

DR. DOUGLAS BAKER

140/7 (1)(b)

February 7, 2017

Matter No. 45571-002

Invoice No. 8861350

RE: EMPLOYMENT AGREEMENT (OEIG Investigation)

Please enclose this page with your payment

Current Invoice Amount: \$16,838.60
Previous Balances Due: \$2,336.65
Total Balance Due: \$19,175.25

Amount Enclosed _____

Wire Payments to: Bank Name: Bank of America Bank Address: 100 West 33 rd Str., New York, NY 10001 USA Account Name: Mintz, Levin, Cohn, Ferris, Glovsky, and Popeo, P.C. Firm Account	Check Payments with Remittance to: Mintz, Levin, Cohn, Ferris, Glovsky, and Popeo, P.C. P.O. Box 4539 Boston, MA 02212-4539 USA
Account No. 140/7 (1) ABA No. (wires): 140/7 ABA No. (ACH credits): 140/7 Swift Code: BOFAUS3N	For Wires and ACH: Please reference the File Number, Invoice Number and/or Attorney Contact in the remarks section.

DR. DOUGLAS BAKER

140/7 (1)(b)

February 7, 2017

Matter No. 45571-003

Invoice No. 8861348

Federal Tax ID: 042718459

For Professional Services Rendered through January 31, 2017:

RE: INTERNAL CONTROLS

Professional Services Due	\$24,494.50
Expenses	<u>205.35</u>
Total Amount Due This Invoice	\$24,699.85

Mintz, Levin, Cohn, Ferris, Glovsky and Popeo, P.C.

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DR. DOUGLAS BAKER

140/7 (1)(b)

February 7, 2017

Matter No. 45571-003

Invoice No. 8861348

For Professional Services Rendered through January 31, 2017:

RE: **INTERNAL CONTROLS**

<u>Date</u>	<u>Timekeeper</u>	<u>Description</u>	<u>Hours</u>
09/09/16	EM SHINE	Attention to OEIG Report. Attention to R. Cotton's t/conf with Dr. Baker.	1.50
09/16/16	RD COTTON	Attention to email correspondence regarding Dr. Doug Baker and the OIG Investigation; follow up regarding same.	0.80
09/17/16	RD COTTON	Attention to and telephone conference with Dr. Douglas Baker re OIG Investigations; draft email correspondence to Ms. Babbitt re her investigative work she is performing for the Board of Trustees; follow up regarding same	1.20
09/19/16	EM SHINE	Attention to research concerning Ellen M. Babbitt, Scott Warner, and Franczek Radelet PC for review by R. Cotton.	0.30
10/03/16	RD COTTON	Receive 6 contracts from Ellen Babbitt, Esq.; respond to her emails for an interview with Dr. Baker and follow up.	0.80
10/04/16	TP THOMAS	Review of series of contracts and supporting documentation relating to 140/7 (1)(m)	2.70
10/05/16	RD COTTON	Review contracts sent to us by Elizabeth Babbitt, Esq., staff conferences regarding same; extended telephone conference with Dr. Baker regarding the upcoming interview with Ms. Babbitt; follow up with Ms. Babbitt and her colleague, Scott Warner and follow up.	2.40

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<u>Date</u>	<u>Timekeeper</u>	<u>Description</u>	<u>Hours</u>
10/05/16	TP THOMAS	Prepare recommendations and follow-up inquiries for telephonic meeting with D. Baker regarding 140/7 (1) [REDACTED]; conduct telephonic meeting with D. Baker and discuss strategic points for discussion with investigating attorneys; prepare for and conduct telephonic meeting with counsel investigation 140/7 (1)(m) [REDACTED]	3.40
10/05/16	EM SHINE	Attention to documents concerning 140/7 (1) [REDACTED] (m) [REDACTED]. Attention to R. Cotton's t/conf with D. Baker. Attention to R. Cotton's t/conf with E. Babbitt and S. Warner.	1.90
10/06/16	EM SHINE	Attention to 140/7 (1)(m) [REDACTED] concerning Dr. Doug Baker for review by R. Cotton.	0.10
10/07/16	EM SHINE	Attention to 140/7 (1)(m) [REDACTED] concerning Dr. Doug Baker for review by R. Cotton.	0.10
10/10/16	EM SHINE	Attention to 140/7 (1)(m) [REDACTED] concerning Dr. Doug Baker for review by R. Cotton.	0.10
10/11/16	RD COTTON	Attention to preparation for call with Dr. Doug Baker re OIG matters; follow up regarding same.	1.20
10/11/16	EM SHINE	Attention to 140/7 (1)(m) [REDACTED] concerning Dr. Doug Baker for review by R. Cotton.	0.10
10/12/16	RD COTTON	Attention to follow with TP Thomas re conference call with Dr. Baker; follow up regarding same.	0.50
10/12/16	TP THOMAS	Prepare for and conduct telephonic meeting to address series of matters to be covered prospectively in witness interview by attorneys in 140/7 (1)(m) [REDACTED]	2.80
10/12/16	EM SHINE	Attention to 140/7 (1)(m) [REDACTED] concerning Dr. Doug Baker for review by R. Cotton. Attention to documents concerning the 140/7 (1)(m) [REDACTED] preparation for R. Cotton's t/conf with D. Baker.	0.20
10/13/16	TP THOMAS	Review and assess issues from documents provided by E. Babbitt in witness interview for 140/7 (1) [REDACTED]; communication with (D) Baker regarding same.	1.70
10/13/16	EM SHINE	Attention to 140/7 (1)(m) [REDACTED] concerning Dr. Doug Baker for review by R. Cotton.	0.10

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<u>Date</u>	<u>Timekeeper</u>	<u>Description</u>	<u>Hours</u>
10/14/16	TP THOMAS	Prepare for and facilitate D. Baker's participation in investigatory interview regarding 140/7 (1)(m) [REDACTED]; briefing with D. Baker regarding same.	3.70
10/14/16	EM SHINE	Attention to 140/7 (1)(m) [REDACTED] concerning Dr. Doug Baker for review by R. Cotton.	0.10
10/18/16	TP THOMAS	Review of interview summary from E. Babbitt regarding D. Baker's statements in 140/7 (1)(m) [REDACTED].	0.70
10/21/16	TP THOMAS	Review and prepare comments to interview summary from NIU investigative counsel for 140/7 (1)(m) [REDACTED].	2.30
10/24/16	TP THOMAS	Confer with D. Baker and update clarification for interview summary relating to 140/7 (1)(m) [REDACTED].	1.10
10/27/16	TP THOMAS	Analyze and draft revisions to responding statement from D. Baker regarding OEIG findings in investigation on 140/7 (1)(m) [REDACTED].	1.90
10/31/16	TP THOMAS	Prepare for and conduct telephonic meeting with D. Baker regarding 140/7 (1)(m) [REDACTED].	1.20
11/01/16	TP THOMAS	Review and comments on edits for investigative response from D. Baker.	0.90
11/02/16	TP THOMAS	Communications with E. Babbitt regarding 140/7 (1)(m) [REDACTED]; update D. Baker regarding same.	0.90
11/07/16	TP THOMAS	Update and strategy meeting with R. Cotton regarding status of 140/7 (1)(m) [REDACTED].	0.80
11/16/16	TP THOMAS	Communications with D. Baker and revisions to OEIG response document.	0.70
12/10/16	TP THOMAS	Review of board communication regarding closeout of respective communications and note comments for discussion with D. Baker.	0.50

<u>Date</u>	<u>Timekeeper</u>	<u>Description</u>	<u>Hours</u>
12/12/16	RD COTTON	Attention to attorney and research conference in preparation for upcoming phone conference with Dr. D. Baker; follow up regarding same.	1.30
12/12/16	TP THOMAS	Prepare for and conduct telephonic meeting with D. Baker regarding 140/7 (1)(m) [REDACTED] [REDACTED] [REDACTED] [REDACTED].	1.70
12/19/16	RD COTTON	Attention to matters re Dr. D. Baker; follow up regarding same.	0.90
12/21/16	TP THOMAS	Follow-up communications with D. Baker regarding 140/7 (1)(m) [REDACTED].	0.40
01/13/17	TP THOMAS	Assess communication from D. Baker on outstanding Board issues in follow-up to closeout of OEIG investigations.	0.50
01/23/17	TP THOMAS	Review and draft comments to draft letter responding to inquiry on OEIG investigation by faculty steering committee.	0.90
01/24/17	TP THOMAS	Follow-up on 140/7 (1)(m) [REDACTED] 140/7 (1)(m) [REDACTED]; preliminary assessment of modified follow-up statement of clarifications to OEIG.	0.80
Total for Services:			\$24,494.50

Expenses

<u>Description</u>	<u>Amount</u>	
Photocopy	160.35	
Secretarial Overtime	45.00	
Total Expenses:		\$205.35

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Total this Matter: \$24,699.85

DR. DOUGLAS BAKER
140/7 (1)(b)
[REDACTED]

February 7, 2017
Matter No. 45571-003
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RE: INTERNAL CONTROLS

Please enclose this page with your payment

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