided positions papers on legislative matters.

Clayton Harris has served two Illinois Governors. Mr. Harris was assistant to May Daley where he was in the Intergovernmental Affairs Division and in charge of his Crime, Labor and Environmental initiatives specifically. While working for Mayor Daley, Mr. Harris also reviewed all legislation which could possibly affect the City of Chicago. Mr. Harris was also General Counsel for the Chicago Department of Transportation where he monitored legislation while working with the Mayor's office. Mr. Harris was also the Chief of Staff of the Illinois Department of Transportation where he was involved with every aspect of legislation through the state that dealt with transportation. Mr. Harris advanced to Deputy Chief of Staff for the Governor's office where he was responsible for all infrastructure needs for the state. While working for the Illinois Governor, MR. Harris wrote the Capital Bill and worked with both sides of the isle to create a bipartisan solution for the infrastructure needs of the State of Illinois.

Please see attached resumes which more specifically outline the qualifications of the above individuals.

4. Listing of clients and similar projects

1. Mr. Beals has worked on several cases. While working at the District of Columbia Housing Authority, Mr. Beals served as counsel on two “fightback” cases in D.C. Superior Court. These were cases where eviction trials where DCHA evicted the leaseholder based on a crime being committed by the leaseholder or someone leaving in the unit. While working at Sonneschein Nath & Rosenthal, Mr. Beals served as an attorney on the United States District Court, N.D. Illinois AXA Corporate Solutions v. Underwriters Reinsurance Company (see attached)
2. Mr. Harris has worked on 35 felony narcotics cases, three hundred bench trials, pre-trial motions, 402 conferences and post-trial motions all of which are outlined on the attached resume.

Not Reported in F.Supp.2d, 2004 WL 5492710 (N.D.Ill.)  
(Cite as: 2004 WL 5492710 (N.D.Ill.))

**H**Only the Westlaw citation is currently available.

United States District Court,  
N.D. Illinois.  
AXA CORPORATE SOLUTIONS  
v.  
UNDERWRITERS REINSURANCE COMPANY.  
**No. 02 C 3016.**

Nov. 10, 2004.

Named Expert: Clement Dwyer, Donald McFall  
Richard Thomas Franch, Joel T. Pelz, Megan B. Poetzel, Jenner & Block, LLP, Chicago, IL, for AXA Corporate Solutions.

Robert C. Johnson, Andrew Robert Greene, Christopher A. Wallace, Donna J. Vobornik, Wendy N. Enerson, Sonnenschein, Nath & Rosenthal, LLP, Bart Beals, Saulter & Beals, Chicago, IL, for Underwriters Reinsurance Company.

### ORDER

JOAN H. LEFKOW, J.

\*1 According to AXA, AXA “retained Dwyer to render an opinion on the relationship among parties to a reinsurance transaction, what one normally would expect a reinsured to do in the course of such a transaction, and how a reinsured’s failure to meet those expectations can cause injury to a reinsurer.” (AXA RE’s Response in Opposition to URC’s Motions in Limine to Exclude Expert Opinions of Clement Dwyer and Donald McFall at P. 2). AXA retained McFall to compare the law in Texas with Illinois and New York law to determine whether the risk inherent in Texas law had the potential to be higher than that of New York or Illinois law. “In sum, the opinions of Dwyer and McFall simply walk the fact finder through [sic] the complicated, technical morass of relationships, responsibilities and business decisions that a jury must understand to make the necessary factual determinations underlying the complex reinsurance transaction in this case.” *Id.* at 6.

URC argues, however, that Dwyer’s opinions contain

little more than legal conclusions and inadmissible hearsay and that McFall testifies almost exclusively on legal issues, namely the differences between the laws of New York, Illinois and Texas. URC contends that Dwyer “testifies as to what legal duties URC owed to AXA, and even goes so far as to testify that URC violated those duties. He also gives a lengthy recitation of the hearsay statements concerning the history of the negotiations between the parties.” (Defendant’s Motion in Limine to Exclude Expert Opinion of Clement Dwyer at P.1).

Dwyer’s opinion reads to some extent as a “regurgitation” of AXA’s allegations; however, it is presumably necessary that the expert discuss the factual basis for his opinion. According to Dwyer’s submissions, he reviewed the files of AXA and URC relating to George Litto Productions, filings from the present lawsuit, including the complaint, interrogatories, admission responses, and a letter from URC’s counsel supplementing its responses to AXA’s interrogatories and requests for admissions, and depositions, trial testimony, trial exhibits, supplemental documents, and Judge Gammerman’s opinion from the New York Action. These materials are relied upon by experts in the field. *See U.S. Fidelity & Guar. Co. v. Sulco, Inc.*, 171 F.R.D. 305-307-308 (D.Kan.1997) (Expert witness testifying regarding insurer’s mishandling of claims relied on claims file and third party notes). It would also seem that URC’s concerns about Dwyer’s testimony may be addressed on cross-examination.

URC asserts that McFall’s testimony is irrelevant, not the product of reliable principles and methods, and cumulative and needlessly time-consuming. However, as AXA argues, McFall’s testimony is relevant to establishing the legitimacy of AXA’s concerns regarding the potential for increased exposure under Texas law. With regard to whether McFall’s testimony is the product of reliable principles, it appears that he researched the laws for the three states at issue to determine whether there was the *potential* for increased exposure to liability in Texas rather than *actual* increased exposure to liability. Thus, URC’s argument that McFall did not research any case law or jury awards and, consequently, McFall’s opinion is unreliable, is unavailing. McFall’s testimony is also non-cumulative as he is the only witness scheduled to

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(Cite as: 2004 WL 5492710 (N.D.Ill.))

testify regarding the material differences between the laws of Texas, New York, and Illinois and whether those differences would be considered by insurers in evaluating risks.

\*2 The court will not bar the witnesses's testimony, but before they testify the court will review their reports to identify portions in which the witness appears to be opining on the law as to which this court has a duty to instruct as opposed to what a knowledgeable person in the witness' position would do under the facts presented by this case. Thus, some of the testimony may be barred.

N.D.Ill.,2004.  
AXA Corporate Solutions v. Underwriters Reinsurance Co.  
Not Reported in F.Supp.2d, 2004 WL 5492710  
(N.D.Ill.)

END OF DOCUMENT

# **Bart E. Beals**

## **Professional Experience**

### **Beals Law Firm-Washington, D.C. and Chicago, Illinois**

#### **Lead Practitioner**

***September 2006 to Present***

Conduct trial work, appellate work, legal research and draft legal memoranda, pleadings, and briefs in the areas of constitutional, criminal, and civil law.

### **District of Columbia Housing Authority-Washington, D.C.**

#### **Assistant General Counsel**

***June 2005 to September 2006***

Practiced in the areas of criminal law, litigation, and contract law. Responsibilities included researching relevant legal areas, drafting memorandum, and compliance with relevant federal and local procurement regulations. Responsibilities also included advising DCHA police officers regarding compliance with relevant local regulations, federal law and constitutional law. Litigation responsibilities included coordination and preparation of “fightback” cases with local and federal law enforcement officers. Responsibilities also included a lead role in jury trials, informal hearings and cases in administrative bodies.

### **Law Resources-Washington, D.C.**

#### **Contract Attorney**

***February 2005 to May 2005***

A temporary attorney position in a large District of Columbia Law Firm. The assignment involved a privilege review and designation for a large merger deal.

### **Saulter and Beals- Chicago, Illinois**

#### **Partner**

***March 2003 to February 2005***

Practice involved trial work in the areas of criminal defense, personal injury and civil rights. Conducted legal research and drafted legal memoranda and pleadings in the areas of constitutional, criminal, personal injury and civil rights law. Acted as lead counsel in several jury trials as well as administrative hearings.

### **Sonnenschein Nath & Rosenthal- Chicago, Illinois**

#### **Associate Attorney: Litigation Group**

***October 2001 to February 2003***

Conducted legal research and drafted legal memoranda and pleadings regarding various complex legal matters for the General Litigation Group. Assisted in preparing discovery requests and document productions.

### **Shaw Pittman- Washington, D.C.**

#### **Associate Attorney: Corporate Group,**

#### **Government Relations and Diversity Group**

***September 2000 to September 2001***

Practiced in the areas of general corporate law, mergers, acquisitions, and government relations. Responsibilities included researching and drafting memoranda, corporate resolutions, Articles of Incorporation, and Bylaws and assisted in Closings. Government Relations responsibilities included researching government regulations, drafting memoranda, and reviewing corporate documents to insure that the clients were compliant with the relevant government regulations. In addition, responsibilities included working with members of the United States Congress in order to address the concerns of clients.

# **Bart E. Beals**

## **Sonnenschein, Nath & Rosenthal- Chicago, Illinois**

### ***Summer Associate***

***June 1999 to August 1999***

Conducted legal research and drafted legal memoranda on various complex legal matters for the Employment and Labor Group, General Litigation Group and Corporate Group. Assisted in the drafting of an injunction to prevent the displacement of poor residents from their homes in the near west area of Chicago.

## **Shaw Pittman- Washington, DC**

### ***Summer Associate***

***May 1999 to June 1999***

Conducted legal research and drafted legal memoranda on various complex legal matters for the Employment and Labor Group, General Litigation Group and Corporate Group.

## **Other Experience**

### **Westwood College- Calumet City, IL**

#### ***Instructor- Criminal Justice***

**2004**

Taught Criminal Justice for two terms. Prepared course syllabus for each term. Assisted students in preparation of each class and examinations.

### **Alliance of Congregations Transforming the Southside- Chicago, IL      1995 - 1997**

#### ***Community Organizer.***

Organized eleven churches from different denominations to empower the community. Closed five drug areas. Helped institute safe school zone. Organized parents to lobby for education reform in state capitol.

### **Chicago Board of Education- Chicago, IL**

**1995 - 1997**

#### ***Substitute Teacher.***

Requested by principal to teach at Louis Wirth Experimental School. Taught sixth through eighth grade science and math.

## **Education**

### **Howard University School of Law - Washington, DC**

#### ***Doctor of Jurisprudence, cum laude***

### **Illinois Institute of Technology- Chicago, Illinois**

#### ***Bachelor of Arts in Business Administration***

## **Bar Admissions**

**Illinois Bar, 2000**

**District of Columbia Bar, 2001**

**Federal Bar, Northern District of Illinois, 2002**

**Federal Bar, District of Columbia, 2005**

**Seventh Circuit Court of Appeals, 2006**

**Northern District of Illinois, Trial Bar, 2006**

**United States Supreme Court Bar, 2007**

# CLAYTON K. HARRIS III

5022 South Prairie Avenue -- Chicago, Illinois, 60637 -- (773) 412-8504 -- ckharris3@yahoo.com

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## **SUMMARY:**

Trusted advisor to two Governors of Illinois and the Mayor of Chicago. Agile, successful project manager; as Chief of Staff reconstructed the massive Dan Ryan Expressway ahead of time and on budget. Highly motivated, focused and detail oriented and as Assistant State's Attorney managed the busiest traffic courtroom in Cook County. Superior abilities in personnel and crisis management developed as Combat Systems Engineer while working on missile defense at the Pentagon.

## **PROFESSIONAL EXPERIENCE:**

**Consulting Harris III/Harris & Harris III, LLC, President/Chief Executive Officer 2009 - Present**

### ***Consulting Harris III***

- Illinois based consulting firm providing unique competencies and qualifications that enable its clients to realize expected outcomes from complex issues involving State and Municipal governments, transportation and corporate operations.

### ***Harris & Harris III***

- Full service law firm located in Chicago, Illinois that specializes in criminal defense and civil litigation, and advises clients in matters related to governmental affairs, municipal activities and immigration administration.

**University of Chicago, Adjunct Professor 2009 – Present**

### ***Process and Policy in City and State Government***

- Teaching a course which consists of three interrelated sub-sections:
  - Process and policy in city and state government;
  - The role played by influential, key officials in determining policy outcomes; and
  - Policymaking during and after a political crisis.

**State of Illinois, Chicago, IL 2006 - 2009**

### ***Chief of Staff, State of Illinois* December 2008 – February 2009**

- Planned and directed all administrative, financial, and operational activities for the State of Illinois.
- Point of contact between Executive staff, Deputy Governors and Deputy Chiefs of Staff.
- Provided extensive coordination, oversight, experience and judgment to plan and accomplish the goal of keeping the 60,000 state employees, a \$56 Billion budget and Illinois under control and operating during impeachment proceedings.

### ***Deputy Chief of Staff, Governor's Office* August 2008 – December 2008, February 2009 – March 2009**

- Managed the infrastructure state departments for the State of Illinois including the Illinois Department of Transportation, the Illinois Central Management Service, the Illinois Toll way Authority, the Illinois Department of Revenue and the State retirement boards.
- Ensured that each department was worked to provide infrastructure enhancement throughout the state through transportation, facilities, finance and state management.

### ***Illinois Works Coalition (IWC), Executive Director* March 2008 – February 2009**

- Led the Illinois Works Coalition with former Speaker of the United States House of the Representatives J. Dennis Hastert and President of Southern Illinois University Glenn Poshard.
- Met with people in every corner of the state to assess the infrastructure problems that Illinois faces.
- Traveled around the state to assess immediate needs including K-12 school buildings in disrepair, crumbling roads and bridges, water and sewer systems needing upgrades, hospitals, colleges and universities that need to restore and expand their facilities.
- Estimated Capital program to be in the \$34 billion range. Formulated a funding plan for a Capital plan without raising taxes through the partial lease of the Illinois state lottery and a moderate increase in gaming.
- Developed a legislative strategy to have the bills called and passed through the General Assembly.

### ***Chief of Staff, Illinois Department of Transportation (IDOT)* January 2006 – August 2008**

- Managed one of the largest Illinois State agencies with over 5,600 employees and eleven disciplines including the Division of Highways, Finance & Administration, Chief Counsel and the Office of Business and Workforce Diversity, as well as a budget of over \$1.87B and more than 500 ongoing projects throughout the State.
- Point person on the Dan Ryan Expressway reconstruction project. Advanced Secretary's policies set by the Governor by increasing minority participation both contractually and within the workforce.
- Implemented IDOT's Diversity Action Council and sat as Chair overseeing initiatives such as parity in pay and advancement in underutilized populations within IDOT. Oversaw daily operations ranging from high levels of discrimination complaints to discipline within the department.
- Assumed duties as Acting Director for offices within the department: *Office of Business and Workforce Diversity, Office of Operations and Communications, and Department of Public and Intermodal Transportation.*

## CLAYTON K. HARRIS III

500 North Dearborn Avenue -- Chicago, Illinois, 60637 -- (773) 412-8504 -- ckharris3@yahoo.com

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### **PROFESSIONAL EXPERIENCE CONTINUED:**

#### **City of Chicago, Chicago, IL 1999 – 2006**

##### ***General Counsel, Chicago Department of Transportation (CDOT) January 2005 – January 2006***

- Legal counsel for CDOT and to the Commissioner.
- Maintained, managed and advanced CDOT's legislative agenda through city ordinances, state bills and federal mandates.
- Wrote and executed easements, right of entries, intergovernmental agreements and coordinated the legalities of CDOT's interaction with other entities both inside and outside the City of Chicago.
- Answered all subpoenaed and Freedom of Information Act requested material.
- Focused on high-level disciplinary action within the department and implemented a strategy to employ the Commissioner's vision throughout CDOT.

##### ***Assistant to the Mayor, Mayor Richard M. Daley February 2003 – January 2005***

- Legislative counsel to Mayor Richard M. Daley in Springfield through Intergovernmental Affairs (IGA) department.
- Developed, coordinated and advanced the City of Chicago's state legislative agenda.
- Analyzed and determined the City of Chicago's position on legislation submitted before the General Assembly: Regular, Veto and Budgetary session.
- Reviewed every bill submitted and each amendment filed.
- Drafted legislation and testified before legislative committees.
- Advanced legislation for the Governor's signature such as the Modernization of O'Hare airport, the Early Retirement Incentive plan and numerous other pieces of legislation.
- Negotiated pertinent issues such as the closure of the gun-show loophole, the opposition to the disconnect of LIHEAP customers, and harm behind conceal and carry laws to the County and City.
- Acted as the liaison between City Hall and the Senators and Representatives of the State of Illinois.

##### ***Assistant State's Attorney, Cook County State's Attorneys' Office August 1999 – February 2003***

- **Narcotics; Special Prosecutions**
  - Maintained a caseload of 35 felony narcotics cases through vertical prosecution, beginning with approval and writing search warrants, presenting the facts to the Special Grand Jury for indictment, dealing with bond issues, addressing pre-trial motions, prosecuting cases at trial, through responding to and arguing post-trial motions and sentencing.
- **State Narcotics**
  - Tried over three hundred bench trials, pre-trial motions, 402 conferences dealing with mediation, and post-trial motions as well as sentencing.
  - Examined and prepped witnesses, recommended sentences and probation to plead cases before going to trial.
  - Set up and ran the call for the night's call.
- **Preliminary Hearings**
  - *Special Grand Jury* -- Voir dire Grand Jury and Indict complex drug cases that have bypassed the preliminary branch courts.
  - *Preliminary Branch Court* -- Argued for the determination of probable cause to send an accused drug offender to trial based on interview of arresting officer from drop cases, surveillance cases, search warrants and criminal drug conspiracies.
  - *Central Bond Court* -- Based on criminal background and facts of the case presented on the arrest report argued for a determination of bond for every drug offender arrested in Cook County.
- **Traffic**
  - Ascended to First Chair of the busiest DUI and other major traffic violations courtroom.
  - Tried more than 400 bench trials and motions dealing with reckless driving, suspended licenses and driving under the influence.
  - Successfully tried DUI jury trials.
- **Criminal Appeals**
  - Wrote sixteen appellate briefs dealing with charges from aggravated battery to first degree murder and issues ranging from jurisdiction, reasonable doubt, *King* and *Aprenedi* issues to improper procedures. All sixteen briefs were affirmed.

## CLAYTON K. HARRIS III

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### LEGAL INTERNSHIPS:

#### Washington, D.C. 1996 – 1999

##### ***United States Department of Agriculture, Equal Employment Opportunity Specialist*** July 1997 – May 1999

- Assisted with Agriculture Research Service, Office of the Director, Civil Rights Staff. Responsible for writing and maintaining compliance procedures for settlement agreements between the agency and employees.
- Focused on mediation and arbitration of civil rights complaints across the USDA.
- Analyzed discrimination claims brought by agency employees and made recommendations on proposed disposition of complaint and development of resolution options.

##### ***Cook County State's Attorneys Office, Intern*** May 1998 – August 1998

- Supreme Court Rule 711 Student Lawyer to the Assistant State's Attorney in Night Narcotics. Tried numerous bench trials and defended against motions to suppress evidence. Wrote memorandums of law opposing defense counsel's motions. Assisted prosecutor during bench trials, examined and prepped witnesses, further aided with conferences in chambers dealing with mediation, recommended sentences and probation to plead cases before going to trial.

##### ***Federal Aviation Administration, Commercial Space Transportation, Intern*** January 1998 – May 1998

- Worked with Assistant Administrator of Commercial Space Transportation on regulation of commercial rocket launches.
- Researched the regulations for reusable launch and reentry vehicles.
- Wrote a Special Position paper for the Federal Aviation Administration's authority, pursuant to the Administrative Procedure Act, to suspend commercial rocket launch licenses and regulate Orbital Debris.

##### ***Prince George's County State's Attorneys Office, Intern*** May 1997 – August 1997

- Sat second chair to the Assistant State's Attorney during trials. Assisted prosecutor during bench trials, including the examination of witnesses, further aided with criminal litigation, mediation, arbitration, and alternative dispute resolution to plead cases before going to trial.
- Interviewed witnesses, wrote *voir dire* for potential jurors, set up the daily criminal and traffic dockets.

##### ***Howard University School of Law, Student Bar Association, President*** August 1998 – May 1999

- Worked as the liaison between the student body and the law school administration. Facilitated the "Campaign Finance As A Civil Rights Issue" symposium in conjunction with the National Voting Rights Institute.
- Edited the *Social Justice Journal*. Successfully drove initiative to raise law school admission and academic standards.
- Organized march on the United States Supreme Court in support of diversity. Spoke at the United States Capitol in support against police brutality.

### ENGINEERING EXPERIENCE:

#### ***PRC, Inc.***, April 1994 – June 1997

- **Combat Systems Engineer**
  - Provided support on tasks on the ARES ASAP contract for the Department of Defense's Ballistic Missile Defense Organization (BMDO) at the Pentagon.
  - Wrote *Theater Missile Defense User's Handbook* for the Department of Defense's Joint Force Directorate.
  - Researched threats, responses, facts and figures within the CONOPS, Master Plan and numerous other sources for use with the Red and Blue teams for wargame exercises.
- **Program Research Analyst**
  - Provided support to the Defense Advanced Research Projects Agency (DARPA):
    - Performed duties as a Program Research Analyst in the Information Technology Office (ITO) under the Microsystems PAD area that encompassed Microsystems, Computational Prototyping, and Microarchitectures at DARPA.

#### ***Smithsonian Institution, National Air & Space Museum, Intern*** June 91 - August 91, August 92 - November 92

- Awarded a paid academic internship.
- Worked with the Minority Outreach Program, input data and aided with programs dealing with inner-city children.
- Assisted with the Aerospace department and did extensive research on the book *Black Wings*.
- Redesigned National Air & Space Museum's Guggenheim fellowship brochure.
- Awarded a contracting position in computer service where worked on the Local Area Network (LAN) as an administrator's assistant in Computer Services.

## **CLAYTON K. HARRIS III**

5622 South Prairie Avenue -- Chicago, Illinois, 60637 -- (773) 412-8504 -- ckharris3@yahoo.com

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### **EDUCATION:**

**Howard University School of Law, Washington, DC**

- ***Juris Doctor***, 1999
  - President, Student Bar Association
  - Member, National Moot Court

**Middle Tennessee State University, Murfreesboro, TN**

- ***BS in AeroSpace Technology***
  - ***Minor in Mathematics***, 1992
    - Air Force Reserve Officer Training Corps Scholarship (two years)
    - Honor Flight (two years)

### **LICENSURE:**

**Illinois State Bar licensed**

- **Chicago Bar Association – Member**
- **Illinois State Bar Association – Member**

### **COMMUNITY INVOLVEMENT:**

**Omega Psi Phi Fraternity, Inc.**

- **Chapter Legal Counsel**

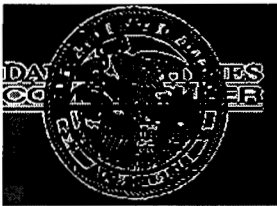
**Little Black Pearl Art and Design Center**

- **Immediate Past President**
- **Board of Directors**

**Washington Park Chamber of Commerce**

- **Board of Directors**

### **REFERENCES PROVIDED UPON REQUEST**



## **STANDARD CERTIFICATIONS**

**CONFLICT OF INTEREST.** Vendor covenants that it has no public or private interest and shall not acquire, directly or indirectly, any such interest that would conflict in any manner with the performance of its services under this contract. Further, Vendor agrees to comply with the provisions of the Illinois Procurement Code prohibiting conflicts of interest (30 ILCS 500/Article 50). All the terms, conditions, and provisions of Article 50 apply to this contract and are made a part of this contract the same as though they were incorporated and included herein. Vendor further represents that the disclosures required by Section 50-35 of the Illinois Procurement Code (30 ILCS 500/50-35), if applicable, have been made and are true and correct.

**BRIBERY CONVICTION CERTIFICATION.** Vendor certifies that it is not barred from being awarded a contract or subcontract with the Office of the Comptroller or with the State of Illinois under Section 50-5 of the Illinois Procurement Code (30 ILCS 500/50-5). Section 50-5 of the Illinois Procurement Code prohibits contracting with a person or business that has been convicted under the laws of Illinois or of any other State of bribing or attempting to bribe a State officer or employee or that has made an admission of guilt to that conduct but has not been prosecuted.

**FELONY CONVICTION CERTIFICATION.** Vendor certifies that it is not barred from being awarded a contract or subcontract with the Office of the Comptroller or with the State of Illinois under Section 50-10 of the Illinois Procurement Code (30 ILCS 500/50-10). Section 50-10 of the Illinois Procurement Code prohibits a person or business entity convicted of a felony from doing business with the State of Illinois or any State agency from the date of conviction until five (5) years after the completion of the sentence for such felony, unless no person held responsible by a prosecutorial office for the facts upon which the conviction was based continues to have any involvement with the business. Vendor acknowledges that making a false statement with regard to this certification is a Class 3 felony.

**SARBANES-OXLEY ACT CERTIFICATION.** Vendor certifies that it is not barred from being awarded a contract with the Office of the Comptroller or with the State of Illinois under Section 50-10.5 of the Illinois Procurement Code (30 ILCS 500/50-10.5). Section 83 50-10.5 of the Illinois Procurement Code prohibits a business from bidding on or entering into a contract with the State if the business or any officer, director, or partner, or other managerial agent of the business has been convicted of a felony under the Sarbanes-Oxley Act of 2002 (15 U.S.C. § 7201 et seq.) or of a Class 3 or Class 2 felony under the Illinois Securities Law of 1953 (815 ILCS 5/1 et seq.) for a period of five (5) years prior to the date of the bid or contract. Vendor acknowledges that the Office of the Comptroller shall declare this contract void if this certification is false.

**DEBT DELIQUENCY CERTIFICATION.** Vendor certifies that neither it nor any of its affiliates is prohibited from entering into a contract with the Office of the Comptroller or the State of Illinois by Section 50-11 of the Illinois Procurement Code (30 ILCS 500/50-11). Section 50-11 of the Illinois Procurement Code bars any person who is delinquent in the payment of a debt to the State from contracting with a State agency, unless that person or its affiliates has entered into a deferred payment plan to pay off the debt. Vendor acknowledges that the Office of the Comptroller may declare this contract void if this certification is false.

**USE TAX CERTIFICATION.** Vendor certifies that neither it nor its affiliates is prohibited from entering into a contract with the Comptroller or the State of Illinois under Section 50-12 of the Illinois Procurement Code (30 ILCS 5000/50-12). Section 50-12 of the Illinois Procurement Code bars a person from entering into a contract with a State agency if the person or any of its affiliates has failed to collect and remit Illinois Use Tax on all sales of tangible personal property into the State of Illinois in accordance with the provisions of the Illinois Use Tax Act (35 ILCS 105/1 et seq.). Vendor acknowledges that the Office of the Comptroller may declare this contract void if this certification is false.

**ENVIRONMENTAL PROTECTION ACT CERTIFICATION.** Vendor certifies that it is not barred from being awarded a contract with the Office of the Comptroller or the State of Illinois under Section 50-14 of the Illinois Procurement Code (30 ILCS 500/50-14). Section 50-14 of the Illinois Procurement Code bars the State from awarding a contract to any person or business found by a court or by the Pollution Control Board to have committed a willful or knowing violation of Section 42 of the Environmental Protection Act (415 ILCS 5/42). A State agency is barred from awarding a contract to a person or business found to have been in violation for a period of five (5) years from the date of the order containing the finding of violation, unless there is no practicable alternative available to the State. Vendor acknowledges that the Office of the Comptroller may declare this contract void if this certification is false.

**LEAD POISONING PREVENTION ACT CERTIFICATION.** Vendor certifies that it is not barred from being awarded a contract or subcontract with the Office of the Comptroller or with the State of Illinois under Section 50-14.5 of the Illinois Procurement Code (30 ILCS 500/50-14.5). Section 50-14.5 of the Illinois Procurement Code prohibits owners of residential buildings who have committed a willful or knowing violation of the Lead Poisoning Prevention Act (410 ILCS 45) from doing business with the State of Illinois or any State agency until the violation is mitigated. Vendor acknowledges that the Office of the Comptroller may declare this contract void if this certification is false.

**REVOLVING DOOR PROHIBITION CERTIFICATION.** Vendor certifies that it is not barred from engaging in any procurement activity with the Office of the Comptroller under Section 50-30 of the Illinois Procurement Code (30 ILCS 500/50-30). Section 50-30 of the Procurement Code prohibits chief procurement officers, associate procurement officers, State purchasing officers and their designees whose principal duties were directly related to State procurement from engaging in any procurement activity for a period of two (2) years after terminating an affected position relating to an agency most recently employing them in an affected position for a period of at least six (6) months. The prohibition includes but is not limited to lobbying the procurement process; specifying; bidding; proposing bid, proposal or contract documents on their own behalf or on behalf of any firm, partnership, association, or corporation. This Section applies only to those persons who terminate an affected position on or after January 15, 1999. (30 ILCS 500/50-30.)

**FORCED LABOR CERTIFICATION.** Vendor certifies, in accordance with Section 10 of the State Prohibition of Goods from Forced Labor Act (30 ILCS 583 /10), that none of the equipment, materials or supplies furnished pursuant to the provisions of this contract constitute imported, foreign-made goods which were produced in whole or in part by forced labor, convict labor or indentured labor. Vendor acknowledges that providing a false certification under this Section of the contract may result in: (1) this contract being voided at the Comptroller's option; (2) the Vendor being assessed a penalty of \$1,000 or an amount equal to 20% of the value of the equipment, materials or supplies produced by forced labor, convict labor or indentured labor; and/or (3) the Vendor being suspended from bidding on any State contract for up to 360 days.

**EDUCATIONAL LOAN CERTIFICATION.** Vendor certifies that it is not in default on an educational loan as provided in the Educational Loan Default Act (5 ILCS 385/1 et seq.).

**BID-RIGGING AND BID ROTATING CERTIFICATION.** Vendor certifies that it is not barred from bidding on contracts with the State of Illinois as a result of a conviction of bid-rigging under Section 33E or of a bid rotating under Section 33E-4 of the Criminal Code of 1961 (720 ILCS 5/33E-3, 5/33E-4).

**DUES TO CLUBS THAT DISCRIMINATE CERTIFICATION.** Vendor certifies that it is not prohibited from selling goods or services to the State of Illinois because it pays dues or fees on behalf of its employees or agents or subsidiaries or otherwise reimburses them for payment of their dues or fees to any club that unlawfully discriminates. (775 ILCS 25/0.01 et seq.)

**INTERNATIONAL ANTI-BOYCOTT CERTIFICATION.** Vendor certifies and agrees that neither it nor any substantially-owned affiliated company is participating or shall participate in an international boycott in violation of the Federal Export Administration Act of 1979 (50 App. U.S.C. § 2401 et seq.) or the regulations of the United States Department of Commerce promulgated under that Act. This certification applies to contracts in excess of \$10,000 (30 ILCS 582).

**AMERICANS WITH DISABILITIES ACT CERTIFICATION.** The Americans with Disabilities Act (42 U.S.C. § 12101 et seq.) (ADA) and the regulations promulgated thereunder prohibit discrimination against persons with disabilities by the State of Illinois, whether directly or through contractual arrangements, in the provision of any aid, benefit, or service. As a condition of this contract, Vendor certifies that services, programs, and activities provided under this contract are and will continue to be in compliance with the ADA.

**DRUG FREE WORKPLACE COMPLIANCE CERTIFICATION.** The Vendor certifies and agrees that it will provide a drug free workplace in accordance with the Drug Free Workplace Act (30 ILCS 580/1 et seq.), and if an individual shall not engage in the unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance in the performance of the contract. The Drug Free Workplace Act, effective January 1, 1992, requires that no grantee or contractor shall receive a grant or be considered for the purposes of being awarded a contract for the procurement of any property or services from the State unless that grantee or contractor has certified to the State that the grantee or contractor will provide a drug free workplace. False certification or violation of the certification may result in sanctions including, but not limited to, suspension of contract or grant payments, termination of the contract or grant and debarment of contracting or grant opportunities with the State for at least one (1) year but not more than five (5) years.

For the purpose of this certification, "grantee" or "contractor" means a corporation, partnership, or other entity with twenty-five (25) or more employees at the time of issuing the grant, or a department, division, or other unit thereof, directly responsible for the specific performance under a contract or grant or \$5,000 or more from the State.

The contractor/grantee certifies and agrees that it will provide a drug free workplace by:

(a) Publishing a statement:

- (1) Notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance, including cannabis, is prohibited in the grantee's or contractor's workplace;
- (2) Specifying the actions that will be taken against employees for violations of such prohibition; and
- (3) Notifying the employee that, as a condition of employment on such contract or grant, the employee will:
  - (A) abide by the terms of the statement; and
  - (B) notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five (5) days after such conviction.

Establishing a drug free awareness program to inform employees about:

- (1) the dangers of drug abuse in the workplace;
- (2) the grantee's or contractor's policy of maintaining a drug free workplace;
- (3) any available drug counseling rehabilitation, and employee assistance programs; and the penalties that may be imposed upon an employee for drug violations.

(c) Providing a copy of the statement required by subparagraph (a) to each employee engaged in the performance of the contract or grant and to post the statement in a prominent place in the workplace.

(d) Notifying the contracting or granting agency within ten (10) days after receiving notice under part (B) of paragraph (3) of subsection (a) above from an employee or otherwise receiving actual notice of such conviction.

(e) Imposing a sanction on, or requiring the satisfactory participation in a drug abuse assistance or rehabilitation program by, any employee who is so convicted, as required by section 5 of the Drug Free Workplace Act.

(f) Assisting employees in selecting a course of action in the event drug counseling, treatment, and rehabilitation is required and indicating that a trained referral team is in place.

(g) Making a good faith effort to continue to maintain a drug free workplace through implementation of the Drug Free Workplace Act.

**EQUAL EMPLOYMENT OPPORTUNITY CLAUSE.** The Vendor agrees not to commit "unlawful discrimination" in employment as that term is defined in the Illinois Human Rights Act (775 ILCS 5/1-101 et seq.), and further agrees to take affirmative action to ensure that no unlawful discrimination is committed.

**PUBLIC WORKS EMPLOYMENT DISCRIMINATION ACT.** The Vendor will comply with the Public Works Employment Discrimination Act (775 ILCS 10/.01 et seq.). The provisions of this Act are made part of this contract by reference as though set forth herein.

**SEXUAL HARASSMENT POLICY.** The Vendor shall have a written sexual harassment policy that shall include the definition of sexual harassment under State law and its illegality; a description of sexual harassment utilizing examples; the Vendor's internal complaint process including penalties; the legal recourse available through and contact information for the Department of Human Rights and the Human Rights Commission; and protection against retaliation for complaining of sexual harassment.

Vendor, its employees and subcontractors will comply with applicable provisions of the U.S. Civil Rights Act and Section 504 of the Federal Rehabilitation Act.

**IDHR CONTRACTOR REGISTRATION NUMBER.** The Illinois Department of Human Rights (IDHR) requires certain persons wishing to bid on State of Illinois contracts to file a completed Employer's Report Form PC-1 before bid opening. IDHR will then assign a registration number. Your IDHR Contractor Registration Number must be submitted with your bid or proposal. Form PC-1 may be obtained by calling IDHR at 312-814-2431, TDD 312-263-1579. Your Bid will not be considered without this number.

IDHR Contractor Registration Number: N/A

**STATE BOARD OF ELECTIONS CERTIFICATION.** Vendor certifies, in accordance with 30 ILCS 500/20-160, as applicable:

     Vendor is not required to register as a business entity with the State Board of Elections.  
OR

X Vendor has registered as a business entity with the State Board of Elections and acknowledges a continuing duty to update the registration as required by the Act. A copy of the certification of registration is attached.

Vendor acknowledges that the State may declare this contract void without any additional compensation due to Vendor if this foregoing certification is false or if Vendor or its affiliated person or entities engage in conduct that violates 30 ILCS 500/20-160.

THE UNDERSIGNED AFFIRMS, UNDER PENALTIES OF PERJURY, THAT HE OR SHE IS AUTHORIZED TO EXECUTE THESE CERTIFICATIONS ON BEHALF OF THE DESIGNATED ORGANIZATION.

Printed Name of  
Organization The Hammer Legal Group LLC

Meridith Hammer  
Signature of Authorized Representative

Meridith Hammer, Chief Executive Officer  
Printed Name and Title

Meridith Hammer, Chief Executive Officer  
Contact Person Name

CRS 11-101  
Requisition/Contract/Grant ID Number

5/27/2010  
Date

Meridith Hammer: 317-446-8844  
Contact Person Telephone Number

**Yes, I would like to receive more information about:**

### **NIJ's Call for Comment on Entry into the US**

The Hammer Legal Group LLC

**Abstract**

2625 N. Meridian, Suite 47

Indianapolis, IN 46208

<b>SECRET</b> [Redacted]		[Redacted]
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Employer identification number:  
27 0218056

## Part II Certification

Under penalty of perjury, I certify that:

1. The number shown on this form is my correct mailing address. I am waiting for a number to be issued to me, and I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all income or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and

**Certification Instructions:** For this form, please indicate if you have been notified by the IRS that you are deemed subject to backup withholding because you have failed to report all income and the balance on your tax return for last year's tax returns from it does not apply for mortgage interest paid, acquisition or improvement of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally payments other than interest and dividend. You are not required to sign the Certification but you must provide your correct TIN on the instructions on page 4.



## Journal of

Meridith Hammer

5/27/2010

## General instructions

Section references are to the Internal Revenue Code unless otherwise indicated.

### Purpose of Form

[illegible]

Definitions of a U.S. person, the terms confidentiality and  
 controlled U.S. person are found in:  
 • An individual who is a U.S. citizen or U.S. resident alien;  
 • A partnership, corporation, trust or other entity created or  
 organized in the United States or under the laws of the United  
 States;  
 • An entity other than a foreign estate; or  
 • A government (as defined in Regulations section,  
 301.700-2).  
 Foreign rules for confidentiality. We have to be careful to make  
 sure a business in the United States will generally be required to  
 pay a withholding tax on any foreign source income it must  
 have on U.S. citizens, partners or other persons who are U.S. tax  
 residents. However, a foreign estate is not subject to payment of  
 withholding tax on its foreign income, and pay the withholding tax.  
 Therefore, if you are a U.S. person that is partner in a  
 partnership conducting a trade or business in the United States,  
 (including you) you are the person who is responsible for the  
 withholding tax on any income on your share of partnership

The person who gives Form 706 to the partnership for purposes of establishing its basis are exercising a binding or assignable share of her income from the partnership, or doing a trade or business in the United States in the following case:

● The U.S. owner of a disregarded entity and not the entity.

# DISCLOSURE FORM A FINANCIAL INTERESTS AND POTENTIAL CONFLICTS OF INTERESTS

30 ILCS 500/50-35 requires vendors desiring to enter into certain contracts with the State of Illinois to disclose the financial and potential conflict of interest information specified below as a condition of receiving an award or contract. This requirement is applicable to contracts with an annual value exceeding \$10,000. You must submit this information with your bid, proposal, or offer.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements. A privately held entity that is exempt from Federal 10k reporting but has more than 400 shareholders may submit the information that Federal 10k reporting companies are required to report under 17 CFR 229.401 and list the names of any person or entity holding any ownership share that is in excess of 5% in satisfaction of the disclosure requirements.

**Disclosure of Financial Information.** The individuals named below have an interest in the vendor (or its parent) in terms of ownership or distributive income share of more than 5%, or an interest which has a value of more than 60% of the Governor's salary.

Name: Meridith Hammer

Address: 2625 N. Meridian, Suite 47

Type of Ownership/Distributable Income Share: Stock \_\_\_\_\_ Sole Proprietorship \_\_\_\_\_ Partnership \_\_\_\_\_

Other (explain) Sole Owner of Limited Liability Company

% or \$ value of ownership/distributable income share. 100%

**Disclosure of Potential Conflicts of Interest.** For each of the individuals having the level of financial interest identified above, check yes or no to indicate which, if any, of the following potential conflicts of interest relationships apply. If yes, please describe, attaching additional pages as necessary.

- (a) State employment, currently or in the previous 3 years, including contractual employment of services. Yes or No
- (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. Yes or No
- (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. Yes or No
- (d) Elective status currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes or No
- (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. Yes or No
- (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes or No
- (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. Yes or No
- (h) Employment currently or in the previous two years, of spouse, father, mother, son, or daughter as a registered lobbyist of the State government. Yes or No
- (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk of the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes or No
- (j) Relationship to anyone; spouse, father, mother, son, or daughter who was a compensated employee in the last 2 years of any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. No

Vendor certifies that the information disclosed herein is true and correct. The Undersigned affirms, under penalty of perjury, that he or she is authorized to execute this disclosure on behalf of the Vendor.

Printed Name of Vendor The Hammer Legal Group LLC

Meridith Hammer  
Signature of Authorized Representative

Meridith Hammer, Chief Executive Officer  
Printed Name and Title

CRS 11-101  
Requisition/Contract Number

5/27/2010  
Date

**DISCLOSURE FORM B  
FINANCIAL INTERESTS AND  
POTENTIAL CONFLICTS OF INTERESTS**

30 ILCS 500/50-35 requires vendors desiring to enter into certain contracts with the State of Illinois to disclose the information specified below as a condition of receiving an award or contract. This requirement is applicable to contracts with an annual value exceeding \$10,000. You must submit this information with your bid, proposal, or offer.

- (a) Vendor shall identify whether it has current contracts (including leases) with other units of State of Illinois government by checking "Yes" or "No" .
- (b) Vendor shall identify whether it has pending contracts (including leases), bids, proposals, or other ongoing procurement relationships with any other units of State of Illinois government by checking "Yes" or "No" .

If "yes" is checked, identify each such relationship by showing agency name and other descriptive information such as bid or project number (attach additional pages as necessary).

Vendor certifies that the information disclosed herein is true and correct. The Undersigned affirms, under penalty of perjury, that he or she is authorized to execute this disclosure on behalf of the Vendor.

Printed Name of Vendor The Hammer Legal Group LLC

*Meridith Hammer*  
Signature of Authorized Representative

CRS 11-101  
Requisition/Contract Number

Meridith Hammer, Chief Executive Officer  
Printed Name and Title

5/27/2010  
Date

# DISCLOSURE FORM C

## FINANCIAL INTERESTS AND

## POTENTIAL CONFLICTS OF INTERESTS

Executive Order of the Comptroller 05-01 requires a Vendor desiring to enter into contracts with an annual aggregate value exceeding \$10,000, to be paid from appropriations or expenditure authority under the sole jurisdiction of the Comptroller, to disclose political contributions made by the Vendor to the Comptroller or to a political committee established to promote the candidacy of the Comptroller.

Vendor shall disclose the information identified below as a condition of receiving an award or contract. Vendor shall submit a completed disclosure at the time of submittal of the bid, proposal, or offer in a sealed envelope addressed to the attention of Michael Drake, Executive Inspector General of the Comptroller, 325 West Adams Street, Springfield, IL 62704. Questions concerning Disclosure Form C may be directed to Executive Inspector General Michael Drake at (217) 558-1601.

### Definitions:

"Affiliated person" means any person with an ownership interest or distributive share of the bidding entity in excess of 5%, and executive employees of the bidding entity, and the spouse and minor children of any such persons.

"Affiliated entity" means any subsidiary of the bidding entity, any member of the same unitary business group or any political committee for which the bidding entity is the sponsoring entity as defined in the Election Code. (See definition of "sponsoring entity" below.)

"Member of the same unitary business group" means the same as that term is defined in the Illinois State Income Tax Code, 35 ILCS 5/1501(a)(2).

"Sponsoring entity" means (i) any person, political committee, organization, corporation, or association that contributes at least 33% of the total funding of the political committee or (ii) any person or other entity that is registered or is required to register under the Lobbyist Registration Act and contributes at least 33% of the total funding of the political committee.

"Vendor" means the bidder or offerer and any "affiliated person" or "affiliated entity" of the bidder or offerer.

### Disclosure:

Vendor shall identify any and all persons with an ownership interest or distributive share of the bidding entity in excess of 5%, and any and all executive employees of the bidding entity, and the spouse and minor children of such persons.

Vendor shall identify any and all subsidiaries of the bidding entity, and any and all members of the same unitary business group as well as any political committee for which the bidding entity is the sponsoring entity.

Vendor shall identify whether within the previous two years it made political contributions required to be reported under Article 9 of the Election Code to the Comptroller or to a political committee established to promote the candidacy of the Comptroller by checking \_\_\_\_\_yes or ☒no.

If "yes" is checked, identify below each political contribution by listing the recipient of the contribution, the name and address of the contributor, and the dollar amount of the contribution. Attach additional pages as necessary.

Vendor certifies that the information disclosed herein is true and correct. The Undersigned affirms, under penalty of perjury, that he or she is authorized to execute this disclosure on behalf of the Vendor.

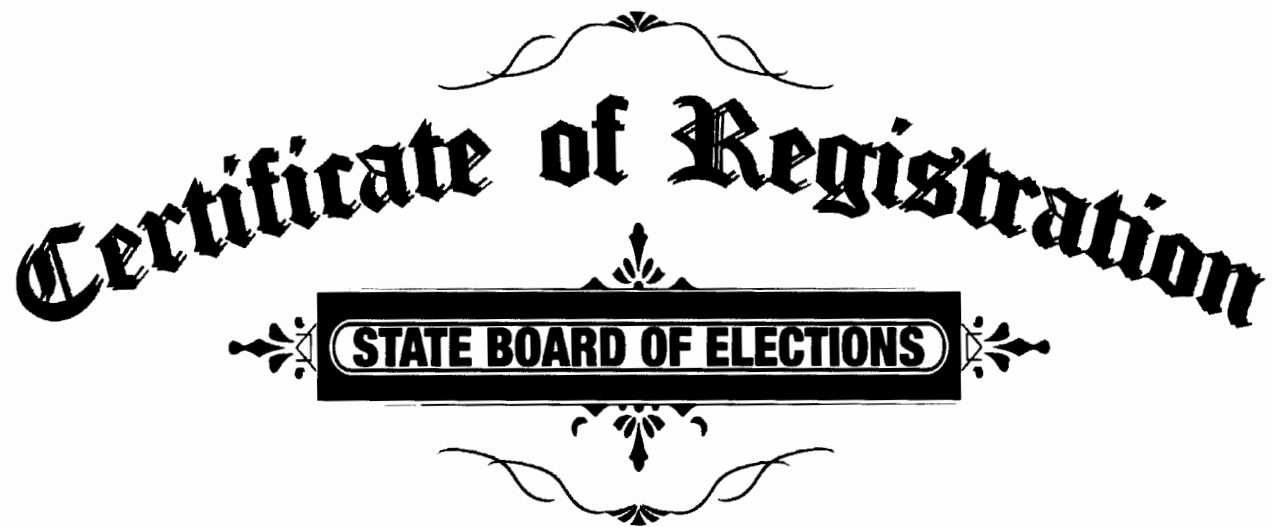
Printed Name of Vendor The Hammer Legal Group LLC

Meridith Hammer  
Signature of Authorized Representative

Meridith Hammer, Chief Executive Officer  
Printed Name and Title

CRS 11-101  
Requisition/Contract Number

5/27/2010  
Date



**Registration No. 19215**

**The Hammer Legal Group LLC**

150 N. Michigan St, Suite 2800

Chicago IL 60601

Information for this business last updated on:

Wednesday, April 07, 2010

Certificate produced on Wednesday, April 07, 2010 at 1:40 PM



**A. Technical Proposal for Legislative Assistance and Support Services**

1. The Hammer Legal Group has two (2) individuals who can devote a minimum of 1200 hours each to the activities identified in the RFP which include *drafting Legislation, acquiring sponsors, support for a bill in committees through the House and Senate and through Governor's enactment, and monitoring pending legislation*. Both individuals are available to handle all of the above activities for the entire year.

**2. Organizational Information**

The Hammer Legal Group LLC ("*HLG*") is a limited liability company formed to handle business and legal matters for various organizations. *HLG* is committed to providing quality services to our clients that integrate the firm's legal background and technology proficiencies in a variety of industries most notably, housing, construction, rehabilitation, federal units of government, and regulatory compliance.

The Hammer Legal Group was formed by Meridith Hammer, Esq., an attorney admitted to practice law in Indiana since 2001. Ms. Hammer serves as the *Chief Executive Officer* and will be a *lead attorney* handling all transactional matters for projects administered by *HLG*. Ms. Hammer has four (4) years of experiences as the *Primary Deal Negotiator* at CA Inc., a software development company. Also, Ms. Hammer has served as *Corporate Counsel* for three (3) years for Misners Homecare LLC, a construction company focused on restoring and renovating residential properties in the Indianapolis area. Additionally Ms. Hammer serves as *Corporate Counsel* for the Crosstown Community Development Corporation, a non-profit organization committed to rebuilding the Crosstown neighborhood. Further, Ms. Hammer has an extensive background as a software developer in the software industry.

Heather Gaddie Contee, Esq. is a *lead attorney* at *HLG*. Ms. Contee has been admitted to practice law since 2000. Ms. Contee serves as *Vice President of Operations* for *HLG* and will be a lead attorney on projects administered by *HLG*. Ms. Contee has six (6) years of experience as a *Regulatory Compliance Officer* and *Assistant Legal Counsel* in the health care insurance industry.

Bart Beals is a *lead attorney* at *HLG*. Mr. Beals has been admitted to practice law since 2000. Mr. Beals is admitted to practice law in Illinois, the District of Columbia, and the United States Supreme Court. Mr. Beals is a former Assistant General Counsel for the District of

Columbia Housing Authority where he worked on litigation, procurement issues, and Davis-Bacon related matters

Clayton Harris III is a *lead attorney* at HLG. Mr. Harris has been admitted to practice law in Illinois since 2000. Mr. Harris is an experienced litigator and has served two Governors of Illinois and the Mayor of Chicago.

John Hightower is the *Chief Technology Officer* for HLG. Mr. Hightower holds a Masters in Business Administration and has fifteen (15) years of experience in the software industry. Additionally Mr. Hightower has previous been a co-owner of an Indianapolis based technology firm. Mr. Hightower has extensive experience as a software developer in various industries including construction, Department of Defense, and pharmaceutical.

### 3. Qualifications of Individuals

Bart Beals is an attorney with The Hammer Legal Group and has been in practice for ten (10) years. Mr. Beals has worked on legislative matters as a registered lobbyist in Washington DC. Mr. Beals worked for Shaw Pittman where he drafted testimony and questions for Senate Hearings. He also worked with members of Congress to formulate legislation that addressed the needs of his clients. Mr. Beals has also worked with the NAACP Southside Branch in Chicago Illinois where he provided positions papers on legislative matters.

Clayton Harris has served two Illinois Governors. Mr. Harris was assistant to May Daley where he was in the Intergovernmental Affairs Division and in charge of his Crime, Labor and Environmental initiatives specifically. While working for Mayor Daley, Mr. Harris also reviewed all legislation which could possibly affect the City of Chicago. Mr. Harris was also General Counsel for the Chicago Department of Transportation where he monitored legislation while working with the Mayor's office. Mr. Harris was also the Chief of Staff of the Illinois Department of Transportation where he was involved with every aspect of legislation through the state that dealt with transportation. Mr. Harris advanced to Deputy Chief of Staff for the Governor's office where he was responsible for all infrastructure needs for the state. While working for the Illinois Governor, MR. Harris wrote the Capital Bill and worked with both sides of the isle to create a bipartisan solution for the infrastructure needs of the State of Illinois.

Please see attached resumes which more specifically outline the qualifications of the above individuals.

4. Listing of clients and similar projects

1. Mr. Beals has worked on several cases. While working at the District of Columbia Housing Authority, Mr. Beals served as counsel on two “fightback” cases in D.C. Superior Court. These were cases where eviction trials where DCHA evicted the leaseholder based on a crime being committed by the leaseholder or someone living in the unit. While working at Sonneschein Nath & Rosenthal, Mr. Beals served as an attorney on the United States District Court, N.D. Illinois AXA Corporate Solutions v. Underwriters Reinsurance Company (see attached)
2. Mr. Harris has worked on 35 felony narcotics cases, three hundred bench trials, pre-trial motions, 402 conferences and post-trial motions all of which are outlined on the attached resume.

Not Reported in F.Supp.2d, 2004 WL 5492710 (N.D.Ill.)  
(Cite as: 2004 WL 5492710 (N.D.Ill.))

**H**Only the Westlaw citation is currently available.

United States District Court,  
N.D. Illinois.  
AXA CORPORATE SOLUTIONS  
v.  
UNDERWRITERS REINSURANCE COMPANY.  
**No. 02 C 3016.**  
  
Nov. 10, 2004.

Named Expert: Clement Dwyer, Donald McFall  
Richard Thomas Franch, Joel T. Pelz, Megan B. Poetzel, Jenner & Block, LLP, Chicago, IL, for AXA Corporate Solutions.

Robert C. Johnson, Andrew Robert Greene, Christopher A. Wallace, Donna J. Vobornik, Wendy N. Enerson, Sonnenschein, Nath & Rosenthal, LLP, **Bart Beals**, Saulter & Beals, Chicago, IL, for Underwriters Reinsurance Company.

### ORDER

JOAN H. LEFKOW, J.

\*1 According to AXA, AXA "retained Dwyer to render an opinion on the relationship among parties to a reinsurance transaction, what one normally would expect a reinsured to do in the course of such a transaction, and how a reinsured's failure to meet those expectations can cause injury to a reinsurer." (AXA RE's Response in Opposition to URC's Motions in Limine to Exclude Expert Opinions of Clement Dwyer and Donald McFall at P. 2). AXA retained McFall to compare the law in Texas with Illinois and New York law to determine whether the risk inherent in Texas law had the potential to be higher than that of New York or Illinois law. "In sum, the opinions of Dwyer and McFall simply walk the fact finder through [*sic*] the complicated, technical morass of relationships, responsibilities and business decisions that a jury must understand to make the necessary factual determinations underlying the complex reinsurance transaction in this case." *Id.* at 6.

URC argues, however, that Dwyer's opinions contain

little more than legal conclusions and inadmissible hearsay and that McFall testifies almost exclusively on legal issues, namely the differences between the laws of New York, Illinois and Texas. URC contends that Dwyer "testifies as to what legal duties URC owed to AXA, and even goes so far as to testify that URC violated those duties. He also gives a lengthy recitation of the hearsay statements concerning the history of the negotiations between the parties." (Defendant's Motion in Limine to Exclude Expert Opinion of Clement Dwyer at P.1).

Dwyer's opinion reads to some extent as a "regurgitation" of AXA's allegations; however, it is presumably necessary that the expert discuss the factual basis for his opinion. According to Dwyer's submissions, he reviewed the files of AXA and URC relating to George Litto Productions, filings from the present lawsuit, including the complaint, interrogatories, admission responses, and a letter from URC's counsel supplementing its responses to AXA's interrogatories and requests for admissions, and depositions, trial testimony, trial exhibits, supplemental documents, and Judge Gammerman's opinion from the New York Action. These materials are relied upon by experts in the field. See U.S. Fidelity & Guar. Co. v. Sulco, Inc., 171 F.R.D. 305-307-308 (D.Kan.1997) (Expert witness testifying regarding insurer's mishandling of claims relied on claims file and third party notes). It would also seem that URC's concerns about Dwyer's testimony may be addressed on cross-examination.

URC asserts that McFall's testimony is irrelevant, not the product of reliable principles and methods, and cumulative and needlessly time-consuming. However, as AXA argues, McFall's testimony is relevant to establishing the legitimacy of AXA's concerns regarding the potential for increased exposure under Texas law. With regard to whether McFall's testimony is the product of reliable principles, it appears that he researched the laws for the three states at issue to determine whether there was the *potential* for increased exposure to liability in Texas rather than *actual* increased exposure to liability. Thus, URC's argument that McFall did not research any case law or jury awards and, consequently, McFall's opinion is unreliable, is unavailing. McFall's testimony is also non-cumulative as he is the only witness scheduled to

Not Reported in F.Supp.2d, 2004 WL 5492710 (N.D.Ill.)  
(Cite as: 2004 WL 5492710 (N.D.Ill.))

testify regarding the material differences between the laws of Texas, New York, and Illinois and whether those differences would be considered by insurers in evaluating risks.

\*2 The court will not bar the witnesses's testimony, but before they testify the court will review their reports to identify portions in which the witness appears to be opining on the law as to which this court has a duty to instruct as opposed to what a knowledgeable person in the witness' position would do under the facts presented by this case. Thus, some of the testimony may be barred.

N.D.Ill.,2004.  
AXA Corporate Solutions v. Underwriters Reinsurance Co.  
Not Reported in F.Supp.2d, 2004 WL 5492710  
(N.D.Ill.)

END OF DOCUMENT

# **Bart E. Beals**

## **Legal Experience**

### **Beals Law Firm-Washington, D.C. and Chicago, Illinois**

#### **Practitioner**

***September 2006 to Present***

Conduct trial work, appellate work, legal research and draft legal memoranda, pleadings, and briefs in the areas of constitutional, criminal, and civil law.

### **District of Columbia Housing Authority-Washington, D.C.**

#### **Assistant General Counsel**

***June 2005 to September 2006***

Practiced in the areas of criminal law, litigation, and contract law. Responsibilities included researching relevant legal areas, drafting memorandum, and compliance with relevant federal and local procurement regulations. Responsibilities also included advising DCHA police officers regarding compliance with relevant local regulations, federal law and constitutional law. Litigation responsibilities included coordination and preparation of “fightback” cases with local and federal law enforcement officers. Responsibilities also included a lead role in jury trials, informal hearings and cases in administrative bodies.

### **Law Resources-Washington, D.C.**

#### **Contract Attorney**

***February 2005 to May 2005***

A temporary attorney position in a large District of Columbia Law Firm. The assignment involved a privilege review and designation for a large merger deal.

### **Saulter and Beals- Chicago, Illinois**

#### **Partner**

***March 2003 to February 2005***

Practice involved trial work in the areas of criminal defense, personal injury and civil rights. Conducted legal research and drafted legal memoranda and pleadings in the areas of constitutional, criminal, personal injury and civil rights law. Acted as lead counsel in several jury trials as well as administrative hearings.

### **Sonnenschein Nath & Rosenthal- Chicago, Illinois**

#### **Associate Attorney: Litigation Group**

***October 2001 to February 2003***

Conducted legal research and drafted legal memoranda and pleadings regarding various complex legal matters for the General Litigation Group. Assisted in preparing discovery requests and document productions.

### **Shaw Pittman- Washington, D.C.**

#### **Associate Attorney: Corporate Group,**

#### **Government Relations and Diversity Group**

***September 2000 to September 2001***

Practiced in the areas of general corporate law, mergers, acquisitions, and government relations. Responsibilities included researching and drafting memoranda, corporate resolutions, Articles of Incorporation, and Bylaws and assisted in Closings. Government Relations responsibilities included researching government regulations, drafting memoranda, and reviewing corporate documents to insure that the clients were compliant with the relevant government regulations. In addition, responsibilities included working with members of the United States Congress in order to address the concerns of clients.

# **Bart E. Beals**

## **Hein, Nath & Rosenthal- Chicago, Illinois**

**Summer Associate**

***June 1999 to August 1999***

Conducted legal research and drafted legal memoranda on various complex legal matters for the Employment and Labor Group, General Litigation Group and Corporate Group. Assisted in the drafting of an injunction to prevent the displacement of poor residents from their homes in the near west area of Chicago.

## **Shaw Pittman- Washington, DC**

**Summer Associate**

***May 1999 to June 1999***

Conducted legal research and drafted legal memoranda on various complex legal matters for the Employment and Labor Group, General Litigation Group and Corporate Group.

## **Other Experience**

### **Westwood College- Calumet City, IL**

***Instructor- Criminal Justice***

**2004**

Taught Criminal Justice for two terms. Prepared course syllabus for each term. Assisted students in preparation of each class and examinations.

### **Alliance of Congregations Transforming the Southside- Chicago, IL      1995 - 1997**

***Community Organizer.***

Organized eleven churches from different denominations to empower the community. Closed five drug areas. Helped institute safe school zone. Organized parents to lobby for education reform in state capitol.

### **Chicago Board of Education- Chicago, IL**

**1995 - 1997**

***Substitute Teacher.***

Requested by principal to teach at Louis Wirth Experimental School. Taught sixth through eighth grade science and math.

## **Education**

**Howard University School of Law - Washington, DC**

***Doctor of Jurisprudence, cum laude***

**Illinois Institute of Technology- Chicago, Illinois**

***Bachelor of Arts in Business Administration***

## **Bar Admissions**

**Illinois Bar, 2000**

**District of Columbia Bar, 2001**

**Federal Bar, Northern District of Illinois, 2002**

**Federal Bar, District of Columbia, 2005**

**Seventh Circuit Court of Appeals, 2006**

**Northern District of Illinois, Trial Bar, 2006**

**United States Supreme Court Bar, 2007**

# CLAYTON K. HARRIS III

2215 North Fairie Avenue -- Chicago, Illinois, 60637 -- (773) 412-8504 -- ckharris3@yahoo.com

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## SUMMARY

Trusted advisor to two Governors of Illinois and the Mayor of Chicago. Agile, successful project manager; as Chief of Staff reconstructed the massive Dan Ryan Expressway ahead of time and on budget. Highly motivated, focused and detail oriented and as Assistant State's Attorney managed the busiest traffic courtroom in Cook County. Superior abilities in personnel and crisis management developed as Combat Systems Engineer while working on missile defense at the Pentagon.

## PROFESSIONAL EXPERIENCE:

**Consulting Harris III/Harris & Harris III, LLC, President/Chief Executive Officer 2009 - Present**

### *Consulting Harris III*

- Illinois based consulting firm providing unique competencies and qualifications that enable its clients to realize expected outcomes from complex issues involving State and Municipal governments, transportation and corporate operations.

### *Harris & Harris III*

- Full service law firm located in Chicago, Illinois that specializes in criminal defense and civil litigation, and advises clients in matters related to governmental affairs, municipal activities and immigration administration.

**University of Chicago, Adjunct Professor 2009 – Present**

### *Process and Policy in City and State Government*

- Teaching a course which consists of three interrelated sub-sections:
  - Process and policy in city and state government;
  - The role played by influential, key officials in determining policy outcomes; and
  - Policymaking during and after a political crisis.

**State of Illinois, Chicago, IL 2006 - 2009**

### *Chief of Staff, State of Illinois December 2008 – February 2009*

- Planned and directed all administrative, financial, and operational activities for the State of Illinois.
- Point of contact between Executive staff, Deputy Governors and Deputy Chiefs of Staff.
- Provided extensive coordination, oversight, experience and judgment to plan and accomplish the goal of keeping the 60,000 state employees, a \$56 Billion budget and Illinois under control and operating during impeachment proceedings.

### *Deputy Chief of Staff, Governor's Office August 2008 – December 2008, February 2009 – March 2009*

- Managed the infrastructure state departments for the State of Illinois including the Illinois Department of Transportation, the Illinois Central Management Service, the Illinois Toll way Authority, the Illinois Department of Revenue and the State retirement boards.
- Ensured that each department was worked to provide infrastructure enhancement throughout the state through transportation, facilities, finance and state management.

### *Illinois Works Coalition (IWC), Executive Director March 2008 – February 2009*

- Led the Illinois Works Coalition with former Speaker of the United States House of the Representatives J. Dennis Hastert and President of Southern Illinois University Glenn Poshard.
- Met with people in every corner of the state to assess the infrastructure problems that Illinois faces.
- Traveled around the state to assess immediate needs including K-12 school buildings in disrepair, crumbling roads and bridges, water and sewer systems needing upgrades, hospitals, colleges and universities that need to restore and expand their facilities.
- Estimated Capital program to be in the \$34 billion range. Formulated a funding plan for a Capital plan without raising taxes through the partial lease of the Illinois state lottery and a moderate increase in gaming.
- Developed a legislative strategy to have the bills called and passed through the General Assembly.

### *Chief of Staff, Illinois Department of Transportation (IDOT) January 2006 – August 2008*

- Managed one of the largest Illinois State agencies with over 5,600 employees and eleven disciplines including the Division of Highways, Finance & Administration, Chief Counsel and the Office of Business and Workforce Diversity, as well as a budget of over \$1.87B and more than 500 ongoing projects throughout the State.
- Point person on the Dan Ryan Expressway reconstruction project. Advanced Secretary's policies set by the Governor by increasing minority participation both contractually and within the workforce.
- Implemented IDOT's Diversity Action Council and sat as Chair overseeing initiatives such as parity in pay and advancement in underutilized populations within IDOT. Oversaw daily operations ranging from high levels of discrimination complaints to discipline within the department.
- Assumed duties as Acting Director for offices within the department: *Office of Business and Workforce Diversity, Office of Operations and Communications, and Department of Public and Intermodal Transportation.*

## CLAYTON K. HARRIS III

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### **PROFESSIONAL EXPERIENCE CONTINUED:**

#### **City of Chicago Chicago, IL 1999 – 2006**

##### ***General Counsel, Chicago Department of Transportation (CDOT) January 2005 – January 2006***

- Legal counsel for CDOT and to the Commissioner.
- Maintained, managed and advanced CDOT's legislative agenda through city ordinances, state bills and federal mandates.
- Wrote and executed easements, right of entries, intergovernmental agreements and coordinated the legalities of CDOT's interaction with other entities both inside and outside the City of Chicago.
- Answered all subpoenaed and Freedom of Information Act requested material.
- Focused on high-level disciplinary action within the department and implemented a strategy to employ the Commissioner's vision throughout CDOT.

##### ***Assistant to the Mayor, Mayor Richard M. Daley February 2003 – January 2005***

- Legislative counsel to Mayor Richard M. Daley in Springfield through Intergovernmental Affairs (IGA) department.
- Developed, coordinated and advanced the City of Chicago's state legislative agenda.
- Analyzed and determined the City of Chicago's position on legislation submitted before the General Assembly: Regular, Veto and Budgetary session.
- Reviewed every bill submitted and each amendment filed.
- Drafted legislation and testified before legislative committees.
- Advanced legislation for the Governor's signature such as the Modernization of O'Hare airport, the Early Retirement Incentive plan and numerous other pieces of legislation.
- Negotiated pertinent issues such as the closure of the gun-show loophole, the opposition to the disconnect of LIHEAP customers, and harm behind conceal and carry laws to the County and City.
- Acted as the liaison between City Hall and the Senators and Representatives of the State of Illinois.

##### ***Assistant State's Attorney, Cook County State's Attorneys' Office August 1999 – February 2003***

- **Narcotics; Special Prosecutions**
  - Maintained a caseload of 35 felony narcotics cases through vertical prosecution, beginning with approval and writing search warrants, presenting the facts to the Special Grand Jury for indictment, dealing with bond issues, addressing pre-trial motions, prosecuting cases at trial, through responding to and arguing post-trial motions and sentencing.
- **Narcotics**
  - Tried over three hundred bench trials, pre-trial motions, 402 conferences dealing with mediation, and post-trial motions as well as sentencing.
  - Examined and prepped witnesses, recommended sentences and probation to plead cases before going to trial.
  - Set up and ran the call for the night's call.
- **Preliminary Hearings**
  - *Special Grand Jury* -- Voir dire Grand Jury and Indict complex drug cases that have bypassed the preliminary branch courts.
  - *Preliminary Branch Court* -- Argued for the determination of probable cause to send an accused drug offender to trial based on interview of arresting officer from drop cases, surveillance cases, search warrants and criminal drug conspiracies.
  - *Central Bond Court* -- Based on criminal background and facts of the case presented on the arrest report argued for a determination of bond for every drug offender arrested in Cook County.
- **Traffic**
  - Ascended to First Chair of the busiest DUI and other major traffic violations courtroom.
  - Tried more than 400 bench trials and motions dealing with reckless driving, suspended licenses and driving under the influence.
  - Successfully tried DUI jury trials.
- **Criminal Appeals**
  - Wrote sixteen appellate briefs dealing with charges from aggravated battery to first degree murder and issues ranging from jurisdiction, reasonable doubt, *King* and *Aprenedi* issues to improper procedures. All sixteen briefs were affirmed.

# CLAYTON K. HARRIS III

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## LEGAL INTERNSHIPS:

### Washington, D.C. 1996 – 1999

#### ***United States Department of Agriculture, Equal Employment Opportunity Specialist*** July 1997 – May 1999

- Assisted with Agriculture Research Service, Office of the Director, Civil Rights Staff. Responsible for writing and maintaining compliance procedures for settlement agreements between the agency and employees.
- Focused on mediation and arbitration of civil rights complaints across the USDA.
- Analyzed discrimination claims brought by agency employees and made recommendations on proposed disposition of complaint and development of resolution options.

#### ***Cook County State's Attorneys Office, Intern*** May 1998 – August 1998

- Supreme Court Rule 711 Student Lawyer to the Assistant State's Attorney in Night Narcotics. Tried numerous bench trials and defended against motions to suppress evidence. Wrote memorandums of law opposing defense counsel's motions. Assisted prosecutor during bench trials, examined and prepped witnesses, further aided with conferences in chambers dealing with mediation, recommended sentences and probation to plead cases before going to trial.

#### ***Federal Aviation Administration, Commercial Space Transportation, Intern*** January 1998 – May 1998

- Worked with Assistant Administrator of Commercial Space Transportation on regulation of commercial rocket launches.
- Researched the regulations for reusable launch and reentry vehicles.
- Wrote a Special Position paper for the Federal Aviation Administration's authority, pursuant to the Administrative Procedure Act, to suspend commercial rocket launch licenses and regulate Orbital Debris.

#### ***Prince George's County State's Attorneys Office, Intern*** May 1997 – August 1997

- Sat second chair to the Assistant State's Attorney during trials. Assisted prosecutor during bench trials, including the examination of witnesses, further aided with criminal litigation, mediation, arbitration, and alternative dispute resolution to plead cases before going to trial.
- Interviewed witnesses, wrote *voir dire* for potential jurors, set up the daily criminal and traffic dockets.

#### ***Howard University School of Law, Student Bar Association, President*** August 1998 – May 1999

- Worked as the liaison between the student body and the law school administration. Facilitated the "Campaign Finance As A Civil Rights Issue" symposium in conjunction with the National Voting Rights Institute.
- Revived the *Social Justice Journal*. Successfully drove initiative to raise law school admission and academic standards.
- Organized march on the United States Supreme Court in support of diversity. Spoke at the United States Capitol in support against police brutality.

## ENGINEERING EXPERIENCE:

### ***PRC, Inc.***, April 1994 – June 1997

- **Combat Systems Engineer**
  - Provided support on tasks on the ARES ASAP contract for the Department of Defense's Ballistic Missile Defense Organization (BMDO) at the Pentagon.
  - Wrote *Theater Missile Defense User's Handbook* for the Department of Defense's Joint Force Directorate.
  - Researched threats, responses, facts and figures within the CONOPS, Master Plan and numerous other sources for use with the Red and Blue teams for wargame exercises.
- **Program Research Analyst**
  - Provided support to the Defense Advanced Research Projects Agency (DARPA):
    - Performed duties as a Program Research Analyst in the Information Technology Office (ITO) under the Microsystems PAD area that encompassed Microsystems, Computational Prototyping, and Microarchitectures at DARPA.

### ***Smithsonian Institution, National Air & Space Museum, Intern*** June 91 - August 91, August 92 - November 92

- Awarded a paid academic internship.
- Worked with the Minority Outreach Program, input data and aided with programs dealing with inner-city children.
- Assisted with the Aerospace department and did extensive research on the book *Black Wings*.
- Redesigned National Air & Space Museum's Guggenheim fellowship brochure.
- Awarded a contracting position in computer service where worked on the Local Area Network (LAN) as an administrator's assistant in Computer Services.

## **CLAYTON K. HARRIS III**

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### **EDUCATION:**

**Howard University School of Law, Washington, DC**

- *Juris Doctor*, 1999
  - President, Student Bar Association
  - Member, National Moot Court

**Middle Tennessee State University, Murfreesboro, TN**

- *BS in AeroSpace Technology*
  - *Minor in Mathematics*, 1992
    - Air Force Reserve Officer Training Corps Scholarship (two years)
    - Honor Flight (two years)

### **LICENSURE:**

**Illinois State Bar licensed**

- **Chicago Bar Association – Member**
- **Illinois State Bar Association – Member**

### **COMMUNITY INVOLVEMENT:**

**Omega Psi Phi Fraternity, Inc.**

- **Chapter Legal Counsel**

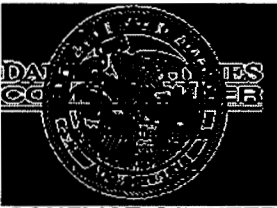
**Little Black Pearl Art and Design Center**

- **Immediate Past President**
- **Board of Directors**

**Washington Park Chamber of Commerce**

- **Board of Directors**

### **REFERENCES PROVIDED UPON REQUEST**



## **STANDARD CERTIFICATIONS**

**CONFLICT OF INTEREST.** Vendor covenants that it has no public or private interest and shall not acquire, directly or indirectly, any such interest that would conflict in any manner with the performance of its services under this contract. Further, Vendor agrees to comply with the provisions of the Illinois Procurement Code prohibiting conflicts of interest (30 ILCS 500/Article 50). All the terms, conditions, and provisions of Article 50 apply to this contract and are made a part of this contract the same as though they were incorporated and included herein. Vendor further represents that the disclosures required by Section 50-35 of the Illinois Procurement Code (30 ILCS 500/50-35), if applicable, have been made and are true and correct.

**BRIBERY CONVICTION CERTIFICATION.** Vendor certifies that it is not barred from being awarded a contract or subcontract with the Office of the Comptroller or with the State of Illinois under Section 50-5 of the Illinois Procurement Code (30 ILCS 500/50-5). Section 50-5 of the Illinois Procurement Code prohibits contracting with a person or business that has been convicted under the laws of Illinois or of any other State of bribing or attempting to bribe a State officer or employee or that has made an admission of guilt to that conduct but has not been prosecuted.

**FELONY CONVICTION CERTIFICATION.** Vendor certifies that it is not barred from being awarded a contract or subcontract with the Office of the Comptroller or with the State of Illinois under Section 50-10 of the Illinois Procurement Code (30 ILCS 500/50-10). Section 50-10 of the Illinois Procurement Code prohibits a person or business entity convicted of a felony from doing business with the State of Illinois or any State agency from the date of conviction until five (5) years after the completion of the sentence for such felony, unless no person held responsible by a prosecutorial office for the facts upon which the conviction was based continues to have any involvement with the business. Vendor acknowledges that making a false statement with regard to this certification is a Class 3 felony.

**SARBANES-OXLEY ACT CERTIFICATION.** Vendor certifies that it is not barred from being awarded a contract with the Office of the Comptroller or with the State of Illinois under Section 50-10.5 of the Illinois Procurement Code (30 ILCS 500/50-10.5). Section 83 50-10.5 of the Illinois Procurement Code prohibits a business from bidding on or entering into a contract with the State if the business or any officer, director, or partner, or other managerial agent of the business has been convicted of a felony under the Sarbanes-Oxley Act of 2002 (15 U.S.C. § 7201 et seq.) or of a Class 3 or Class 2 felony under the Illinois Securities Law of 1953 (815 ILCS 5/1 et seq.) for a period of five (5) years prior to the date of the bid or contract. Vendor acknowledges that the Office of the Comptroller shall declare this contract void if this certification is false.

**DEBT DELIQUENCY CERTIFICATION.** Vendor certifies that neither it nor any of its affiliates is prohibited from entering into a contract with the Office of the Comptroller or the State of Illinois by Section 50-11 of the Illinois Procurement Code (30 ILCS 500/50-11). Section 50-11 of the Illinois Procurement Code bars any person who is delinquent in the payment of a debt to the State from contracting with a State agency, unless that person or its affiliates has entered into a deferred payment plan to pay off the debt. Vendor acknowledges that the Office of the Comptroller may declare this contract void if this certification is false.

**USE TAX CERTIFICATION.** Vendor certifies that neither it nor its affiliates is prohibited from entering into a contract with the Comptroller or the State of Illinois under Section 50-12 of the Illinois Procurement Code (30 ILCS 5000/50-12). Section 50-12 of the Illinois Procurement Code bars a person from entering into a contract with a State agency if the person or any of its affiliates has failed to collect and remit Illinois Use Tax on all sales of tangible personal property into the State of Illinois in accordance with the provisions of the Illinois Use Tax Act (35 ILCS 105/1 et seq.). Vendor acknowledges that the Office of the Comptroller may declare this contract void if this certification is false.

**ENVIRONMENTAL PROTECTION ACT CERTIFICATION.** Vendor certifies that it is not barred from being awarded a contract with the Office of the Comptroller or the State of Illinois under Section 50-14 of the Illinois Procurement Code (30 ILCS 500/50-14). Section 50-14 of the Illinois Procurement Code bars the State from awarding a contract to any person or business found by a court or by the Pollution Control Board to have committed a willful or knowing violation of Section 4 of the Environmental Protection Act (415 ILCS 5/42). A State agency is barred from awarding a contract to a person or business found to have been in violation for a period of five (5) years from the date of the order containing the finding of violation, unless there is no practicable alternative available to the State. Vendor acknowledges that the Office of the Comptroller may declare this contract void if this certification is false.

**LEAD POISONING PREVENTION ACT CERTIFICATION.** Vendor certifies that it is not barred from being awarded a contract or subcontract with the Office of the Comptroller or with the State of Illinois under Section 50-14.5 of the Illinois Procurement Code (30 ILCS 500/50-14.5). Section 50-14.5 of the Illinois Procurement Code prohibits owners of residential buildings who have committed a willful or knowing violation of the Lead Poisoning Prevention Act (410 ILCS 45) from doing business with the State of Illinois or any State agency until the violation is mitigated. Vendor acknowledges that the Office of the Comptroller may declare this contract void if this certification is false.

**REVOLVING DOOR PROHIBITION CERTIFICATION.** Vendor certifies that it is not barred from engaging in any procurement activity with the Office of the Comptroller under Section 50-30 of the Illinois Procurement Code (30 ILCS 500/50-30). Section 50-30 of the Procurement Code prohibits chief procurement officers, associate procurement officers, State purchasing officers and their designees whose principal duties were directly related to State procurement from engaging in any procurement activity for a period of two (2) years after terminating an affected position relating to an agency most recently employing them in an affected position for a period of at least six (6) months. The prohibition includes but is not limited to lobbying the procurement process; specifying; bidding; proposing bid, proposal or contract documents on their own behalf or on behalf of any firm, partnership, association, or corporation. This Section applies only to those persons who terminate an affected position on or after January 15, 1999. (30 ILCS 500/50-30.)

**FORCED LABOR CERTIFICATION.** Vendor certifies, in accordance with Section 10 of the State Prohibition of Goods from Forced Labor Act (30 ILCS 583 /10), that none of the equipment, materials or supplies furnished pursuant to the provisions of this contract constitute imported, foreign-made goods which were produced in whole or in part by forced labor, convict labor or indentured labor. Vendor acknowledges that providing a false certification under this Section of the contract may result in: (1) this contract being voided at the Comptroller's option; (2) the Vendor being assessed a penalty of \$1,000 or an amount equal to 20% of the value of the equipment, materials or supplies produced by forced labor, convict labor or indentured labor;; and/or (3) the Vendor being suspended from bidding on any State contract for up to 360 days.

**EDUCATIONAL LOAN CERTIFICATION.** Vendor certifies that it is not in default on an educational loan as provided in the Educational Loan Default Act (5 ILCS 385/1 et seq.).

**BID-RIGGING AND BID ROTATING CERTIFICATION.** Vendor certifies that it is not barred from bidding on contracts with the State of Illinois as a result of a conviction of bid-rigging under Section 33E or of a bid rotating under Section 33E-4 of the Criminal Code of 1961 (720 ILCS 5/33E-3, 5/33E-4).

**DUES TO CLUBS THAT DISCRIMINATE CERTIFICATION.** Vendor certifies that it is not prohibited from selling goods or services to the State of Illinois because it pays dues or fees on behalf of its employees or agents or subsidiaries or otherwise reimburses them for payment of their dues or fees to any club that unlawfully discriminates. (775 ILCS 25/0.01 et seq.)

**INTERNATIONAL ANTI-BOYCOTT CERTIFICATION.** Vendor certifies and agrees that neither it nor any substantially-owned affiliated company is participating or shall participate in an international boycott in violation of the Federal Export Administration Act of 1979 (50 App. U.S.C. § 2401 et seq.) or the regulations of the United States Department of Commerce promulgated under that Act. This certification applies to contracts in excess of \$10,000(30 ILCS 582).

**AMERICANS WITH DISABILITIES ACT CERTIFICATION.** The Americans with Disabilities Act of 1990 (42 U.S.C. § 12101 et seq.) (ADA) and the regulations promulgated thereunder prohibit discrimination against persons with disabilities by the State of Illinois, whether directly or through contractual arrangements, in the provision of any aid, benefit, or service. As a condition of this contract, Vendor certifies that services, programs, and activities provided under this contract are and will continue to be in compliance with the ADA.

**DRUG FREE WORKPLACE COMPLIANCE CERTIFICATION.** The Vendor certifies and agrees that it will provide a drug free workplace in accordance with the Drug Free Workplace Act (30 ILCS 580/1 et seq.), and if an individual shall not engage in the unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance in the performance of the contract. The Drug Free Workplace Act, effective January 1, 1992, requires that no grantee or contractor shall receive a grant or be considered for the purposes of being awarded a contract for the procurement of any property or services from the State unless that grantee or contractor has certified to the State that the grantee or contractor will provide a drug free workplace. False certification or violation of the certification may result in sanctions including, but not limited to, suspension of contract or grant payments, termination of the contract or grant and debarment of contracting or grant opportunities with the State for at least one (1) year but not more than five (5) years.

For the purpose of this certification, "grantee" or "contractor" means a corporation, partnership, or other entity with twenty-five (25) or more employees at the time of issuing the grant, or a department, division, or other unit thereof, directly responsible for the specific performance under a contract or grant or \$5,000 or more from the State.

The contractor/grantee certifies and agrees that it will provide a drug free workplace by:

(a) Publishing a statement:

- (1) Notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance, including cannabis, is prohibited in the grantee's or contractor's workplace;
- (2) Specifying the actions that will be taken against employees for violations of such prohibition; and
- (3) Notifying the employee that, as a condition of employment on such contract or grant, the employee will:
  - (A) abide by the terms of the statement; and
  - (B) notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five (5) days after such conviction.

Establishing a drug free awareness program to inform employees about:

- (1) the dangers of drug abuse in the workplace;
- (2) the grantee's or contractor's policy of maintaining a drug free workplace;
- (3) any available drug counseling rehabilitation, and employee assistance programs; and the penalties that may be imposed upon an employee for drug violations.

(c) Providing a copy of the statement required by subparagraph (a) to each employee engaged in the performance of the contract or grant and to post the statement in a prominent place in the workplace.

(d) Notifying the contracting or granting agency within ten (10) days after receiving notice under part (B) of paragraph (3) of subsection (a) above from an employee or otherwise receiving actual notice of such conviction.

(e) Imposing a sanction on, or requiring the satisfactory participation in a drug abuse assistance or rehabilitation program by, any employee who is so convicted, as required by section 5 of the Drug Free Workplace Act.

(f) Assisting employees in selecting a course of action in the event drug counseling, treatment, and rehabilitation is required and indicating that a trained referral team is in place.

(g) Making a good faith effort to continue to maintain a drug free workplace through implementation of the Drug Free Workplace Act.

**EQUAL EMPLOYMENT OPPORTUNITY CLAUSE.** The Vendor agrees not to commit "unlawful discrimination" in employment as that term is defined in the Illinois Human Rights Act (775 ILCS 5/1-101 et seq.), and further agrees to take affirmative action to ensure that no unlawful discrimination is committed.

**PUBLIC WORKS EMPLOYMENT DISCRIMINATION ACT.** The Vendor will comply with the Public Works Employment Discrimination Act (775 ILCS 10/01 et seq.). The provisions of this Act are made part of this contract by reference as though set forth herein.

**SEXUAL HARASSMENT POLICY.** The Vendor shall have a written sexual harassment policy that shall include the definition of sexual harassment under State law and its illegality; a description of sexual harassment utilizing examples; the Vendor's internal complaint process including penalties; the legal recourse available through and contact information for the Department of Human Rights and the Human Rights Commission; and protection against retaliation for complaining of sexual harassment.

Vendor, its employees and subcontractors will comply with applicable provisions of the U.S. Civil Rights Act and Section 504 of the Federal Rehabilitation Act.

**IDHR CONTRACTOR REGISTRATION NUMBER.** The Illinois Department of Human Rights (IDHR) requires certain persons wishing to bid on State of Illinois contracts to file a completed Employer's Report Form PC-1 before bid opening. IDHR will then assign a registration number. Your IDHR Contractor Registration Number must be submitted with your bid or proposal. Form PC-1 may be obtained by calling IDHR at 312-814-2431, TDD 312-263-1579. Your Bid will not be considered without this number.

IDHR Contractor Registration Number: N/A


**STATE BOARD OF ELECTIONS CERTIFICATION.** Vendor certifies, in accordance with 30 ILCS 500/20-160, as applicable:

     Vendor is not required to register as a business entity with the State Board of Elections.  
OR

  X   Vendor has registered as a business entity with the State Board of Elections and acknowledges a continuing duty to update the registration as required by the Act. A copy of the certification of registration is attached.

Vendor acknowledges that the State may declare this contract void without any additional compensation due to Vendor if this foregoing certification is false or if Vendor or its affiliated person or entities engage in conduct that violates 30 ILCS 500/20-160.

THE UNDERSIGNED AFFIRMS, UNDER PENALTIES OF PERJURY, THAT HE OR SHE IS AUTHORIZED TO EXECUTE THESE CERTIFICATIONS ON BEHALF OF THE DESIGNATED ORGANIZATION.

Printed Name of Organization	<u>The Hammer Legal Group LLC</u>
Signature of Authorized Representative	<u></u>
Printed Name and Title	<u>Meridth Hammer, Chief Executive Officer</u>
Contact Person Name	<u>Meridth Hammer, Chief Executive Officer</u>
	<u>CRS 11-101</u>
	<u>Requisition/Contract/Grant ID Number</u>
	<u>5/27/2010</u>
	<u>Date</u>
	<u>Meridth Hammer: 317-446-8844</u>
	<u>Contact Person Telephone Number</u>

**Request for Taxpayer  
Identification Number and Certification**  
(Adopted for the University of Chicago only)

Are you a University of Chicago student?  
☒ No ☐ Yes, Provide Student ID:  
Are you a full-time employee of the University?  
☒ No ☐ Yes, Provide Dates:

You are an individual claiming U.S. citizenship  
status for tax purposes. Complete the:  
Date of entry into US: Visa Classification when entry into the US:

Name (as shown on your income tax return):  
The Hammer Legal Group LLC

Business name, registered with state:

☒ Limited liability company, partnership, or other entity (Indicate on line 1) ☐ Sole proprietorship ☐ Partnership ☐ Other  
☐ Other (Indicate on line 1)

2625 N. Meridian, Suite 47  
Indianapolis, IN 46208

**Part I Taxpayer Identification Number (TIN)**

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1. Do not  
leave blank. For individuals, this is your social security number (SSN). For trusts, for a resident  
alien, the president or designated agent, see the Part I instructions on page 3. For other entities, it is  
your business identification number (BIN). If you do not have a number, see the instructions on page 3.  
Note: If the accounts have more than one name, see the instructions on page 3 for guidelines on which  
number to enter.

Taxpayer Identification number:  
27 0218056

**Part II Certification**

Under penalty of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me) and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or as the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below).

**Continuation instructions:** You must check box 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have filed incorrect information on your tax return or on an information statement, or if you have received for more than three years past, acquisition or disposition of acquired property, cancellation of debt, contribution to an individual retirement arrangement (IRA), and generally payments other than interest and dividends; you are not required to sign the Certification, but you must provide your correct TIN. See the instructions on page 4.

Sign Here: *Merveth Hammer* Date: 5/27/2010

**General Instructions**

All references are to the Internal Revenue Code unless otherwise indicated.

**Purpose of Form**  
This form is used to certify that the taxpayer is a U.S. citizen or other U.S. person and is not subject to backup withholding. It is used by the payor to determine if the taxpayer is eligible for the reduced rate of withholding on interest and dividends. It is also used by the payor to determine if the taxpayer is eligible for the reduced rate of withholding on interest and dividends. It is also used by the payor to determine if the taxpayer is eligible for the reduced rate of withholding on interest and dividends.

**Definitions of U.S. person.** For purposes of this form, a U.S. person is an individual who is a U.S. citizen or other U.S. person. A U.S. person is also an individual who is a U.S. citizen or other U.S. person. A U.S. person is also an individual who is a U.S. citizen or other U.S. person. A U.S. person is also an individual who is a U.S. citizen or other U.S. person.

The person who gives Form W-9 to the partnership for purposes of establishing its U.S. status are seeking withholding on its allocable share of net income from the partnership, or on any other income or property in the United States in the following cases:  
• The U.S. owner of a disregarded entity, and the entity



**DISCLOSURE FORM B  
FINANCIAL INTERESTS AND  
POTENTIAL CONFLICTS OF INTERESTS**

30 ILCS 500/50-1 requires vendors desiring to enter into certain contracts with the State of Illinois to disclose the information specified below as a condition of receiving an award or contract. This requirement is applicable to contracts with an annual value exceeding \$10,000. You must submit this information with your bid, proposal, or offer.

- (a) Vendor shall identify whether it has current contracts (including leases) with other units of State of Illinois government by checking "Yes" or "No" .
- (b) Vendor shall identify whether it has pending contracts (including leases), bids, proposals, or other ongoing procurement relationships with any other units of State of Illinois government by checking "Yes" or "No" .

If "yes" is checked, identify each such relationship by showing agency name and other descriptive information such as bid or project number (attach additional pages as necessary).

Vendor certifies that the information disclosed herein is true and correct. The Undersigned affirms, under penalty of perjury, that he or she is authorized to execute this disclosure on behalf of the Vendor.

Printed Name of Vendor The Hammer Legal Group LLC

Meridith Hammer  
Signature of Authorized Representative

CRS 11-101  
Requisition/Contract Number

Meridith Hammer, Chief Executive Officer  
Printed Name and Title

5/27/2010  
Date

# DISCLOSURE FORM C

## FINANCIAL INTERESTS AND

## POTENTIAL CONFLICTS OF INTERESTS

Executive Order of the Comptroller 05-01 requires a Vendor desiring to enter into contracts with an annual aggregate value exceeding \$10,000, to be paid from appropriations or expenditure authority under the sole jurisdiction of the Comptroller, to disclose political contributions made by the Vendor to the Comptroller or to a political committee established to promote the candidacy of the Comptroller.

Vendor shall disclose the information identified below as a condition of receiving an award or contract. Vendor shall submit a completed disclosure at the time of submittal of the bid, proposal, or offer in a sealed envelope addressed to the attention of Michael Drake, Executive Inspector General of the Comptroller, 325 West Adams Street, Springfield, IL 62704. Questions concerning Disclosure Form C may be directed to Executive Inspector General Michael Drake at (217) 558-1601.

### Definitions:

"Affiliated person" means any person with an ownership interest or distributive share of the bidding entity in excess of 5%, and executive employees of the bidding entity, and the spouse and minor children of any such persons.

"Affiliated entity" means any subsidiary of the bidding entity, any member of the same unitary business group or any political committee for which the bidding entity is the sponsoring entity as defined in the Election Code. (See definition of "sponsoring entity" below.)

"Member of the same unitary business group" means the same as that term is defined in the Illinois State Income Tax Code, 35 ILCS 5/1501(a)(2).

"Sponsoring entity" means (i) any person, political committee, organization, corporation, or association that contributes at least 33% of the total funding of the political committee or (ii) any person or other entity that is registered or is required to register under the Lobbyist Registration Act and contributes at least 33% of the total funding of the political committee.

"Vendor" means the bidder or offerer and any "affiliated person" or "affiliated entity" of the bidder or offerer.

### Disclosure:

**Vendor shall identify any and all persons with an ownership interest or distributive share of the bidding entity in excess of 5%, and any and all executive employees of the bidding entity, and the spouse and minor children of such persons.**

**Vendor shall identify any and all subsidiaries of the bidding entity, and any and all members of the same unitary business group as well as any political committee for which the bidding entity is the sponsoring entity.**

Vendor shall identify whether within the previous two years it made political contributions required to be reported under Article 9 of the Election Code to the Comptroller or to a political committee established to promote the candidacy of the Comptroller by checking \_\_\_\_\_yes or ☒ no.

If "yes" is checked, identify below each political contribution by listing the recipient of the contribution, the name and address of the contributor, and the dollar amount of the contribution. Attach additional pages as necessary.

Vendor certifies that the information disclosed herein is true and correct. The Undersigned affirms, under penalty of perjury, that he or she is authorized to execute this disclosure on behalf of the Vendor.

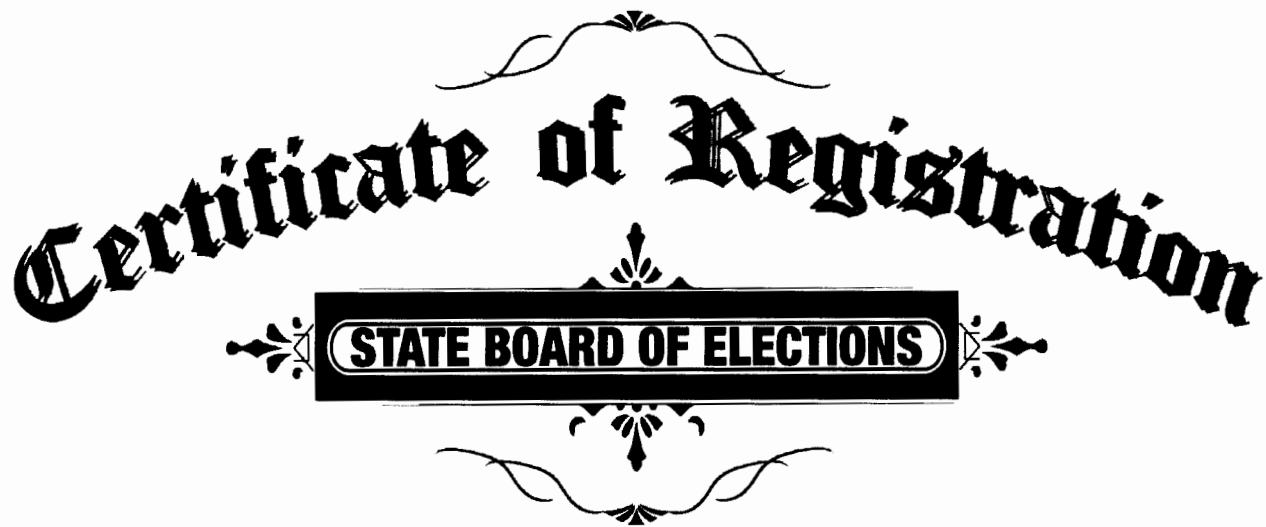
Printed Name of Vendor The Hammer Legal Group LLC

*Merith Hammer*  
Signature of Authorized Representative

Merith Hammer, Chief Executive Officer  
Printed Name and Title

CRS 11-101  
Requisition/Contract Number

5/27/2010  
Date



**Registration No. 19215**

**The Hammer Legal Group LLC**

150 N. Michigan St, Suite 2800

Chicago IL 60601

Information for this business last updated on:

Wednesday, April 07, 2010

Certificate produced on Wednesday, April 07, 2010 at 1:40 PM



**A. Technical Proposal for Legislative Assistance and Support Services**

1. The Hammer Legal Group has two (2) individuals who can devote a minimum of 1200 hours each to the activities identified in the RFP which include *drafting Legislation, acquiring sponsors, support for a bill in committees through the House and Senate and through Governor's enactment, and monitoring pending legislation*. Both individuals are available to handle all of the above activities for the entire year.

**2. Organizational Information**

The Hammer Legal Group LLC ("HLG") is a limited liability company formed to handle business and legal matters for various organizations. HLG is committed to providing quality services to our clients that integrate the firm's legal background and technology proficiencies in a variety of industries most notably, housing, construction, rehabilitation, federal units of government, and regulatory compliance.

The Hammer Legal Group was formed by Meridth Hammer, Esq., an attorney admitted to practice law in Indiana since 2001. Ms. Hammer serves as the *Chief Executive Officer* and will be a *lead attorney* handling all transactional matters for projects administered by HLG. Ms. Hammer has four (4) years of experiences as the *Primary Deal Negotiator* at CA Inc., a software development company. Also, Ms. Hammer has served as *Corporate Counsel* for three (3) years for Misners Homecare LLC, a construction company focused on restoring and renovating residential properties in the Indianapolis area. Additionally Ms. Hammer serves as *Corporate Counsel* for the Crosstown Community Development Corporation, a non-profit organization committed to rebuilding the Crosstown neighborhood. Further, Ms. Hammer has an extensive background as a software developer in the software industry.

Heather Gaddie Contee, Esq. is a *lead attorney* at HLG. Ms. Contee has been admitted to practice law since 2000. Ms. Contee serves as *Vice President of Operations* for HLG and will be a lead attorney on projects administered by HLG. Ms. Contee has six (6) years of experience as a *Regulatory Compliance Officer* and *Assistant Legal Counsel* in the health care insurance industry.

Bart Beals is a *lead attorney* at HLG. Mr. Beals has been admitted to practice law since 2000. Mr. Beals is admitted to practice law in Illinois, the District of Columbia, and the United States Supreme Court. Mr. Beals is a former Assistant General Counsel for the District of

Columbia Housing Authority where he worked on litigation, procurement issues, and Davis-Bacon related matters

Clayton Harris III is a *lead attorney* at HLG. Mr. Harris has been admitted to practice law in Illinois since 2000. Mr. Harris is an experienced litigator and has served two Governors of Illinois and the Mayor of Chicago.

John Hightower is the *Chief Technology Officer* for HLG. Mr. Hightower holds a Masters in Business Administration and has fifteen (15) years of experience in the software industry. Additionally Mr. Hightower has previous been a co-owner of an Indianapolis based technology firm. Mr. Hightower has extensive experience as a software developer in various industries including construction, Department of Defense, and pharmaceutical.

### 3. Qualifications of Individuals

Bart Beals is an attorney with The Hammer Legal Group and has been in practice for ten (10) years. Mr. Beals has worked on legislative matters as a registered lobbyist in Washington DC. Mr. Beals worked for Shaw Pittman where he drafted testimony and questions for Senate Hearings. He also worked with members of Congress to formulate legislation that addressed the needs of his clients. Mr. Beals has also worked with the NAACP Southside Branch in Chicago Illinois where he provided positions papers on legislative matters.

Clayton Harris has served two Illinois Governors. Mr. Harris was assistant to May Daley where he was in the Intergovernmental Affairs Division and in charge of his Crime, Labor and Environmental initiatives specifically. While working for Mayor Daley, Mr. Harris also reviewed all legislation which could possibly affect the City of Chicago. Mr. Harris was also General Counsel for the Chicago Department of Transportation where he monitored legislation while working with the Mayor's office. Mr. Harris was also the Chief of Staff of the Illinois Department of Transportation where he was involved with every aspect of legislation through the state that dealt with transportation. Mr. Harris advanced to Deputy Chief of Staff for the Governor's office where he was responsible for all infrastructure needs for the state. While working for the Illinois Governor, MR. Harris wrote the Capital Bill and worked with both sides of the isle to create a bipartisan solution for the infrastructure needs of the State of Illinois.

Please see attached resumes which more specifically outline the qualifications of the above individuals.

4. Listing of clients and similar projects

1. Mr. Beals has worked on several cases. While working at the District of Columbia Housing Authority, Mr. Beals served as counsel on two “fightback” cases in D.C. Superior Court. These were cases where eviction trials where DCHA evicted the leaseholder based on a crime being committed by the leaseholder or someone leaving in the unit. While working at Sonneschein Nath & Rosenthal, Mr. Beals served as an attorney on the United States District Court, N.D. Illinois AXA Corporate Solutions v. Underwriters Reinsurance Company (see attached)
2. Mr. Harris has worked on 35 felony narcotics cases, three hundred bench trials, pre-trial motions, 402 conferences and post-trial motions all of which are outlined on the attached resume.

Not Reported in F.Supp.2d, 2004 WL 5492710 (N.D.Ill.)  
(Cite as: 2004 WL 5492710 (N.D.Ill.))

**H**Only the Westlaw citation is currently available.

United States District Court,  
N.D. Illinois.  
AXA CORPORATE SOLUTIONS  
v.  
UNDERWRITERS REINSURANCE COMPANY.  
No. 02 C 3016.  
Nov. 10, 2004.

Named Expert: Clement Dwyer, Donald McFall  
Richard Thomas Franch, Joel T. Pelz, Megan B. Poetzel, Jenner & Block, LLP, Chicago, IL, for AXA Corporate Solutions.

Robert C. Johnson, Andrew Robert Greene, Christopher A. Wallace, Donna J. Vobornik, Wendy N. Enerson, Sonnenschein, Nath & Rosenthal, LLP, Bart Beals, Saulter & Beals, Chicago, IL, for Underwriters Reinsurance Company.

### ORDER

JOAN H. LEFKOW, J.

\*1 According to AXA, AXA “retained Dwyer to render an opinion on the relationship among parties to a reinsurance transaction, what one normally would expect a reinsured to do in the course of such a transaction, and how a reinsured’s failure to meet those expectations can cause injury to a reinsurer.” (AXA RE’s Response in Opposition to URC’s Motions in Limine to Exclude Expert Opinions of Clement Dwyer and Donald McFall at P. 2). AXA retained McFall to compare the law in Texas with Illinois and New York law to determine whether the risk inherent in Texas law had the potential to be higher than that of New York or Illinois law. “In sum, the opinions of Dwyer and McFall simply walk the fact finder through [*sic*] the complicated, technical morass of relationships, responsibilities and business decisions that a jury must understand to make the necessary factual determinations underlying the complex reinsurance transaction in this case.” *Id.* at 6.

URC argues, however, that Dwyer’s opinions contain

little more than legal conclusions and inadmissible hearsay and that McFall testifies almost exclusively on legal issues, namely the differences between the laws of New York, Illinois and Texas. URC contends that Dwyer “testifies as to what legal duties URC owed to AXA, and even goes so far as to testify that URC violated those duties. He also gives a lengthy recitation of the hearsay statements concerning the history of the negotiations between the parties.” (Defendant’s Motion in Limine to Exclude Expert Opinion of Clement Dwyer at P.1).

Dwyer’s opinion reads to some extent as a “regurgitation” of AXA’s allegations; however, it is presumably necessary that the expert discuss the factual basis for his opinion. According to Dwyer’s submissions, he reviewed the files of AXA and URC relating to George Litto Productions, filings from the present lawsuit, including the complaint, interrogatories, admission responses, and a letter from URC’s counsel supplementing its responses to AXA’s interrogatories and requests for admissions, and depositions, trial testimony, trial exhibits, supplemental documents, and Judge Gammerman’s opinion from the New York Action. These materials are relied upon by experts in the field. *See U.S. Fidelity & Guar. Co. v. Sulco, Inc.*, 171 F.R.D. 305-307-308 (D.Kan.1997) (Expert witness testifying regarding insurer’s mishandling of claims relied on claims file and third party notes). It would also seem that URC’s concerns about Dwyer’s testimony may be addressed on cross-examination.

URC asserts that McFall’s testimony is irrelevant, not the product of reliable principles and methods, and cumulative and needlessly time-consuming. However, as AXA argues, McFall’s testimony is relevant to establishing the legitimacy of AXA’s concerns regarding the potential for increased exposure under Texas law. With regard to whether McFall’s testimony is the product of reliable principles, it appears that he researched the laws for the three states at issue to determine whether there was the *potential* for increased exposure to liability in Texas rather than *actual* increased exposure to liability. Thus, URC’s argument that McFall did not research any case law or jury awards and, consequently, McFall’s opinion is unreliable, is unavailing. McFall’s testimony is also non-cumulative as he is the only witness scheduled to

Not Reported in F.Supp.2d, 2004 WL 5492710 (N.D.Ill.)  
(Cite as: 2004 WL 5492710 (N.D.Ill.))

testify regarding the material differences between the laws of Texas, New York, and Illinois and whether those differences would be considered by insurers in evaluating risks.

\*2 The court will not bar the witnesses's testimony, but before they testify the court will review their reports to identify portions in which the witness appears to be opining on the law as to which this court has a duty to instruct as opposed to what a knowledgeable person in the witness' position would do under the facts presented by this case. Thus, some of the testimony may be barred.

N.D.Ill.,2004.

AXA Corporate Solutions v. Underwriters Reinsurance Co.

Not Reported in F.Supp.2d, 2004 WL 5492710  
(N.D.Ill.)

END OF DOCUMENT

# **Bart E. Beals**

## **Work Experience**

### **Beals Law Firm-Washington, D.C. and Chicago, Illinois**

#### **Of Counsel Practitioner**

***September 2006 to Present***

Conduct trial work, appellate work, legal research and draft legal memoranda, pleadings, and briefs in the areas of constitutional, criminal, and civil law.

### **District of Columbia Housing Authority-Washington, D.C.**

#### ***Assistant General Counsel***

***June 2005 to September 2006***

Practiced in the areas of criminal law, litigation, and contract law. Responsibilities included researching relevant legal areas, drafting memorandum, and compliance with relevant federal and local procurement regulations. Responsibilities also included advising DCHA police officers regarding compliance with relevant local regulations, federal law and constitutional law. Litigation responsibilities included coordination and preparation of “fightback” cases with local and federal law enforcement officers. Responsibilities also included a lead role in jury trials, informal hearings and cases in administrative bodies.

### **Law Resources-Washington, D.C.**

#### ***Contract Attorney***

***February 2005 to May 2005***

A temporary attorney position in a large District of Columbia Law Firm. The assignment involved a privilege review and designation for a large merger deal.

### **Saulter and Beals- Chicago, Illinois**

#### ***Partner***

***March 2003 to February 2005***

Practice involved trial work in the areas of criminal defense, personal injury and civil rights. Conducted legal research and drafted legal memoranda and pleadings in the areas of constitutional, criminal, personal injury and civil rights law. Acted as lead counsel in several jury trials as well as administrative hearings.

### **Sonnenschein Nath & Rosenthal- Chicago, Illinois**

#### ***Associate Attorney: Litigation Group***

***October 2001 to February 2003***

Conducted legal research and drafted legal memoranda and pleadings regarding various complex legal matters for the General Litigation Group. Assisted in preparing discovery requests and document productions.

### **Shaw Pittman- Washington, D.C.**

#### ***Associate Attorney: Corporate Group,***

#### ***Government Relations and Diversity Group***

***September 2000 to September 2001***

Practiced in the areas of general corporate law, mergers, acquisitions, and government relations. Responsibilities included researching and drafting memoranda, corporate resolutions, Articles of Incorporation, and Bylaws and assisted in Closings. Government Relations responsibilities included researching government regulations, drafting memoranda, and reviewing corporate documents to insure that the clients were compliant with the relevant government regulations. In addition, responsibilities included working with members of the United States Congress in order to address the concerns of clients.

# **Bart E. Beals**

## **Harper, Tschlein, Nath & Rosenthal- Chicago, Illinois**

### ***Associate***

***June 1999 to August 1999***

Conducted legal research and drafted legal memoranda on various complex legal matters for the Employment and Labor Group, General Litigation Group and Corporate Group. Assisted in the drafting of an injunction to prevent the displacement of poor residents from their homes in the near west area of Chicago.

## **Shaw Pittman- Washington, DC**

### ***Summer Associate***

***May 1999 to June 1999***

Conducted legal research and drafted legal memoranda on various complex legal matters for the Employment and Labor Group, General Litigation Group and Corporate Group.

## **Other Experience**

### **Westwood College- Calumet City, IL**

#### ***Instructor- Criminal Justice***

**2004**

Taught Criminal Justice for two terms. Prepared course syllabus for each term. Assisted students in preparation of each class and examinations.

## **Alliance of Congregations Transforming the Southside- Chicago, IL      1995 - 1997**

### ***Community Organizer.***

Organized eleven churches from different denominations to empower the community. Closed five drug areas. Helped institute safe school zone. Organized parents to lobby for education reform in state capitol.

## **Chicago Board of Education- Chicago, IL**

**1995 - 1997**

### ***Substitute Teacher.***

Requested by principal to teach at Louis Wirth Experimental School. Taught sixth through eighth grade science and math.

## **Education**

### **Howard University School of Law - Washington, DC**

***Doctor of Jurisprudence, cum laude***

### **Illinois Institute of Technology- Chicago, Illinois**

***Bachelor of Arts in Business Administration***

## **Bar Admissions**

**Illinois Bar, 2000**

**District of Columbia Bar, 2001**

**Federal Bar, Northern District of Illinois, 2002**

**Federal Bar, District of Columbia, 2005**

**Seventh Circuit Court of Appeals, 2006**

**Northern District of Illinois, Trial Bar, 2006**

**United States Supreme Court Bar, 2007**

# CLAYTON K. HARRIS III

5622 South Prairie Avenue -- Chicago, Illinois, 60637 -- (773) 412-8504 -- ckharris3@yahoo.com

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## **SUMMARY:**

Trusted advisor to two Governors of Illinois and the Mayor of Chicago. Agile, successful project manager; as Chief of Staff reconstructed the massive Dan Ryan Expressway ahead of time and on budget. Highly motivated, focused and detail oriented and as Assistant State's Attorney managed the busiest traffic courtroom in Cook County. Superior abilities in personnel and crisis management developed as Combat Systems Engineer while working on missile defense at the Pentagon.

## **PROFESSIONAL EXPERIENCE:**

**Consulting Harris III/Harris & Harris III, LLC, President/Chief Executive Officer 2009 - Present**

### ***Consulting Harris III***

- Illinois based consulting firm providing unique competencies and qualifications that enable its clients to realize expected outcomes from complex issues involving State and Municipal governments, transportation and corporate operations.

### ***Harris & Harris III***

- Full service law firm located in Chicago, Illinois that specializes in criminal defense and civil litigation, and advises clients in matters related to governmental affairs, municipal activities and immigration administration.

**University of Chicago, Adjunct Professor 2009 – Present**

### ***Process and Policy in City and State Government***

- Teaching a course which consists of three interrelated sub-sections:
  - Process and policy in city and state government;
  - The role played by influential, key officials in determining policy outcomes; and
  - Policymaking during and after a political crisis.

**State of Illinois, Chicago, IL 2006 - 2009**

### ***Chief of Staff, State of Illinois* December 2008 – February 2009**

- Planned and directed all administrative, financial, and operational activities for the State of Illinois.
- Point of contact between Executive staff, Deputy Governors and Deputy Chiefs of Staff.
- Provided extensive coordination, oversight, experience and judgment to plan and accomplish the goal of keeping the 60,000 state employees, a \$56 Billion budget and Illinois under control and operating during impeachment proceedings.

### ***Deputy Chief of Staff, Governor's Office* August 2008 – December 2008, February 2009 – March 2009**

- Managed the infrastructure state departments for the State of Illinois including the Illinois Department of Transportation, the Illinois Central Management Service, the Illinois Toll way Authority, the Illinois Department of Revenue and the State retirement boards.
- Ensured that each department was worked to provide infrastructure enhancement throughout the state through transportation, facilities, finance and state management.

### ***Illinois Works Coalition (IWC), Executive Director* March 2008 – February 2009**

- Led the Illinois Works Coalition with former Speaker of the United States House of the Representatives J. Dennis Hastert and President of Southern Illinois University Glenn Poshard.
- Met with people in every corner of the state to assess the infrastructure problems that Illinois faces.
- Traveled around the state to assess immediate needs including K-12 school buildings in disrepair, crumbling roads and bridges, water and sewer systems needing upgrades, hospitals, colleges and universities that need to restore and expand their facilities.
- Estimated Capital program to be in the \$34 billion range. Formulated a funding plan for a Capital plan without raising taxes through the partial lease of the Illinois state lottery and a moderate increase in gaming.
- Developed a legislative strategy to have the bills called and passed through the General Assembly.

### ***Chief of Staff, Illinois Department of Transportation (IDOT)* January 2006 – August 2008**

- Managed one of the largest Illinois State agencies with over 5,600 employees and eleven disciplines including the Division of Highways, Finance & Administration, Chief Counsel and the Office of Business and Workforce Diversity, as well as a budget of over \$1.87B and more than 500 ongoing projects throughout the State.
- Point person on the Dan Ryan Expressway reconstruction project. Advanced Secretary's policies set by the Governor by increasing minority participation both contractually and within the workforce.
- Implemented IDOT's Diversity Action Council and sat as Chair overseeing initiatives such as parity in pay and advancement in underutilized populations within IDOT. Oversaw daily operations ranging from high levels of discrimination complaints to discipline within the department.
- Assumed duties as Acting Director for offices within the department: *Office of Business and Workforce Diversity, Office of Operations and Communications, and Department of Public and Internodal Transportation.*

## CLAYTON K. HARRIS III

1000 North Dearborn Avenue -- Chicago, Illinois, 60637 -- (773) 412-8504 -- ckharris3@yahoo.com

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### PROFESSIONAL EXPERIENCE CONTINUED:

#### City of Chicago, Chicago, IL 1999 – 2006

##### *General Counsel, Chicago Department of Transportation (CDOT) January 2005 – January 2006*

- Legal counsel for CDOT and to the Commissioner.
- Maintained, managed and advanced CDOT's legislative agenda through city ordinances, state bills and federal mandates.
- Wrote and executed easements, right of entries, intergovernmental agreements and coordinated the legalities of CDOT's interaction with other entities both inside and outside the City of Chicago.
- Answered all subpoenaed and Freedom of Information Act requested material.
- Focused on high-level disciplinary action within the department and implemented a strategy to employ the Commissioner's vision throughout CDOT.

##### *Assistant to the Mayor, Mayor Richard M. Daley February 2003 – January 2005*

- Legislative counsel to Mayor Richard M. Daley in Springfield through Intergovernmental Affairs (IGA) department.
- Developed, coordinated and advanced the City of Chicago's state legislative agenda.
- Analyzed and determined the City of Chicago's position on legislation submitted before the General Assembly: Regular, Veto and Budgetary session.
- Reviewed every bill submitted and each amendment filed.
- Drafted legislation and testified before legislative committees.
- Advanced legislation for the Governor's signature such as the Modernization of O'Hare airport, the Early Retirement Incentive plan and numerous other pieces of legislation.
- Negotiated pertinent issues such as the closure of the gun-show loophole, the opposition to the disconnect of LIHEAP customers, and harm behind conceal and carry laws to the County and City.
- Acted as the liaison between City Hall and the Senators and Representatives of the State of Illinois.

##### *Assistant State's Attorney, Cook County State's Attorneys' Office August 1999 – February 2003*

- **Narcotics; Special Prosecutions**
  - Maintained a caseload of 35 felony narcotics cases through vertical prosecution, beginning with approval and writing search warrants, presenting the facts to the Special Grand Jury for indictment, dealing with bond issues, addressing pre-trial motions, prosecuting cases at trial, through responding to and arguing post-trial motions and sentencing.
- **Narcotics**
  - Tried over three hundred bench trials, pre-trial motions, 402 conferences dealing with mediation, and post-trial motions as well as sentencing.
  - Examined and prepped witnesses, recommended sentences and probation to plead cases before going to trial.
  - Set up and ran the call for the night's call.
- **Preliminary Hearings**
  - *Special Grand Jury* -- Voir dire Grand Jury and Indict complex drug cases that have bypassed the preliminary branch courts.
  - *Preliminary Branch Court* -- Argued for the determination of probable cause to send an accused drug offender to trial based on interview of arresting officer from drop cases, surveillance cases, search warrants and criminal drug conspiracies.
  - *Central Bond Court* -- Based on criminal background and facts of the case presented on the arrest report argued for a determination of bond for every drug offender arrested in Cook County.
- **Traffic**
  - Ascended to First Chair of the busiest DUI and other major traffic violations courtroom.
  - Tried more than 400 bench trials and motions dealing with reckless driving, suspended licenses and driving under the influence.
  - Successfully tried DUI jury trials.
- **Criminal Appeals**
  - Wrote sixteen appellate briefs dealing with charges from aggravated battery to first degree murder and issues ranging from jurisdiction, reasonable doubt, *King* and *Aprendi* issues to improper procedures. All sixteen briefs were affirmed.

## CLAYTON K. HARRIS III

5022 South Prairie Avenue -- Chicago, Illinois, 60637 -- (773) 412-8504 -- ckharris3@yahoo.com

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### LEGAL INTERNSHIPS:

#### Washington, D.C. 1996 – 1999

##### *United States Department of Agriculture, Equal Employment Opportunity Specialist* July 1997 – May 1999

- Assisted with Agriculture Research Service, Office of the Director, Civil Rights Staff. Responsible for writing and maintaining compliance procedures for settlement agreements between the agency and employees.
- Focused on mediation and arbitration of civil rights complaints across the USDA.
- Analyzed discrimination claims brought by agency employees and made recommendations on proposed disposition of complaint and development of resolution options.

##### *Cook County State's Attorneys Office, Intern* May 1998 – August 1998

- Supreme Court Rule 711 Student Lawyer to the Assistant State's Attorney in Night Narcotics. Tried numerous bench trials and defended against motions to suppress evidence. Wrote memorandums of law opposing defense counsel's motions. Assisted prosecutor during bench trials, examined and prepped witnesses, further aided with conferences in chambers dealing with mediation, recommended sentences and probation to plead cases before going to trial.

##### *Federal Aviation Administration, Commercial Space Transportation, Intern* January 1998 – May 1998

- Worked with Assistant Administrator of Commercial Space Transportation on regulation of commercial rocket launches.
- Researched the regulations for reusable launch and reentry vehicles.
- Wrote a Special Position paper for the Federal Aviation Administration's authority, pursuant to the Administrative Procedure Act, to suspend commercial rocket launch licenses and regulate Orbital Debris.

##### *Prince George's County State's Attorneys Office, Intern* May 1997 – August 1997

- Sat second chair to the Assistant State's Attorney during trials. Assisted prosecutor during bench trials, including the examination of witnesses, further aided with criminal litigation, mediation, arbitration, and alternative dispute resolution to plead cases before going to trial.
- Interviewed witnesses, wrote *voir dire* for potential jurors, set up the daily criminal and traffic dockets.

##### *Howard University School of Law, Student Bar Association, President* August 1998 – May 1999

- Worked as the liaison between the student body and the law school administration. Facilitated the "Campaign Finance As A Civil Rights Issue" symposium in conjunction with the National Voting Rights Institute.
- Revived the *Social Justice Journal*. Successfully drove initiative to raise law school admission and academic standards.
- Organized march on the United States Supreme Court in support of diversity. Spoke at the United States Capitol in support against police brutality.

### ENGINEERING EXPERIENCE:

#### *PRC, Inc.*, April 1994 – June 1997

- **Combat Systems Engineer**
  - Provided support on tasks on the ARES ASAP contract for the Department of Defense's Ballistic Missile Defense Organization (BMDO) at the Pentagon.
  - Wrote *Theater Missile Defense User's Handbook* for the Department of Defense's Joint Force Directorate.
  - Researched threats, responses, facts and figures within the CONOPS, Master Plan and numerous other sources for use with the Red and Blue teams for wargame exercises.
- **Program Research Analyst**
  - Provided support to the Defense Advanced Research Projects Agency (DARPA):
    - Performed duties as a Program Research Analyst in the Information Technology Office (ITO) under the Microsystems PAD area that encompassed Microsystems, Computational Prototyping, and Microarchitectures at DARPA.

#### *Smithsonian Institution, National Air & Space Museum, Intern* June 91 - August 91, August 92 - November 92

- Awarded a paid academic internship.
- Worked with the Minority Outreach Program, input data and aided with programs dealing with inner-city children.
- Assisted with the Aerospace department and did extensive research on the book *Black Wings*.
- Redesigned National Air & Space Museum's Guggenheim fellowship brochure.
- Awarded a contracting position in computer service where worked on the Local Area Network (LAN) as an administrator's assistant in Computer Services.

## **CLAYTON K. HARRIS III**

5622 South Prairie Avenue -- Chicago, Illinois, 60637 -- (773) 412-8504 -- ckharris3@yahoo.com

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### **EDUCATION:**

**Howard University School of Law, Washington, DC**

- *Juris Doctor*, 1999
  - President, Student Bar Association
  - Member, National Moot Court

**Middle Tennessee State University, Murfreesboro, TN**

- *BS in AeroSpace Technology*
  - *Minor in Mathematics*, 1992
    - Air Force Reserve Officer Training Corps Scholarship (two years)
    - Honor Flight (two years)

### **LICENSURE:**

**Illinois State Bar licensed**

- Chicago Bar Association – Member
- Illinois State Bar Association – Member

### **COMMUNITY INVOLVEMENT:**

**Omega Psi Phi Fraternity, Inc.**

- Chapter Legal Counsel

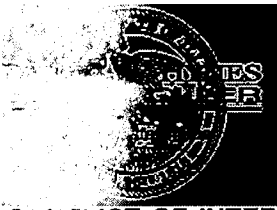
**Little Black Pearl Art and Design Center**

- Immediate Past President
- Board of Directors

**Washington Park Chamber of Commerce**

- Board of Directors

### **REFERENCES PROVIDED UPON REQUEST**



## **STANDARD CERTIFICATIONS**

**CONFLICT OF INTEREST.** Vendor covenants that it has no public or private interest and shall not acquire, directly or indirectly, any such interest that would conflict in any manner with the performance of its services under this contract. Further, Vendor agrees to comply with the provisions of the Illinois Procurement Code prohibiting conflicts of interest (30 ILCS 500/Article 50). All the terms, conditions, and provisions of Article 50 apply to this contract and are made a part of this contract the same as though they were incorporated and included herein. Vendor further represents that the disclosures required by Section 50-35 of the Illinois Procurement Code (30 ILCS 500/50-35), if applicable, have been made and are true and correct.

**BRIBERY CONVICTION CERTIFICATION.** Vendor certifies that it is not barred from being awarded a contract or subcontract with the Office of the Comptroller or with the State of Illinois under Section 50-5 of the Illinois Procurement Code (30 ILCS 500/50-5). Section 50-5 of the Illinois Procurement Code prohibits contracting with a person or business that has been convicted under the laws of Illinois or of any other State of bribing or attempting to bribe a State officer or employee or that has made an admission of guilt to that conduct but has not been prosecuted.

**FELONY CONVICTION CERTIFICATION.** Vendor certifies that it is not barred from being awarded a contract or subcontract with the Office of the Comptroller or with the State of Illinois under Section 50-10 of the Illinois Procurement Code (30 ILCS 500/50-10). Section 50-10 of the Illinois Procurement Code prohibits a person or business entity convicted of a felony from doing business with the State of Illinois or any State agency from the date of conviction until five (5) years after the completion of the sentence for such felony, unless no person held responsible by a prosecutorial office for the facts upon which the conviction was based continues to have any involvement with the business. Vendor acknowledges that making a false statement with regard to this certification is a Class 3 felony.

**SARBANES-OXLEY ACT CERTIFICATION.** Vendor certifies that it is not barred from being awarded a contract with the Office of the Comptroller or with the State of Illinois under Section 50-10.5 of the Illinois Procurement Code (30 ILCS 500/50-10.5). Section 50-10.5 of the Illinois Procurement Code prohibits a business from bidding on or entering into a contract with the State if the business or any officer, director, or partner, or other managerial agent of the business has been convicted of a felony under the Sarbanes-Oxley Act of 2002 (15 U.S.C. § 7201 et seq.) or of a Class 3 or Class 2 felony under the Illinois Securities Law of 1953 (815 ILCS 5/1 et seq.) for a period of five (5) years prior to the date of the bid or contract. Vendor acknowledges that the Office of the Comptroller shall declare this contract void if this certification is false.

**DEBT DELIQUENCY CERTIFICATION.** Vendor certifies that neither it nor any of its affiliates is prohibited from entering into a contract with the Office of the Comptroller or the State of Illinois by Section 50-11 of the Illinois Procurement Code (30 ILCS 500/50-11). Section 50-11 of the Illinois Procurement Code bars any person who is delinquent in the payment of a debt to the State from contracting with a State agency, unless that person or its affiliates has entered into a deferred payment plan to pay off the debt. Vendor acknowledges that the Office of the Comptroller may declare this contract void if this certification is false.

**USE TAX CERTIFICATION.** Vendor certifies that neither it nor its affiliates is prohibited from entering into a contract with the Comptroller or the State of Illinois under Section 50-12 of the Illinois Procurement Code (30 ILCS 5000/50-12). Section 50-12 of the Illinois Procurement Code bars a person from entering into a contract with a State agency if the person or any of its affiliates has failed to collect and remit Illinois Use Tax on all sales of tangible personal property into the State of Illinois in accordance with the provisions of the Illinois Use Tax Act (35 ILCS 105/1 et seq.). Vendor acknowledges that the Office of the Comptroller may declare this contract void if this certification is false.

**ENVIRONMENTAL PROTECTION ACT CERTIFICATION.** Vendor certifies that it is not barred from being awarded a contract with the Office of the Comptroller or the State of Illinois under Section 50-14 of the Illinois Procurement Code (30 ILCS 500/50-14). Section 50-14 of the Illinois Procurement Code bars the State from awarding a contract to any person or business found by a court or by the Pollution Control Board to have committed a willful or knowing violation of Section 42 of the Environmental Protection Act (415 ILCS 5/42). A State agency is barred from awarding a contract to a person or business found to have been in violation for a period of five (5) years from the date of the order containing the finding of violation, unless there is no practicable alternative available to the State. Vendor acknowledges that the Office of the Comptroller may declare this contract void if this certification is false.

**LEAD POISONING PREVENTION ACT CERTIFICATION.** Vendor certifies that it is not barred from being awarded a contract or subcontract with the Office of the Comptroller or with the State of Illinois under Section 50-14.5 of the Illinois Procurement Code (30 ILCS 500/50-14.5). Section 50-14.5 of the Illinois Procurement Code prohibits owners of residential buildings who have committed a willful or knowing violation of the Lead Poisoning Prevention Act (410 ILCS 45) from doing business with the State of Illinois or any State agency until the violation is mitigated. Vendor acknowledges that the Office of the Comptroller may declare this contract void if this certification is false.

**REVOLVING DOOR PROHIBITION CERTIFICATION.** Vendor certifies that it is not barred from engaging in any procurement activity with the Office of the Comptroller under Section 50-30 of the Illinois Procurement Code (30 ILCS 500/50-30). Section 50-30 of the Procurement Code prohibits chief procurement officers, associate procurement officers, State purchasing officers and their designees whose principal duties were directly related to State procurement from engaging in any procurement activity for a period of two (2) years after terminating an affected position relating to an agency most recently employing them in an affected position for a period of at least six (6) months. The prohibition includes but is not limited to lobbying the procurement process; specifying; bidding; proposing bid, proposal or contract documents on their own behalf or on behalf of any firm, partnership, association, or corporation. This Section applies only to those persons who terminate an affected position on or after January 15, 1999. (30 ILCS 500/50-30.)

**FORCED LABOR CERTIFICATION.** Vendor certifies, in accordance with Section 10 of the State Prohibition of Goods from Forced Labor Act (30 ILCS 583 /10), that none of the equipment, materials or supplies furnished pursuant to the provisions of this contract constitute imported, foreign-made goods which were produced in whole or in part by forced labor, convict labor or indentured labor. Vendor acknowledges that providing a false certification under this Section of the contract may result in: (1) this contract being voided at the Comptroller's option; (2) the Vendor being assessed a penalty of \$1,000 or an amount equal to 20% of the value of the equipment, materials or supplies produced by forced labor, convict labor or indentured labor;; and/or (3) the Vendor being suspended from bidding on any State contract for up to 360 days.

**EDUCATIONAL LOAN CERTIFICATION.** Vendor certifies that it is not in default on an educational loan as provided in the Educational Loan Default Act (5 ILCS 385/1 et seq.).

**BID-RIGGING AND BID ROTATING CERTIFICATION.** Vendor certifies that it is not barred from bidding on contracts with the State of Illinois as a result of a conviction of bid-rigging under Section 33E or of a bid rotating under Section 33E-4 of the Criminal Code of 1961 (720 ILCS 5/33E-3, 5/33E-4).

**DUES TO CLUBS THAT DISCRIMINATE CERTIFICATION.** Vendor certifies that it is not prohibited from selling goods or services to the State of Illinois because it pays dues or fees on behalf of its employees or agents or subsidiaries or otherwise reimburses them for payment of their dues or fees to any club that unlawfully discriminates. (775 ILCS 25/0.01 et seq.)

**INTERNATIONAL ANTI-BOYCOTT CERTIFICATION.** Vendor certifies and agrees that neither it nor any substantially-owned affiliated company is participating or shall participate in an international boycott in violation of the Federal Export Administration Act of 1979 (50 App. U.S.C. § 2401 et seq.) or the regulations of the United States Department of Commerce promulgated under that Act. This certification applies to contracts in excess of \$10,000(30 ILCS 582).

**AMERICANS WITH DISABILITIES ACT CERTIFICATION.** The Americans with Disabilities Act (42 U.S.C. § 12101 *et seq.*) (ADA) and the regulations promulgated thereunder prohibit discrimination against persons with disabilities by the State of Illinois, whether directly or through contractual arrangements, in the provision of any aid, benefit, or service. As a condition of this contract, the vendor certifies that services, programs, and activities provided under this contract are and will continue to be in compliance with the ADA.

**DRUG FREE WORKPLACE COMPLIANCE CERTIFICATION.** The Vendor certifies and agrees that it will provide a drug free workplace in accordance with the Drug Free Workplace Act (30 ILCS 580/1 *et seq.*), and if an individual shall not engage in the unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance in the performance of the contract. The Drug Free Workplace Act, effective January 1, 1992, requires that no grantee or contractor shall receive a grant or be considered for the purposes of being awarded a contract for the procurement of any property or services from the State unless that grantee or contractor has certified to the State that the grantee or contractor will provide a drug free workplace. False certification or violation of the certification may result in sanctions including, but not limited to, suspension of contract or grant payments, termination of the contract or grant and debarment of contracting or grant opportunities with the State for at least one (1) year but not more than five (5) years.

For the purpose of this certification, "grantee" or "contractor" means a corporation, partnership, or other entity with twenty-five (25) or more employees at the time of issuing the grant, or a department, division, or other unit thereof, directly responsible for the specific performance under a contract or grant or \$5,000 or more from the State.

The contractor/grantee certifies and agrees that it will provide a drug free workplace by:

(a) Publishing a statement:

- (1) Notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance, including cannabis, is prohibited in the grantee's or contractor's workplace;
- (2) Specifying the actions that will be taken against employees for violations of such prohibition; and
- (3) Notifying the employee that, as a condition of employment on such contract or grant, the employee will:
  - (A) abide by the terms of the statement; and
  - (B) notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five (5) days after such conviction.

Establishing a drug free awareness program to inform employees about:

- (1) the dangers of drug abuse in the workplace;
- (2) the grantee's or contractor's policy of maintaining a drug free workplace;
- (3) any available drug counseling rehabilitation, and employee assistance programs; and the penalties that may be imposed upon an employee for drug violations.

(c) Providing a copy of the statement required by subparagraph (a) to each employee engaged in the performance of the contract or grant and to post the statement in a prominent place in the workplace.

(d) Notifying the contracting or granting agency within ten (10) days after receiving notice under part (B) of paragraph (3) of subsection (a) above from an employee or otherwise receiving actual notice of such conviction.

(e) Imposing a sanction on, or requiring the satisfactory participation in a drug abuse assistance or rehabilitation program by, any employee who is so convicted, as required by section 5 of the Drug Free Workplace Act.

(f) Assisting employees in selecting a course of action in the event drug counseling, treatment, and rehabilitation is required and indicating that a trained referral team is in place.

(g) Making a good faith effort to continue to maintain a drug free workplace through implementation of the Drug Free Workplace Act.

**EQUAL EMPLOYMENT OPPORTUNITY CLAUSE.** The Vendor agrees not to commit "unlawful discrimination" in employment as that term is defined in the Illinois Human Rights Act (775 ILCS 5/1-2 et seq.), and further agrees to take affirmative action to ensure that no unlawful discrimination is committed.

**PUBLIC WORKS EMPLOYMENT DISCRIMINATION ACT.** The Vendor will comply with the Public Works Employment Discrimination Act (775 ILCS 10/01 et seq.). The provisions of this Act are made part of this contract by reference as though set forth herein.

**SEXUAL HARASSMENT POLICY.** The Vendor shall have a written sexual harassment policy that shall include the definition of sexual harassment under State law and its illegality; a description of sexual harassment utilizing examples; the Vendor's internal complaint process including penalties; the legal recourse available through and contact information for the Department of Human Rights and the Human Rights Commission; and protection against retaliation for complaining of sexual harassment.

Vendor, its employees and subcontractors will comply with applicable provisions of the U.S. Civil Rights Act and Section 504 of the Federal Rehabilitation Act.

**IDHR CONTRACTOR REGISTRATION NUMBER.** The Illinois Department of Human Rights (IDHR) requires certain persons wishing to bid on State of Illinois contracts to file a completed Employer's Report Form PC-1 before bid opening. IDHR will then assign a registration number. Your IDHR Contractor Registration Number must be submitted with your bid or proposal. Form PC-1 may be obtained by calling IDHR at 312-814-2431, TDD 312-263-1579. Your Bid will not be considered without this number.

IDHR Contractor Registration Number: N/A

**STATE BOARD OF ELECTIONS CERTIFICATION.** Vendor certifies, in accordance with 30 ILCS 500/20-160, as applicable:

☐ Vendor is not required to register as a business entity with the State Board of Elections.  
OR

☒ Vendor has registered as a business entity with the State Board of Elections and acknowledges a continuing duty to update the registration as required by the Act. A copy of the certification of registration is attached.

Vendor acknowledges that the State may declare this contract void without any additional compensation due to Vendor if this foregoing certification is false or if Vendor or its affiliated person or entities engage in conduct that violates 30 ILCS 500/20-160.

THE UNDERSIGNED AFFIRMS, UNDER PENALTIES OF PERJURY, THAT HE OR SHE IS AUTHORIZED TO EXECUTE THESE CERTIFICATIONS ON BEHALF OF THE DESIGNATED ORGANIZATION.

Printed Name of  
Organization The Hammer Legal Group LLC

Merith Hammer  
Signature of Authorized Representative

Meridth Hammer, Chief Executive Officer

Printed Name and Title

Meridth Hammer, Chief Executive Officer

Contact Person Name

CRS 11-101  
Requisition/Contract/Grant ID Number

5/27/2010  
Date

Meridth Hammer: 317-446-8844  
Contact Person Telephone Number

Department of the Treasury  
Internal Revenue Service

**CONCLUSIONS**

### Activity Dates:

**Visa Quick-Cash on arrival into the US.**

The Hammer Legal Group LLC

**Abstract**

THE UNIVERSITY OF CHICAGO

Indianapolis, IN 46208

**Social Security Numbers**

95

Employer identification number:

27 0218056

Under pressure of cost, quality and time

1. The number shown on this form is my correct taxpayer identification number (or, I am being treated as such) to be used on Form 990, and I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
2. I am a U.S. citizen or other U.S. person (defined below).

**Certification Instructions:** You must review the item above and, if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividend income, you must return this card with each transaction item 1 does not apply for mortgage interest deduction or the redemption of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and certain payments of qualified interest and dividend. You are not required to sign the Certification but you must provide your correct TIN. See the instructions on page 2.

Month Name

5/27/2010

## General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

### Purpose of Form

person who is involved in the activity. The person involved with the activity should not be a member of the organization. The person involved with the activity should not be a member of the organization. The person involved with the activity should not be a member of the organization.

1. **Confidentiality:** The information provided in this document is confidential and is intended only for the individual named. If you are not the named individual you should not disseminate the information.

[illegible]

- **Definition of U.S. territory:** The United States includes the 50 states and the District of Columbia.
- **An individual who is a U.S. citizen or U.S. permanent resident**
- **A partnership, corporation, partnership, or trust having a principal office or principal place of business in the United States or within the area of the United States.**
- **A foreign bank that is a foreign subsidiary or**
- **A domestic trust (as defined in Regulations section 301.6702-2).**

**Special rules for partnerships.** With respect to each partner of a trade or business in the United States and generally assigned to only a single activity (i.e., one business activity), there is no limit on the number of partners. However, in certain cases where a form of it has not been specified, a determination is required as to whether a partner is a foreign person, and if so, whether it is a U.S. person.

**Partnership of persons who are not U.S. persons.** It is common in a partnership conducting a trade or business in the United States, whether from within the partnership or through your U.S. agent and under withholding in your state of partnership income.

[illegible]

● The U.S. owner of a disregarded entity and not the entity.

# DISCLOSURE FORM A FINANCIAL INTERESTS AND POTENTIAL CONFLICTS OF INTERESTS

30 ILCS 500/50-35 requires vendors desiring to enter into certain contracts with the State of Illinois to disclose the financial and potential conflict of interest information specified below as a condition of receiving an award or contract. This requirement is applicable to contracts with an annual value exceeding \$10,000. You must submit this information with your bid, proposal, or offer.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements. A privately held entity that is exempt from Federal 10k reporting but has more than 400 shareholders may submit the information that Federal 10k reporting companies are required to report under 17 CFR 229.401 and list the names of any person or entity holding any ownership share that is in excess of 5% in satisfaction of the disclosure requirements.

**Disclosure of Financial Information.** The individuals named below have an interest in the vendor (or its parent) in terms of ownership or distributive income share of more than 5%, or an interest which has a value of more than 60% of the Governor's salary.

Name: Meridth Hammer

Address: 2625 N. Meridian, Suite 47

Type of Ownership/Distributable Income Share: Stock \_\_\_\_\_ Sole Proprietorship \_\_\_\_\_ Partnership \_\_\_\_\_

Other (explain) Sole Owner of Limited Liability Company

% or \$ value of ownership/distributable income share. 100%

**Disclosure of Potential Conflicts of Interest.** For each of the individuals having the level of financial interest identified above, check yes or no to indicate which, if any, of the following potential conflicts of interest relationships apply. If yes, please describe, attaching additional pages as necessary.

- (a) State employment, currently or in the previous 3 years, including contractual employment of services. Yes or No
- (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. Yes or No
- (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. Yes or No
- (d) Elective status currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes or No
- (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. Yes or No
- (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes or No
- (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. Yes or No
- (h) Employment currently or in the previous two years, of spouse, father, mother, son, or daughter as a registered lobbyist of the State government. Yes or No
- (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk of the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes or No
- (j) Relationship to anyone; spouse, father, mother, son, or daughter who was a compensated employee in the last 2 years of any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. No

Vendor certifies that the information disclosed herein is true and correct. The Undersigned affirms, under penalty of perjury, that he or she is authorized to execute this disclosure on behalf of the Vendor.

Printed Name of Vendor The Hammer Legal Group LLC

Meridth Hammer  
Signature of Authorized Representative

Meridth Hammer, Chief Executive Officer  
Printed Name and Title

CRS 11-101  
Requisition/Contract Number

5/27/2010  
Date

**DISCLOSURE FORM B  
FINANCIAL INTERESTS AND  
POTENTIAL CONFLICTS OF INTERESTS**

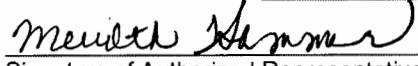
30 ILCS 500/50-35 requires vendors desiring to enter into certain contracts with the State of Illinois to disclose the information specified below as a condition of receiving an award or contract. This requirement is applicable to contracts with an annual value exceeding \$10,000. You must submit this information with your bid, proposal, or offer.

- (a) Vendor shall identify whether it has current contracts (including leases) with other units of State of Illinois government by checking "Yes" or "No" .
- (b) Vendor shall identify whether it has pending contracts (including leases), bids, proposals, or other ongoing procurement relationships with any other units of State of Illinois government by checking "Yes" or "No" .

If "yes" is checked, identify each such relationship by showing agency name and other descriptive information such as bid or project number (attach additional pages as necessary).

Vendor certifies that the information disclosed herein is true and correct. The Undersigned affirms, under penalty of perjury, that he or she is authorized to execute this disclosure on behalf of the Vendor.

Printed Name of Vendor The Hammer Legal Group LLC

  
Signature of Authorized Representative

CRS 11-101  
Requisition/Contract Number

Meridth Hammer, Chief Executive Officer  
Printed Name and Title

5/27/2010  
Date

# DISCLOSURE FORM C

## FINANCIAL INTERESTS AND

## POTENTIAL CONFLICTS OF INTERESTS

Executive Order of the Comptroller 05-01 requires a Vendor desiring to enter into contracts with an annual aggregate value exceeding \$10,000, to be paid from appropriations or expenditure authority under the sole jurisdiction of the Comptroller, to disclose political contributions made by the Vendor to the Comptroller or to a political committee established to promote the candidacy of the Comptroller.

Vendor shall disclose the information identified below as a condition of receiving an award or contract. Vendor shall submit a completed disclosure at the time of submittal of the bid, proposal, or offer in a sealed envelope addressed to the attention of Michael Drake, Executive Inspector General of the Comptroller, 325 West Adams Street, Springfield, IL 62704. Questions concerning Disclosure Form C may be directed to Executive Inspector General Michael Drake at (217) 558-1601.

### Definitions:

"Affiliated person" means any person with an ownership interest or distributive share of the bidding entity in excess of 5%, and executive employees of the bidding entity, and the spouse and minor children of any such persons.

"Affiliated entity" means any subsidiary of the bidding entity, any member of the same unitary business group or any political committee for which the bidding entity is the sponsoring entity as defined in the Election Code. (See definition of "sponsoring entity" below.)

"Member of the same unitary business group" means the same as that term is defined in the Illinois State Income Tax Code, 35 ILCS 5/1501(a)(2).

"Sponsoring entity" means (i) any person, political committee, organization, corporation, or association that contributes at least 33% of the total funding of the political committee or (ii) any person or other entity that is registered or is required to register under the Lobbyist Registration Act and contributes at least 33% of the total funding of the political committee.

"Vendor" means the bidder or offerer and any "affiliated person" or "affiliated entity" of the bidder or offerer.

### Disclosure:

**Vendor shall identify any and all persons with an ownership interest or distributive share of the bidding entity in excess of 5%, and any and all executive employees of the bidding entity, and the spouse and minor children of such persons.**

**Vendor shall identify any and all subsidiaries of the bidding entity, and any and all members of the same unitary business group as well as any political committee for which the bidding entity is the sponsoring entity.**

Vendor shall identify whether within the previous two years it made political contributions required to be reported under Article 9 of the Election Code to the Comptroller or to a political committee established to promote the candidacy of the Comptroller by checking        yes or   X   no.

If "yes" is checked, identify below each political contribution by listing the recipient of the contribution, the name and address of the contributor, and the dollar amount of the contribution. Attach additional pages as necessary.

Vendor certifies that the information disclosed herein is true and correct. The Undersigned affirms, under penalty of perjury, that he or she is authorized to execute this disclosure on behalf of the Vendor.

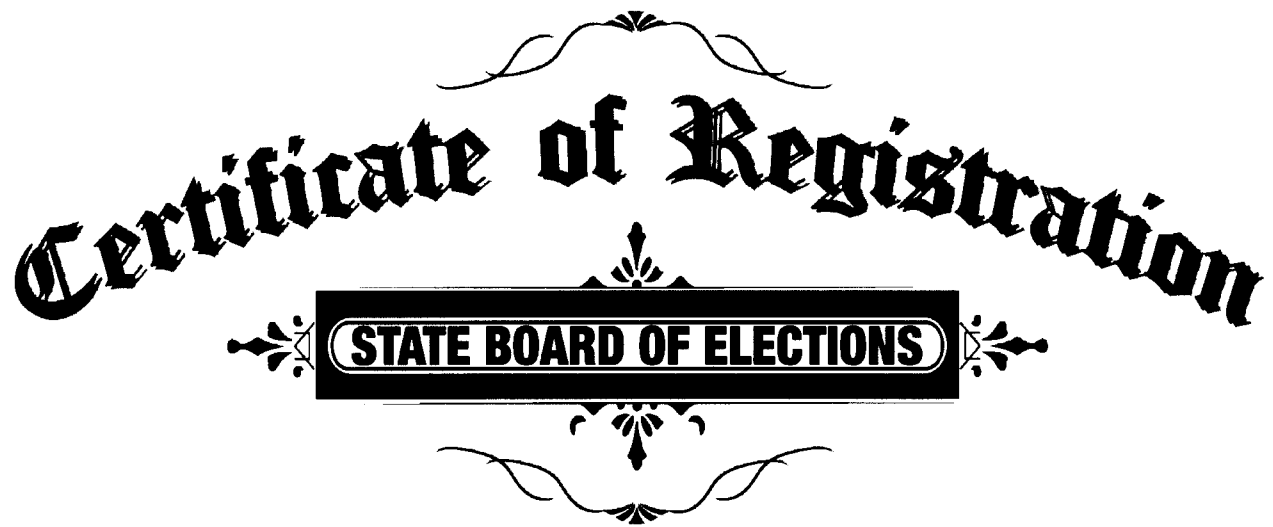
Printed Name of Vendor, The Hammer Legal Group LLC

Meridith Hammer  
Signature of Authorized Representative

CRS 11-101  
Requisition/Contract Number

Meridith Hammer, Chief Executive Officer  
Printed Name and Title

5/27/2010  
Date



**Registration No. 19215**

**The Hammer Legal Group LLC**

150 N. Michigan St, Suite 2800

Chicago IL 60601

Information for this business last updated on:

Wednesday, April 07, 2010

Certificate produced on Wednesday, April 07, 2010 at 1:40 PM



## B. Cost Proposal

Per Section B of the RFP CRS 11-101, the number of hours and compensation rate required to complete the Legislative Services project with an extended total cost is below.

<b>Labor Position/Title</b>	<b>Estimated Annual Hours</b>	<b>Total Cost Per Hour</b>	<b>Estimated Total Contract Cost</b>
Bart Beals - Legislative Services Attorney	1200	\$125.00	\$150,000
Clayton Harris – Legislative Services Attorney	1200	\$125.00	\$150,000
<b>Total</b>	2400		\$300,000

## **COURT REPORTING SERVICES**

### **STATE OF ILLINOIS**

#### **Request for Proposals for Legislative Assistance and Support**

**CRS/11-101**

**Submitted by Shea, Paige & Rogal, Inc.**

#### **A. Technical Proposal**

1) After carefully reviewing the Request for Proposals for Legislative Assistance and Support (CRS/11-101), issued by Court Reporting Services, our Firm, Shea, Paige & Rogal, Inc. understands the project requirements for CRS's request for Legislative Assistance and Support. Having been successfully involved in all aspects of "legislative assistance" (Lobbying) for over 30 years, Shea, Paige & Rogal, Inc. is keenly aware of what is required to achieve a client's goals. We have survived in the Illinois legislative process, year after year, due to hard work, attention to detail and with a strict adherence to the highest standards of integrity and character.

In general, (a more specific "work plan" is outlined below in #2), our work plan entails the basics of lobbying; developing mutually acceptable goals with the client, implementing a plan to achieve those goals and educating the Legislative and Executive branches of Illinois government until we are successful.

2) Shea, Paige & Rogal, Inc. (SPR) is a well-established governmental relations, lobbying and consulting firm, with offices in the Chicago area (LaGrange) and Springfield, Illinois. Widely known in Illinois for a results-orientated approach to advancing client interests throughout the legislative process, the firm was founded over 30 years ago by Gerald W. Shea, an attorney, who served as a Cook County Assistant State's Attorney, as well as both; Administrative Officer for the Chief Judge of the Circuit Court of Cook County and Director of the Department of Research, Planning & Development for the Circuit Court of Cook County. Additionally, Mr. Shea served 5 terms in the Illinois House of Representatives, including 4 years as the Majority Leader. In addition to the founding partner, Gerald W. Shea, the firm's other partners; Billie Paige and Ira Rogal have many years experience working in the Illinois legislative, regulatory and political process. An affiliate of the firm, Dallas Ingemunson, has over 30 years experience in the Illinois legislative and political arena, including being elected to 5 terms as the Kendall County State's Attorney. An associate with the firm, Mark Kolaz, has over 25 years experience in the Illinois legislative process, including having served on the Appropriations staff of Speaker Michael J. Madigan.

In reference to specific Court Reporting Services issues, Shea, Paige & Rogal currently represents the Court Reporting Services before the General Assembly and the State of Illinois. In the last 3 Fiscal Years, working in conjunction with the Honorable Gene Schwarm of the 4<sup>th</sup> Judicial Circuit and Tammy Bumgarner, we were successful in securing a Supplemental Appropriation to restore the increases to the Personal Services line items that were vetoed by the Governor in FY2008 and FY2009. For FY2010, again working with Judge Schwarm and Tammy Bumgarner, we were successful in securing the requested budget amount. In reference to general court reporting experience, Shea, Paige & Rogal, for over 20 years, represented the Cook County Court Reporters and the Illinois Official Court Reporters. During this time, court reporters salaries were set by Illinois Statute. In order for the court reporters to receive increased compensation the Statute had to be amended. SPR was

successful, each time, in amending the Statute to permit compensation increases for court reporters. In addition, when electronic court reporting first came on the horizon, SPR worked with the General Assembly and the Court system to ensure that an official court reporter was required to operate and monitor the equipment. Further, as a former Administrator of the Cook County Courts, the founding partner of SPR, Gerald Shea, is intimately familiar with court reporting functions and responsibilities, as well as the operation of the court system.

SPR has extensive experience in respect to the General Assembly and the State of Illinois, having represented the Circuit Court of Cook County - The Office of the Chief Judge, the Cook County office of the Clerk of the Circuit Court, Illinois Rural Bond Bank and numerous corporations (a list of current and past clients is attached). SPR is an expert in creating, implementing and changing public policy. Whether the CRS seeks passage of new legislation, needs to adjust their annual budget, or wants to stop an adverse piece of legislation, SPR has achieved positive results throughout its 30 year history. SPR has a proven track record of representing both public and private clients from all sectors. The Firm can provide CRS all aspects of a successful government relations (lobbying) program, including strategy development and implementation, monitoring services to watch bills or subject matter issues and legislative advocacy.

An important part of any successful legislative program is the monitoring and tracking of all legislation pending before the General Assembly or awaiting the Governor's action. SPR provides computerized legislative monitoring services, in which we obtain the most current information available on all legislation pending before the Illinois General Assembly and the Governor. We will monitor each piece of legislation, including all amendments, in which CRS is interested in, provide copies of the legislation, and keep CRS advised of bill introductions, committee action, floor action, conference committee action and Governor's action. We will do this on a weekly basis, unless there is a need for a more expedited notification (such as a hostile amendment), in which case we will immediately email and/or fax the information to the appropriate CRS contact person.

In addition to monitoring all legislation, we meet with sponsors, legislative staff and committee members to obtain an analysis on each piece of legislation affecting CRS. This analysis continues as long as the legislation is pending in either Chamber or the Governor has taken final action.

The SPR approach to legislative advocacy begins with our skilled team of experts conducting an in-depth analysis with CRS personnel to identify and prioritize the exact legislative and regulatory goals for CRS. From this analysis, we will develop a strategy and implement the legislative program, specific to the needs of CRS. Based on our mutually agreed strategy for CRS goals, we will be able to provide advice and consultation on all legislation pending before the General Assembly and the Executive Branch.

During the implementation phase, we carry out the agreed upon strategy aggressively, ethically and discretely. Depending on the needs and goals, this may include a "Legislative Advocacy" component, which can include supportive or defensive activities.

With regard to the introduction, support and passage of CRS legislation, we would work with CRS, to research, develop and refine legislative proposals, which meet the goals of CRS. We then would "shepherd" the legislation through the process, including drafting, arranging for sponsors and co-sponsors, introduction, moving the legislation through committees in both the House and Senate, drafting amendments, developing coalitions, educating the members of the General Assembly and obtaining the Governor's signature to enact the bill into law. Included in these activities would be testimony before legislative committees or other governmental bodies, such as the Joint Committee on Administrative Rules. If CRS staff or CRS Board Member is to testify before a legislative committee, SPR can provide consultation and advice, as well as conduct briefing session(s) to assist in preparation. In addition to consultation and advice on substantive legislative issues, SPR has expertise in the Appropriations process. In addition to successfully representing the Court Reporting Services during the appropriations process, SPR has also represented several governmental bodies, such as METRA and Governor's State University.

In reference to legislation which CRS may oppose, SPR has had success in modifying legislation to remove unwanted provisions and, if needed, we have been able to defeat negative legislation. We accomplish this by the basics of lobbying, educating the Legislative leaders and members of the General Assembly on our client's position and constructing a roll call.

Our knowledge of state government and extensive working relationships with elected officials and key staff members, allows us to communicate on a regular basis with all the legislative leaders, members of the General Assembly and their staffs', the Governor's office and Constitutional Officers (including the Comptroller). SPR is in a unique position to help CRS to successfully advance their relationship with the Illinois General Assembly, the Governor's Office and the State of Illinois.

3) The following individuals (biographies attached), all of who are registered to lobby in the State of Illinois, will be assigned to carry out the project:

- **Gerald W. Shea** is the founder and Senior Principal in the firm of Shea, Paige & Rogal, Inc. Mr. Shea is an attorney who served 5 terms as a Member of the Illinois House of Representatives, including 1 term as Majority Leader. He has also formally served as the Administrative Officer for the Chief Judge of the Circuit Court of Cook County; as Director of Research, Planning & Development for the Circuit Court of Cook County and as an Assistant State's Attorney for Cook County.
- **Billie J. Paige** is a partner in the firm of Shea, Paige & Rogal. She has spent much of her career in a variety of government and government related positions, including as Commissioner of the Illinois Department of Unemployment Insurance, Assistant Director of the Illinois Department of Registration and Education and Director of Government Relations for the Illinois Hospital Association.
- **Dallas Ingemunson** is an affiliate of the firm, who has over 30 years experience in the Illinois legislative and political arena, including serving 5 terms as the Kendall County State's Attorney.
- **Mark Kolaz** has over 25 years experience in the Illinois legislative process, including having served on Speaker Madigan's Appropriation staff and as Director of Government Relations for the Associated Beer Distributor's of Illinois.

## **SHEA, PAIGE & ROGAL, INC.**



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### **WHO WE ARE**

#### **GERALD W. SHEA**

Gerald W. Shea

Billie J. Paige

Ira J. Rogal

Dallas C. Ingemunson



Mr. Shea is the senior principal of the firm of Shea, Rogal & Associates. Mr. Shea is an attorney. He served five terms as a member of the Illinois House of Representatives including a term as Majority Leader. He has served as an Assistant State's Attorney, Administrative Officer for the Chief Judge of the Circuit Court of Cook County and Director of the Department of Research, Planning & Development for the Circuit Court of Cook County.

Mr. Shea has served as an Instructor, John Marshall Law School, Chicago, Illinois, Chairman of the Illinois Judicial Advisory Council, Chairman of the Illinois Legislative Reference Bureau, Member of the Illinois Economic and Fiscal Commission, Member of the Board of Directors of the Regional Transportation Authority, Member of the Illinois Secretary of State's Transportation Study Commission, Member of the Illinois Secretary of State's Securities Law Advisory Committee and Chairman, University of Illinois Board of Trustees.

Mr. Shea is a graduate of DePaul University College of Law, with a Juris Doctor and the University of Illinois, with a B.S., Finance.

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**WHO WE ARE****BILLIE J. PAIGE**Gerald W. SheaBillie J. PaigeIra J. RogalDallas C. Ingemunson

Billie J. Paige has spent much of her career in a variety of government and government-related positions, including terms as Illinois Commissioner of Unemployment Insurance, Assistant Director of the Illinois Department of Regulation & Education, and Director of State Government Affairs for the Illinois Hospital Association. She is currently a Principal in the lobbying firm of Shea, Paige & Rogal, Inc.

Educated at the University of Chicago and Loyola University in Chicago, Paige received a B.S. from Roosevelt University in Chicago. She did graduate studies at Roosevelt University and the University of Illinois, Circle Campus, Chicago. She has been a guest lecturer for the School of Education at Roosevelt University, the School of Business at Northwestern University and Loyola University School of Law.

Before joining the Shea firm in 1983, Paige served as Director of State Government Affairs for the Illinois Hospital Association. She served over four years as the second ranking statutory official for the Illinois Department of Registration and Education where she was responsible for the licensing of 34 professions and occupations covering more than 600,000 licensees and for the regulation of the professions its licensed. Prior to that, Paige was responsible for the administration of the Unemployment Insurance program for the state through her position with the Illinois Department of Labor.

Paige serves as President and Chief Executive Officer of Continental Testing Services, Inc. A company providing examination administration and consulting services.

Paige and her husband George are the parents of two boys.

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**WHO WE ARE****DALLAS C. INGEMUNSON**

Gerald W. Shea

Billie J. Paige

Ira J. Rogal

Dallas C. Ingemunson



Dallas C. Ingemunson is an attorney who has a life long record of public service. Ingemunson is a graduate of the University of Illinois with an Electrical Engineering B.S. degree and a J.D. degree from DePaul University, School of Law. He served as the States Attorney for Kendall County, Illinois from 1970 until December, 1996. Since 1997, he has been affiliated with Shea, Paige and Rogal, Inc. d/b/a Government Consulting, Inc.

Ingemunson served on the Kendall County Planning Commission, (Chairman 1970-1975), the Illinois State's Attorneys Appellate Prosecutor (Chairman 1981-1996), Illinois Juvenile Justice Commission (Chairman 1984-2004) and as Co-Chair of the Illinois Joint House- Senate Committee on Juvenile Justice during 1995-1997.

Ingemunson has served as Chairman of the Kendall County Republican Central Committee from 1973 to the present day. He was a Republican State Central Committee from 1991 until 2002 and served as Treasurer of the State Party from 1993 until 2002. He was awarded the title of Chairman Emeritus of the State Republican Party in 2002. He was President of the Republican County Chairmen's Association of Illinois from 1982 until 1986.

He and his wife Dolores live in Yorkville, Illinois. They are the parents of three sons, two of which practice law with Ingemunson in the family firm.

## **A NARRATIVE OF MARK KOLAZ'S RESUME**

Mark Kolaz currently serves as a Principal in the firm of Mark Kolaz & Company, a Government, Legislative and Public Relations consulting company. Kolaz & Company is affiliated with Shea, Paige & Rogal, Inc., through an exclusive contract arrangement. Kolaz has earned a Master's degree in Public Administration, as well as a Graduate Certificate in the Management of Non-profit Organizations from the University of Illinois and a Bachelor's degree in Mass Communication from Western Illinois University.

Kolaz and his company are currently associated with Shea, Paige & Rogal, Inc., a government and legislative consulting firm. His responsibilities include the day-to-day operation of the Firm's Springfield office, as well as lobbying duties, as assigned by the Firm's principals.

From 2003 to 2007, prior to coming back to the firm of Shea, Paige & Rogal, Kolaz was employed by the Office of the Governor in the state of Illinois. During this period, he was assigned multiple duties and held several positions, including Deputy Chief of Staff, Senior Advisor for Legislative Affairs, Assistant Superintendent for the State Board of Education, Chief of Staff for the Department of Central Management Services and Deputy Director for the Department of Agriculture.

From 1984 to 1988, he served on the staff of the Speaker of the Illinois House of Representatives, the Honorable Michael J. Madigan. His assignments included the staffing of several committees, such as Appropriations, Insurance, Housing and Registration & Regulation.

From 1988 – 1992, he was the Director of Government Relations for the Illinois Beer Distributors Association, a state-wide trade associations. In this capacity, he was responsible for the day-to-day administration of the Associations government relations activities, which included the design and implementation of the lobbying strategies.

From 1992 – 2003, Kolaz was associated with the firm of Shea, Paige & Rogal, Inc., a government and legislative consulting firm. This association is similar to the current arrangement that Kolaz has with the Firm.

Kolaz lives with his family in Springfield, Illinois.

4) Our experience in and our knowledge of the Illinois legislative process has allowed us, on behalf of our clients (SPR Client List Attached), to have many successful outcomes, a few are listed below:

- On behalf of **Court Reporting Services**, SPR helped secure the annual appropriation, including a supplemental appropriation to restore the pay increases that were vetoed by the Governor in FY2008 and FY2009.
- On behalf of **Carle Foundation Hospital**, SPR has annually secured a \$2 Million appropriation for the Hospitals participation in the Excellence in Academic Medicine program.
- On behalf of **Governor's State University**, in conjunction with the Board of Higher Education, secured the University's annual appropriation.
- On behalf of the **Chicago Botanical Garden** and the **Chicago Museum of Science and Industry** secured several million dollars worth of funding for Capital Projects.
- On behalf of **Peabody Energy**, secured all Illinois EPA permits, for a 3 Mega Watt, coal-fired power plant in Randolph County.

## SHEA, PAIGE & ROGAL, INC.



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### WHO WE REPRESENT

The following is a partial list of current clients and clients that Shea, Paige & Rogal, Inc. has recently represented:

AD HOC Committee/I-55 Widening Project	Illinois Environmental Health Association
American Land Title Association	Illinois Harness Horsemen Association
Anheuser-Busch Companies, Inc.	Illinois Institute of Technology
AT&T	Illinois Public Defenders Association
Carle Foundation Hospital	Illinois Retired Teachers Association
Centerpoint	Illinois Rural Bond Bank
Chicago Botanic Gardens	Illinois Small Loan Association
Chicago Museum of Science & Industry	Institute of Scrap, Iron & Steel
Circuit Court of Cook County, Illinois - Office of the Chief Judge	Kindred Health Care
Comcast Cable Communications, Inc.	LaRabida Children's Hospital & Research Center
Cook County Office of the Clerk of the Circuit Court	Marion Memorial Hospital
Crown, Cork & Seal Company, Inc.	METRA
Dixon & Co.	Motorcycle Industry Council
DuPage Municipal Water Coalition	NI-COR (Mayer, Brown, Rowe & Maw)
Enterprise Rent-A-Car	Non Bank Funds Transmitters Group
Epilepsy Foundation - Chicago	Omnicare, Inc.
Franciscan Sisters of Chicago Service Corp	Prairie State Generating Company, LLC
General Motors	Riverside Medical Center
Governor's State University	Roseland Community Hospital
Grundy Industrial Group	Rush Alzheimer's Disease Center
HCR Manorcare	Shelton Fireworks
HDR Engineering	Trinity Medical Center
Health Alliance	Underground Contractors Association
Human Resources Development Institute	University of Chicago Hospitals
Illinois Board of Examiners	Valley Ambulatory Surgery Center
Illinois CPA Society	Vencor
Illinois Freestanding Surgery Center Association	

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## **STANDARD CERTIFICATIONS**

**CONFLICT OF INTEREST.** Vendor covenants that it has no public or private interest and shall not acquire, directly or indirectly, any such interest that would conflict in any manner with the performance of its services under this contract. Further, Vendor agrees to comply with the provisions of the Illinois Procurement Code prohibiting conflicts of interest (30 ILCS 500/Article 50). All the terms, conditions, and provisions of Article 50 apply to this contract and are made a part of this contract the same as though they were incorporated and included herein. Vendor further represents that the disclosures required by Section 50-35 of the Illinois Procurement Code (30 ILCS 500/50-35), if applicable, have been made and are true and correct.

**BRIBERY CONVICTION CERTIFICATION.** Vendor certifies that it is not barred from being awarded a contract or subcontract with the Office of the Comptroller or with the State of Illinois under Section 50-5 of the Illinois Procurement Code (30 ILCS 500/50-5). Section 50-5 of the Illinois Procurement Code prohibits contracting with a person or business that has been convicted under the laws of Illinois or of any other State of bribing or attempting to bribe a State officer or employee or that has made an admission of guilt to that conduct but has not been prosecuted.

**FELONY CONVICTION CERTIFICATION.** Vendor certifies that it is not barred from being awarded a contract or subcontract with the Office of the Comptroller or with the State of Illinois under Section 50-10 of the Illinois Procurement Code (30 ILCS 500/50-10). Section 50-10 of the Illinois Procurement Code prohibits a person or business entity convicted of a felony from doing business with the State of Illinois or any State agency from the date of conviction until five (5) years after the completion of the sentence for such felony, unless no person held responsible by a prosecutorial office for the facts upon which the conviction was based continues to have any involvement with the business. Vendor acknowledges that making a false statement with regard to this certification is a Class 3 felony.

**SARBANES-OXLEY ACT CERTIFICATION.** Vendor certifies that it is not barred from being awarded a contract with the Office of the Comptroller or with the State of Illinois under Section 50-10.5 of the Illinois Procurement Code (30 ILCS 500/50-10.5). Section 83 50-10.5 of the Illinois Procurement Code prohibits a business from bidding on or entering into a contract with the State if the business or any officer, director, or partner, or other managerial agent of the business has been convicted of a felony under the Sarbanes-Oxley Act of 2002 (15 U.S.C. § 7201 et seq.) or of a Class 3 or Class 2 felony under the Illinois Securities Law of 1953 (815 ILCS 5/1 et seq.) for a period of five (5) years prior to the date of the bid or contract. Vendor acknowledges that the Office of the Comptroller shall declare this contract void if this certification is false.

**DEBT DELIQUENCY CERTIFICATION.** Vendor certifies that neither it nor any of its affiliates is prohibited from entering into a contract with the Office of the Comptroller or the State of Illinois by Section 50-11 of the Illinois Procurement Code (30 ILCS 500/50-11). Section 50-11 of the Illinois Procurement Code bars any person who is delinquent in the payment of a debt to the State from contracting with a State agency, unless that person or its affiliates has entered into a deferred payment plan to pay off the debt. Vendor acknowledges that the Office of the Comptroller may declare this contract void if this certification is false.

**USE TAX CERTIFICATION.** Vendor certifies that neither it nor its affiliates is prohibited from entering into a contract with the Comptroller or the State of Illinois under Section 50-12 of the Illinois Procurement Code (30 ILCS 5000/50-12). Section 50-12 of the Illinois Procurement Code bars a person from entering into a contract with a State agency if the person or any of its affiliates has failed to collect and remit Illinois Use Tax on all sales of tangible personal property into the State of Illinois in accordance with the provisions of the Illinois Use Tax Act (35 ILCS 105/1 et seq.). Vendor acknowledges that the Office of the Comptroller may declare this contract void if this certification is false.

**ENVIRONMENTAL PROTECTION ACT CERTIFICATION.** Vendor certifies that it is not barred from being awarded a contract with the Office of the Comptroller or the State of Illinois under Section 50-14 of the Illinois Procurement Code (30 ILCS 500/50-14). Section 50-14 of the Illinois Procurement Code bars the State from awarding a contract to any person or business found by a court or by the Pollution Control Board to have committed a willful or knowing violation of Section 42 of the Environmental Protection Act (415 ILCS 5/42). A State agency is barred from awarding a contract to a person or business found to have been in violation for a period of five (5) years from the date of the order containing the finding of violation, unless there is no practicable alternative available to the State. Vendor acknowledges that the Office of the Comptroller may declare this contract void if this certification is false.

**LEAD POISONING PREVENTION ACT CERTIFICATION.** Vendor certifies that it is not barred from being awarded a contract or subcontract with the Office of the Comptroller or with the State of Illinois under Section 50-14.5 of the Illinois Procurement Code (30 ILCS 500/50-14.5). Section 50-14.5 of the Illinois Procurement Code prohibits owners of residential buildings who have committed a willful or knowing violation of the Lead Poisoning Prevention Act (410 ILCS 45) from doing business with the State of Illinois or any State agency until the violation is mitigated. Vendor acknowledges that the Office of the Comptroller may declare this contract void if this certification is false.

**REVOLVING DOOR PROHIBITION CERTIFICATION.** Vendor certifies that it is not barred from engaging in any procurement activity with the Office of the Comptroller under Section 50-30 of the Illinois Procurement Code (30 ILCS 500/50-30). Section 50-30 of the Procurement Code prohibits chief procurement officers, associate procurement officers, State purchasing officers and their designees whose principal duties were directly related to State procurement from engaging in any procurement activity for a period of two (2) years after terminating an affected position relating to an agency most recently employing them in an affected position for a period of at least six (6) months. The prohibition includes but is not limited to lobbying the procurement process; specifying; bidding; proposing bid, proposal or contract documents on their own behalf or on behalf of any firm, partnership, association, or corporation. This Section applies only to those persons who terminate an affected position on or after January 15, 1999. (30 ILCS 500/50-30.)

**FORCED LABOR CERTIFICATION.** Vendor certifies, in accordance with Section 10 of the State Prohibition of Goods from Forced Labor Act (30 ILCS 583 /10), that none of the equipment, materials or supplies furnished pursuant to the provisions of this contract constitute imported, foreign-made goods which were produced in whole or in part by forced labor, convict labor or indentured labor. Vendor acknowledges that providing a false certification under this Section of the contract may result in: (1) this contract being voided at the Comptroller's option; (2) the Vendor being assessed a penalty of \$1,000 or an amount equal to 20% of the value of the equipment, materials or supplies produced by forced labor, convict labor or indentured labor;; and/or (3) the Vendor being suspended from bidding on any State contract for up to 360 days.

**EDUCATIONAL LOAN CERTIFICATION.** Vendor certifies that it is not in default on an educational loan as provided in the Educational Loan Default Act (5 ILCS 385/1 et seq.).

**BID-RIGGING AND BID ROTATING CERTIFICATION.** Vendor certifies that it is not barred from bidding on contracts with the State of Illinois as a result of a conviction of bid-rigging under Section 33E or of a bid rotating under Section 33E-4 of the Criminal Code of 1961 (720 ILCS 5/33E-3, 5/33E-4).

**DUES TO CLUBS THAT DISCRIMINATE CERTIFICATION.** Vendor certifies that it is not prohibited from selling goods or services to the State of Illinois because it pays dues or fees on behalf of its employees or agents or subsidiaries or otherwise reimburses them for payment of their dues or fees to any club that unlawfully discriminates. (775 ILCS 25/0.01 et seq.)

**INTERNATIONAL ANTI-BOYCOTT CERTIFICATION.** Vendor certifies and agrees that neither it nor any substantially-owned affiliated company is participating or shall participate in an international boycott in violation of the Federal Export Administration Act of 1979 (50 App. U.S.C. § 2401 et seq.) or the regulations of the United States Department of Commerce promulgated under that Act. This certification applies to contracts in excess of \$10,000 (30 ILCS 582).

**AMERICANS WITH DISABILITIES ACT CERTIFICATION.** The Americans with Disabilities Act of 1990 (42 U.S.C. § 12101 et seq.) (ADA) and the regulations promulgated thereunder prohibit discrimination against persons with disabilities by the State of Illinois, whether directly or through contractual arrangements, in the provision of any aid, benefit, or service. As a condition of this contract, Vendor certifies that services, programs, and activities provided under this contract are and will continue to be in compliance with the ADA.

**DRUG FREE WORKPLACE COMPLIANCE CERTIFICATION.** The Vendor certifies and agrees that it will provide a drug free workplace in accordance with the Drug Free Workplace Act (30 ILCS 580/1 et seq.), and if an individual shall not engage in the unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance in the performance of the contract. The Drug Free Workplace Act, effective January 1, 1992, requires that no grantee or contractor shall receive a grant or be considered for the purposes of being awarded a contract for the procurement of any property or services from the State unless that grantee or contractor has certified to the State that the grantee or contractor will provide a drug free workplace. False certification or violation of the certification may result in sanctions including, but not limited to, suspension of contract or grant payments, termination of the contract or grant and debarment of contracting or grant opportunities with the State for at least one (1) year but not more than five (5) years.

For the purpose of this certification, "grantee" or "contractor" means a corporation, partnership, or other entity with twenty-five (25) or more employees at the time of issuing the grant, or a department, division, or other unit thereof, directly responsible for the specific performance under a contract or grant or \$5,000 or more from the State.

The contractor/grantee certifies and agrees that it will provide a drug free workplace by:

(a) Publishing a statement:

- (1) Notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance, including cannabis, is prohibited in the grantee's or contractor's workplace;
- (2) Specifying the actions that will be taken against employees for violations of such prohibition; and
- (3) Notifying the employee that, as a condition of employment on such contract or grant, the employee will:
  - (A) abide by the terms of the statement; and
  - (B) notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five (5) days after such conviction.

Establishing a drug free awareness program to inform employees about:

- (1) the dangers of drug abuse in the workplace;
- (2) the grantee's or contractor's policy of maintaining a drug free workplace;
- (3) any available drug counseling rehabilitation, and employee assistance programs; and the penalties that may be imposed upon an employee for drug violations.

(c) Providing a copy of the statement required by subparagraph (a) to each employee engaged in the performance of the contract or grant and to post the statement in a prominent place in the workplace.

(d) Notifying the contracting or granting agency within ten (10) days after receiving notice under part (B) of paragraph (3) of subsection (a) above from an employee or otherwise receiving actual notice of such conviction.

(e) Imposing a sanction on, or requiring the satisfactory participation in a drug abuse assistance or rehabilitation program by, any employee who is so convicted, as required by section 5 of the Drug Free Workplace Act.

(f) Assisting employees in selecting a course of action in the event drug counseling, treatment, and rehabilitation is required and indicating that a trained referral team is in place.

(g) Making a good faith effort to continue to maintain a drug free workplace through implementation of the Drug Free Workplace Act.

**EQUAL EMPLOYMENT OPPORTUNITY CLAUSE.** The Vendor agrees not to commit "unlawful discrimination" in employment as that term is defined in the Illinois Human Rights Act (775 ILCS 5/1-101 et seq.), and further agrees to take affirmative action to ensure that no unlawful discrimination is committed.

**PUBLIC WORKS EMPLOYMENT DISCRIMINATION ACT.** The Vendor will comply with the Public Works Employment Discrimination Act (775 ILCS 10/01 et seq.). The provisions of this Act are made part of this contract by reference as though set forth herein.

**SEXUAL HARASSMENT POLICY.** The Vendor shall have a written sexual harassment policy that shall include the definition of sexual harassment under State law and its illegality; a description of sexual harassment utilizing examples; the Vendor's internal complaint process including penalties; the legal recourse available through and contact information for the Department of Human Rights and the Human Rights Commission; and protection against retaliation for complaining of sexual harassment.

Vendor, its employees and subcontractors will comply with applicable provisions of the U.S. Civil Rights Act and Section 504 of the Federal Rehabilitation Act.

**IDHR CONTRACTOR REGISTRATION NUMBER.** The Illinois Department of Human Rights (IDHR) requires certain persons wishing to bid on State of Illinois contracts to file a completed Employer's Report Form PC-1 before bid opening. IDHR will then assign a registration number. Your IDHR Contractor Registration Number must be submitted with your bid or proposal. Form PC-1 may be obtained by calling IDHR at 312-814-2431, TDD 312-263-1579. Your Bid will not be considered without this number.

IDHR Contractor Registration Number: 121837-00

**STATE BOARD OF ELECTIONS CERTIFICATION.** Vendor certifies, in accordance with 30 ILCS 500/20-160, as applicable:

     Vendor is not required to register as a business entity with the State Board of Elections.  
OR

  X   Vendor has registered as a business entity with the State Board of Elections and acknowledges a continuing duty to update the registration as required by the Act. A copy of the certification of registration is attached.

Vendor acknowledges that the State may declare this contract void without any additional compensation due to Vendor if this foregoing certification is false or if Vendor or its affiliated person or entities engage in conduct that violates 30 ILCS 500/20-160.

THE UNDERSIGNED AFFIRMS, UNDER PENALTIES OF PERJURY, THAT HE OR SHE IS AUTHORIZED TO EXECUTE THESE CERTIFICATIONS ON BEHALF OF THE DESIGNATED ORGANIZATION.

Printed Name of Organization Shea, Paige & Rogal, Inc.

Billie J. Paige  
Signature of Authorized Representative

Billie J. Paige  
Printed Name and Title

Billie J. Paige  
Contact Person Name

CRS/11-101  
Requisition/Contract/Grant ID Number

May 18, 2010  
Date

708-482-4820  
Contact Person Telephone Number

# DISCLOSURE FORM A FINANCIAL INTERESTS AND POTENTIAL CONFLICTS OF INTERESTS

30 ILCS 500/50-35 requires vendors desiring to enter into certain contracts with the State of Illinois to disclose the financial and potential conflict of interest information specified below as a condition of receiving an award or contract. This requirement is applicable to contracts with an annual value exceeding \$10,000. You must submit this information with your bid, proposal, or offer.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements. A privately held entity that is exempt from Federal 10k reporting but has more than 400 shareholders may submit the information that Federal 10k reporting companies are required to report under 17 CFR 229.401 and list the names of any person or entity holding any ownership share that is in excess of 5% in satisfaction of the disclosure requirements.

**Disclosure of Financial Information.** The individuals named below have an interest in the vendor (or its parent) in terms of ownership or distributive income share of more than 5%, or an interest which has a value of more than 60% of the Governor's salary.

Name: Gerald W. Shea

Address: 547 S. LaGrange Road, LaGrange, IL 60525

Type of Ownership/Distributable Income Share: Stock ☒ Sole Proprietorship ☐ Partnership ☐

Other (explain) \_\_\_\_\_

% or \$ value of ownership/distributable income share. 67%

**Disclosure of Potential Conflicts of Interest.** For each of the individuals having the level of financial interest identified above, check yes or no to indicate which, if any, of the following potential conflicts of interest relationships apply. If yes, please describe, attaching additional pages as necessary.

- (a) State employment, currently or in the previous 3 years, including contractual employment of services. Yes or No
- (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. Yes or No
- (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. Yes or No
- (d) Elective status currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes or No
- (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. Yes or No
- (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes or No
- (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. Yes or No
- (h) Employment currently or in the previous two years, of spouse, father, mother, son, or daughter as a registered lobbyist of the State government. Yes or No
- (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk of the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes or No
- (j) Relationship to anyone; spouse, father, mother, son, or daughter who was a compensated employee in the last 2 years of any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. NO

Vendor certifies that the information disclosed herein is true and correct. The Undersigned affirms, under penalty of perjury, that he or she is authorized to execute this disclosure on behalf of the Vendor.

Printed Name of Vendor Shea, Paige & Rogal, Inc.

Gerald W. Shea  
Signature of Authorized Representative

Gerald W. Shea

Printed Name and Title

CRS/11-101

Requisition/Contract Number

5113/10

Date

**DISCLOSURE FORM A  
FINANCIAL INTERESTS AND  
POTENTIAL CONFLICTS OF INTERESTS**

30 ILCS 500/50-35 requires vendors desiring to enter into certain contracts with the State of Illinois to disclose the financial and potential conflict of interest information specified below as a condition of receiving an award or contract. This requirement is applicable to contracts with an annual value exceeding \$10,000. You must submit this information with your bid, proposal, or offer.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements. A privately held entity that is exempt from Federal 10k reporting but has more than 400 shareholders may submit the information that Federal 10k reporting companies are required to report under 17 CFR 229.401 and list the names of any person or entity holding any ownership share that is in excess of 5% in satisfaction of the disclosure requirements.

**Disclosure of Financial Information.** The individuals named below have an interest in the vendor (or its parent) in terms of ownership or distributive income share of more than 5%, or an interest which has a value of more than 60% of the Governor's salary.

Name: Billie J. Paige

Address: 547 S. LaGrange Road, LaGrange, IL 60525

Type of Ownership/Distributable Income Share: Stock ☒ Sole Proprietorship ☐ Partnership ☐

Other (explain) \_\_\_\_\_

% or \$ value of ownership/distributable income share. 15%

**Disclosure of Potential Conflicts of Interest.** For each of the individuals having the level of financial interest identified above, check yes or no to indicate which, if any, of the following potential conflicts of interest relationships apply. If yes, please describe, attaching additional pages as necessary.

- (a) State employment, currently or in the previous 3 years, including contractual employment of services. Yes or No
- (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. Yes or No
- (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. Yes or No
- (d) Elective status currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes or No
- (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. Yes or No
- (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes or No
- (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. Yes or No
- (h) Employment currently or in the previous two years, of spouse, father, mother, son, or daughter as a registered lobbyist of the State government. Yes or No
- (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk of the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes or No
- (j) Relationship to anyone; spouse, father, mother, son, or daughter who was a compensated employee in the last 2 years of any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. NO

Vendor certifies that the information disclosed herein is true and correct. The Undersigned affirms, under penalty of perjury, that he or she is authorized to execute this disclosure on behalf of the Vendor.

Printed Name of Vendor Shea, Paige & Rogal, Inc.

Billie J. Paige  
Signature of Authorized Representative

CRS/11-101

Requisition/Contract Number

Billie J. Paige  
Printed Name and Title

5-13-10  
Date

**DISCLOSURE FORM A  
FINANCIAL INTERESTS AND  
POTENTIAL CONFLICTS OF INTERESTS**

30 ILCS 500/50-35 requires vendors desiring to enter into certain contracts with the State of Illinois to disclose the financial and potential conflict of interest information specified below as a condition of receiving an award or contract. This requirement is applicable to contracts with an annual value exceeding \$10,000. You must submit this information with your bid, proposal, or offer.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements. A privately held entity that is exempt from Federal 10k reporting but has more than 400 shareholders may submit the information that Federal 10k reporting companies are required to report under 17 CFR 229.401 and list the names of any person or entity holding any ownership share that is in excess of 5% in satisfaction of the disclosure requirements.

**Disclosure of Financial Information.** The individuals named below have an interest in the vendor (or its parent) in terms of ownership or distributive income share of more than 5%, or an interest which has a value of more than 60% of the Governor's salary.

Name: Ira Rogal

Address: 547 S. LaGrange Road, LaGrange, IL 60525

Type of Ownership/Distributable Income Share: Stock X Sole Proprietorship \_\_\_\_\_ Partnership \_\_\_\_\_

Other (explain) \_\_\_\_\_

% or \$ value of ownership/distributable income share. 18%

**Disclosure of Potential Conflicts of Interest.** For each of the individuals having the level of financial interest identified above, check yes or no to indicate which, if any, of the following potential conflicts of interest relationships apply. If yes, please describe, attaching additional pages as necessary.

- (a) State employment, currently or in the previous 3 years, including contractual employment of services. Yes or No
- (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. Yes or No
- (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. Yes or No
- (d) Elective status currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes or No
- (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. Yes or No
- (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes or No
- (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. Yes or No
- (h) Employment currently or in the previous two years, of spouse, father, mother, son, or daughter as a registered lobbyist of the State government. Yes or No
- (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk of the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes or No
- (j) Relationship to anyone; spouse, father, mother, son, or daughter who was a compensated employee in the last 2 years of any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. NO

Vendor certifies that the information disclosed herein is true and correct. The Undersigned affirms, under penalty of perjury, that he or she is authorized to execute this disclosure on behalf of the Vendor.

Printed Name of Vendor Shen, Paige & Rogal, Inc.

Signature of Authorized Representative

Ira Rogal  
Printed Name and Title

CRS/11-101

Requisition/Contract Number

Date

**DISCLOSURE FORM B  
FINANCIAL INTERESTS AND  
POTENTIAL CONFLICTS OF INTERESTS**

30 ILCS 500/50-35 requires vendors desiring to enter into certain contracts with the State of Illinois to disclose the information specified below as a condition of receiving an award or contract. This requirement is applicable to contracts with an annual value exceeding \$10,000. You must submit this information with your bid, proposal, or offer.

- (a) Vendor shall identify whether it has current contracts (including leases) with other units of State of Illinois government by checking "Yes" or **"No"**
- (b) Vendor shall identify whether it has pending contracts (including leases), bids, proposals or other ongoing procurement relationships with any other units of State of Illinois government by checking "Yes" or **"No"**

If "yes" is checked, identify each such relationship by showing agency name and other descriptive information such as bid or project number (attach additional pages as necessary).

Vendor certifies that the information disclosed herein is true and correct. The Undersigned affirms, under penalty of perjury, that he or she is authorized to execute this disclosure on behalf of the Vendor.

Printed Name of Vendor Shea, Paige & Roqal, Inc.

Gerald W. Shea CRS/11-101  
Signature of Authorized Representative Requisition/Contract Number

Gerald W. Shea, President 5-14-10  
Printed Name and Title Date



# Certificate of Registration

**STATE BOARD OF ELECTIONS**

Registration No. 10444

**Shea, Paige and Rogal, Inc.**

547 S. LaGrange Road

LaGrange IL 60525

Information for this business last updated on:

Sunday, August 02, 2009

Certificate produced on Monday, August 03, 2009 at 7:50 AM



## Request for Taxpayer Identification Number and Certification

Give form to the  
requester. Do not  
send to the IRS.

Print or type  
See Specific Instructions on page 2.

Name (as shown on your income tax return)

**Shea, Paige & Rogal, Inc.**

Business name, if different from above

Check appropriate box: ☐ Individual/  
Sole proprietor

☒ Corporation

☐ Partnership

☐ Other ▶

☐ Exempt from backup  
withholding

Address (number, street, and apt. or suite no.)

**547 S. LaGrange Road**

City, state, and ZIP code

**LaGrange, IL 60525**

Requester's name and address (optional)

List account number(s) here (optional)

### Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on Line 1 to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

**Note.** If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Social security number

| | | + | | | |

or

Employer identification number

3 | 6 | 2 | 9 | 2 | 0 | 6 | 3 | 8

### Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
- I am a U.S. person (including a U.S. resident alien).

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the Certification, but you must provide your correct TIN. (See the instructions on page 4.)

Sign  
Here

Signature of  
U.S. person ▶

Date ▶

5/13/10

### Purpose of Form

A person who is required to file an information return with the IRS, must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

**U.S. person.** Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee.

In 3 above, if applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

**Note.** If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

For federal tax purposes, you are considered a person if you are:

- An individual who is a citizen or resident of the United States,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States, or
- Any estate (other than a foreign estate) or trust. See Regulations sections 301.7701-6(a) and 7(a) for additional information.

**Special rules for partnerships.** Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

The person who gives Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States is in the following cases:

- The U.S. owner of a disregarded entity and not the entity,

**FORMAL COST PROPOSAL  
For  
COURT REPORTING SERVICES  
CRS/11-101  
Submitted By  
SHEA, PAIGE & ROGAL, Inc.**

**For all services enumerated in the Technical Proposal, the firm of  
Shea, Paige & Rogal, Inc. proposes a fee of \$5000 per month for  
length of the contract.**

  
Gerald W. Shea

5-14-10  
DATE

**For the firm of Shea, Paige & Rogal, Inc.**